

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND FOUR HUNDRED AND TWENTY-THIRD MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 7, 2006

The Board of Trustees met at its regular monthly meeting on Friday, July 7, 2006, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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## **July 7, 2006 meeting, Board of Trustees**

The Chairman, Judge Duncan, called the meeting of the Board of Trustees to order on July 7, 2006, at 11:05 a.m. He requested the Secretary to call the roll.

Present: Robert M. Duncan, Chairman, G. Gil Cloyd, Jo Ann Davidson, John D. Ong, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, Robert H. Schottenstein, Yoonhee P. Ha, and Christopher Alvarez-Breckenridge.

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### **INTRODUCTION OF NEW STUDENT TRUSTEE**

Judge Duncan:

Good morning. We'd like to welcome this morning our new student trustee, Christopher Alvarez-Breckenridge. Christopher was just appointed by Governor Taft as the graduate/professional student member of the Board for a two-year term, replacing Chad Endsley. Christopher is from Blacklick, Ohio, and received his undergraduate degree -- summa cum laude -- from Ohio State in biology and classics. Christopher, we look forward to working with you and congratulations.

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### **PRESIDENT'S REPORT**

President Karen A. Holbrook:

I have a few announcements and then I will offer my report. I, too, Chris, want to welcome you. I have had the privilege of knowing Christopher for a long time during his undergraduate years and can absolutely assert that we are extraordinarily lucky that you are joining the Board. Thank you very much; you will be a terrific addition.

I have a couple of other announcements to make. It is my pleasure to introduce to you this morning the new dean of the College of Medicine, Dr. Wiley Souba -- better known as "Chip" Souba. Dr. Souba, would you stand so we can recognize you?

Dr. Souba comes to us from Penn State where he serves as the chairman of the Department of Surgery, surgeon-in-chief at the Milton S. Hershey Medical Center, director of the Penn State Hershey Center for Leadership Development, and is the Waldhausen Professor of Surgery. Dr. Souba is a surgical oncologist who is the author of more than 300 articles, 60 book chapters, and the editor of two textbooks. He is currently serving as the editor of *The Journal of Surgical Research*. In addition to his scientific scholarship, he is known for his work in leadership development in academic medicine.

Dr. Souba earned his bachelor of science degree in chemistry from Muskingum College in New Concord, Ohio. You may recognize this school as a source of wonderful people -- Senator John Glenn and William Oxley Thompson, who received his degree in 1878. So you are in good company and we are delighted to have you at Ohio State. Dr. Souba also earned his M.D. degree from the University of Texas Medical School in Houston, a doctor of science degree in nutritional biochemistry from the Harvard School of Public Health, and his MBA from Boston University School of Management.

After residency at the University of Texas, and fellowships at the Dana-Farber Cancer Institute in Boston and the M.D. Anderson Hospital in Houston, he joined the faculty at the University of Florida, College of Medicine. In 1993 he moved to Harvard Medical School as professor of Surgery and Nutrition, and chief of the Division of Surgical Oncology and director of Surgical Oncology Research Labs at Mass General.

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Dr. Souba will report to Dr. Sanfilippo for administrative and fiscal matters and to Provost Snyder for academic matters. Later in today's meeting, with your approval, Dr. Souba will join us officially on August 14. Dr. Souba, I am delighted to welcome you to The Ohio State University. I know Fred would like to add a few comments of his own and then we will all warmly welcome you.

Dr. Alfred P. Sanfilippo:

Chip is the true triple threat. In the academic medicine world, we are always looking for a triple threat -- someone who is great in research, education, and patient care. As you have heard from President Holbrook, Chip fits the bill in all three areas. He is a well-known surgical oncologist, having been chief of surgical oncology at Harvard; a well-known investigator with ten years of continuous funding from the NIH; a tremendous amount of publications; great notoriety; a Ph.D. in his own right; and a great educator.

His education has been in the area of leadership. He has written some seminal papers on leadership and academic medicine, has an MBA degree, and his focus is on leadership. As President Holbrook said, he leads the Leadership Development Institute at Penn State. It is pretty rare to have somebody with this type of impact and achievement in these three areas.

Personally, I am absolutely delighted for the Medical Center and the University to have Chip coming on board as dean of the College of Medicine.

President Holbrook:

Chip, welcome.

Dr. Wiley Souba:

Thank you very much. My family and I are truly excited about coming to Columbus. My son will be going to the University of Pittsburgh, so he will only be about 4 hours away by car and my 13-year-old daughter just found out yesterday that she will be going to the Columbus School for Girls beginning in August. We have found a place to rent and my wife is very excited.

My main interest in this position is the incredible track record and history of Ohio State in conjunction with the Medical Center, which is now on a trajectory to greatness. Fred has a great leadership team in place and this is a very elite group to work with. I am excited to join you and help work with you to take the University and the Health Sciences to the next level. I really believe that we can achieve this.

Let me conclude by saying how deeply I appreciate this chance to work with all of you. People, and the relationships that we create, are what has helped me so much. If you will excuse me, Fred has more work for me to do. Thank you very much.

President Karen A. Holbrook:

Thank you, Chip, and welcome.

I would also like to announce the new faculty leadership for this year. Dr. Alan Silverman, the new chair of Faculty Council, is not here today, but we do have with us Dr. Harald Vassein, from biological sciences, who is the new chair of Senate Steering. Dr. Vassein, would you stand so we can congratulate and welcome you?

We also have with us Mr. Jay Young, the incoming president of the University Staff Advisory Committee. Jay, would you please stand? Jay Young is replacing Joanne

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Weston who we said goodbye to at the last meeting. Welcome, we are very glad to have you.

I chose my remarks today based on what is going on in the state, what is going on nationally, and to correlate with Bill's presentation of the budget today and a number of things you have been hearing about. I have entitled my remarks "Accountability." This is not a new topic, it has been around in higher education for many years, but it has arisen, again, in a different form. Typically when we talk about accountability, we talk about fiscal accountability. The new way to look at accountability in higher education is more focused on student learning.

I thought I would mention a few things that are going on and, particularly, spend my time talking about the report of the Spellings Commission. This is a new report that was put together at the federal-level and has just come out with its findings. I think you will find them somewhat disturbing and relevant. You can also see where Ohio State fits into what the nation, under the Secretary of Education, thinks of higher education.

In the middle of June, the Ohio Board of Regents held a conference on higher learning accountability and performance in higher education. This was a day long conference that looked at what are the methods for learning assessments and how do you measure value-added? You are going to hear the word "value-added" a great deal and it is something that people are focusing on. What is the value-added when a student comes to college and then leaves? What is that value-added in between? We believe public education is doing a great deal for value-added in comparison with private organizations where very bright students come in and leave as very bright students. How much value-added do they get out of the experience versus students who come in -- who are also very bright -- but take away a very strong experience in our public institutions? This is what the Board of Regents talked about. Another question was "Should legislators become concerned with learning outcomes and should they legislate approaches?" That was asked at the state-level, but it is an important question because it is also asked at the federal-level.

The next report I want to mention is the NASULGC Report -- the National Association of State Universities and Land-Grant Colleges, the major organization for our land-grant schools. They have defined a new agenda under new leadership. One is the challenge of improving student learning, which they believe is at the very core of the value system in the academy. They have published a paper that is entitled, "Toward the Value Standard for Public University Accountability" and have outlined a number of goals.

First they believe that public education has to be committed to strengthening learning. Fulfilling that commitment will involve a number of changes in the Academy that will need to be done at the state and federal levels, and with accrediting bodies. They are recommending that there be put in place a voluntary system of defining outcomes.

One of the suggestions from the Spellings Commission is that there be a university-wide, nation-wide test of graduating seniors. Most people believe that is not a good thing to do because our institutions are so very different in terms of mission that it doesn't make sense. But could we put in place a voluntary system of defining outcomes for universities based on the differentiation of our different university systems. NASULGC also said that the different assessment tools that we already have available should be taken into account.

NASULGC also recommends something that the Spellings Commission came up with that, I think, is very important -- a national unit records system for tracking students' success. What that means is that every student when he or she enters college will have a unit tracking system that will follow that individual all across



whatever schools he or she may attend. Whether these students are in four, five or six schools; or whether they step out for a period of time and come back. This means we will have real data on graduation success rates and data that are important. The data we use now looks at first time students that go into college and follows them through school. It doesn't take into account late learners, step out students, or part-time students. I think this is a very important recommendation.

I am going to read a quote which is very important and then go on to talk a little more about the Spellings Report. According to the NASULGC Report, "The goal is to break this dysfunctional cycle of public expectations and greater demands for accountability and replace it with thoughtful, voluntary accountability processes that provide the necessary performance indicators to government entities and the information that is desired by the public. So the appropriate feedback to faculty who design the curriculum can be made available and so that undergraduate learning can truly be improved; students can graduate with the skills and knowledge necessary to succeed." I think our students do graduate with skills and knowledge, but the Spellings Commission does not come up with that same conclusion.

Within the last year, there have been many different reports on: value-added modeling; value-added assessment; accountability for better results; national imperative; and American higher education does not measure up in the 21st century claiming the common ground that state policy making for college readiness and success. All of these are looking at a variety of things: Are young people getting what they should get out of college? and Is there a good transition between high school and college? These are all very important topics.

Let me tell you how the Spellings Commission starts out and its report on accountability and higher education. They state that there are troubling signs of peril in America's education market becoming reduced and services characterized by obsolescence. The Organization of Economic Cooperation and Development -- OECD -- now ranks the United States 7th in the world, where we used to be 1st in terms of some adults with post-secondary education. They prompted the statement that "the successes in higher education of the past have led the United States to unseemly complacency about the future in a time when higher education is the principal means to personal and societal advancements." They go on with things they believe are unfavorable.

Let me tell you what the goals are of the Spellings Commission: 1) to assure that we have a world-class education system that prepares citizens and creates new knowledge accessible to all qualified students in all stages of life regardless of their financial situation; 2) that colleges and universities are productive and efficient so they can be affordable to students, taxpayers, and donors that support them; and 3) that they have a system that gives Americans the skills needed to adapt to a rapidly changing economy and can contribute to the innovation of global competitiveness.

According to this Commission, America's education has become increasingly risk-averse, frequently self-satisfied, and unduly expensive. They say, "We have not confronted the fundamental issues of transforming to serve, changing educational needs, confronting the impact of globalization, accepting rapidly evolving technologies, accommodating a diverse and aging population, and meeting the new needs and paradigms of an evolving marketplace." They believe we need reforms in colleges and universities, accrediting bodies, governing boards, the business communities, and parents and students themselves. I am not sure what has been omitted from that, but a large number of people in the Spellings Commission need to take a look at that.

They came up with four specific goals and the topics are what we always talk about in accountability, are very important, and do give some recommendations that are useful. Their first goal -- a very important goal -- is: access to higher education. They say right now that students are limited to higher education access because

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they are not well-prepared, there are financial barriers, and our colleges and universities are not well-aligned. They talk about alignment in terms of what professors and high school teachers think of the preparedness of students. There is a major discrepancy with professors saying that students are not prepared and high school teachers saying yes they are quite well prepared.

It has been demonstrated that 40% of students in four-year institutions take remedial classes; and 67% of students in two-year schools take remedial classes. This is at a cost of \$1 billion each year and a cost of \$16 billion in not having students ready to go on to college or into the workplace and needing to be re-prepared for either place they go.

Recommendations on this and access are that K-12, post-secondary systems, and state policymakers must all work together to improve college preparation, its growing success, and we need data on persistence. We know that the governor's Ohio Plan for changing the curriculum is one of those things that align the curriculum of high school with the curriculum in college with a sense that all students coming out of high school would be college and work ready. High schools need to be accountable for preparing the students and the state should provide, according to the Spellings Commission, incentives to higher education to work with K-12. K-12 teacher-preparation needs to be overhauled and the transfer of credit needs to be much easier for the students that, again, either step out or transfer from one college to another as it is wasteful for them to retake courses. They need to reduce financial and non-academic barriers, such as students not being aware of college activities and not having role models and career expectations.

The second topic that the Spellings Commission took up was affordability. They pointed out that over the last twenty years, tuition has increased 200%. It has outstripped every other rate of inflation per capita income, consumer prices and even health insurance over that period of time. We must be more cost effective.

Their feeling is that there is little incentive in institutions to control costs and find innovative ways to teach students. As I said, this is a negative report, but, I think, our University can come back with a rebuttal on many of these things. Nonetheless, it is a report that stands and is being looked at by Congress. American higher education leads the world in cost -- almost two times as much -- of any other industrialized nation according to the OECD.

They point out that: we under utilize our physical facilities -- many times they are not utilized on weekends or evenings; our doctoral programs under enroll and duplicate. We can feel good about what we are doing here for doctoral education to be more efficient and cost effective. They also claim that we fail to use technology to lower instructional costs. One of the other things you will appreciate is that there are seventeen different federal programs that provide financial aid that are not coordinated and are very inefficient. I think their recommendations here are very good.

What they are encouraging under this category is that there is a more focused program of cost cutting and productivity improvements, and that tuition should not rise more than family income. Technology should be used to reduce costs. College experiences that students have at advanced placement, early college enrollment, dual enrollment, and early college programs should be cost saving mechanisms. Students should focus on two-year schools early on and less expensive alternatives that transfer easily.

A complete overhaul of the federal financial aid system is recommended and that it should be consolidated, streamlined, and the FAFSA eliminated, so students don't have to fill out these overwhelming application forms for financial aid. They suggest -- and this is their exact suggestion -- that a post card-size application would do. Most of the data needed on the FAFSA comes straight off of income tax forms and

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these long application forms do not need to be filled out. They are looking at a complete restructuring of the financial aid system to allow students greater access. I think this is a wonderful idea.

The third topic is quality innovation. They are saying quality is inadequate and declining in some colleges and universities, and they describe a campus culture that seems to promote under-achievement, anti-intellectualism, and excessive socializing. Employers report that our graduates are not prepared. They have to be re-prepared with new critical thinking skills and we do not have the skills to keep students at any age in the work force throughout their lives. Data from the National Assessment of Adult Literacy demonstrate that our students are not as prepared as they should be and our standards for teaching are not up to speed.

Talk about general education has become a lost art in many colleges in that a liberal education is being phased out in favor of more professional and technical education. Grade inflation is a problem for some universities. They say that faculty are not taking advantage of information that is real research on how people learn and using those data and applying it to their teaching. They say that universities have failed to seize opportunities for innovation. They cite us as being some of the most innovative organizations in terms of what we do in research, but we don't necessarily apply that to our own rules or activities within the university.

They point out -- and, I think, you all will agree with this -- that there are more than 200 federal laws that the institutes for higher education need to comply with. Many of these are real regulatory burdens that are very costly. We saw that today in the budget looking at a lot of scarce resources being applied to comply with more than 200 laws.

Again, credit transformation transfer is important. What they recommend is that a federal fund be established to provide incentives for effective teaching that is research-based. We would embrace new pedagogies and curricular technologies to improve student learning especially in science and math.

Also proposed is that the Secretary of Education would develop a national strategy for our citizens to continually update their knowledge throughout a lifetime. A nation-wide pilot would be put in place where accounts would be set aside -- very much like the Earn to Learn in Ohio -- for individuals to finance education throughout their lifetime from tax incentives and through individuals and employers. Also proposed was a national innovation partnership offering federal matching funds be put aside for a program formatting in the use of technology. The Secretary of Education would also design programs that would bring together higher education with adult education and vocational education and meet work force needs.

Finally, the last goal of the Spellings Commission relates to accountability and providing real reliable information to students. Students could go on the web -- not just look at the *U.S. News and World Report*, which says very little, if nothing, about student learning -- and compare student learning across institutions. This would give students the right tools to make the right decisions about what is going on in universities. The goal is that universities are transparent about cost/price, student success outcomes, and what is the value-added.

Again, they recommend developing better measures of student learning and talk about the ones that are already in place. A federal database would be put in place that would provide statistics for students and establish the tracking system. Also, they would develop a consumer-friendly database on higher education with very reliable information as well as searchable and cost/price college completion.

Finally, they recommend that there be a national accreditation standard. This is very interesting. They talked about national accreditation standards that would

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come out of the Higher Education Reauthorization bill, but, if not there, would at least be a framework for accreditation. Right now when we accredit programs it is not transparent and it is not revealed. It is all very quietly sent to the administrators of the program and the university, but it isn't online for students to see. So it is talking about moving toward world-class standards and best practices overall.

What they believe is that the Spellings Commission has these four categories to offer a comprehensive and useful framework for diagnosing the problems, as well as promising a prescription for fixing the shortcomings. If you read the entire report it makes you a bit angry because you think about how well we do in so many of these categories, and that these are criticisms that can possibly be leveled at all of higher education. I think we also do not need to put our head in the sand and say that some of these don't apply to us. It is a good report and is one that has been put together by very thoughtful people. I think it is a report that Congress is going to look at very seriously. I think it is open now and ready for debate nationally. Thank you.

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### **COMMITTEE REPORTS**

Judge Duncan:

I would like to call on Mr. Schottenstein for the Audit Committee report.

Mr. Schottenstein:

Mr. Chairman, the Audit Committee met yesterday afternoon for about two hours and all members were present. First we received a comprehensive report from the University internal auditors reviewing the Audit Work Plan. No unusual or extraordinary matters need to be reported at this time.

Next, the external auditors for the University, Deloitte and Touche LLP, made their report. Again, it was a good report and it does not require any further elaboration here today.

Finally, Mr. Shkurti gave a comprehensive report on a number of financial issues and an overview of some of the key goals for the University from a budgetary standpoint. That summarizes what we did yesterday. Thank you.

Judge Duncan:

Thank you, Bob. Next we will have a report from Wally O'Dell on the Agricultural Affairs Committee and the Investments Committee meetings.

Mr. O'Dell:

Thank you, Mr. Chairman. The Agricultural Affairs Committee met this morning and had a presentation from Drs. Bob Moser and Stephen Myers on the progress of the Ohio BioProducts Innovation Center. This is a Wright Center of Innovation (Third Frontier) award, with \$11.5 million in funding and with corporate matches a \$30 million project. This is a project to develop industrial products from corn and soybeans, and it is going very well. As the world runs down its petroleum reserves, this will become more and more important and Ohio is very well positioned to fill this market need.

Then we had an Investment Committee meeting this morning. We had a Development report from Dr. Jim Schroeder. For the first time this year, after eleven months, the gift receipts are above the prior year level and \$28.6 million has

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been received. Our donor levels are up about 4%. This has been good fundraising in a difficult environment.

Next, we had an endowment report from Mr. Jim Nichols. May was not a very good month in the market; our returns were -2.9%, leaving us ahead about 11% for the eleven months. June was about a break-even month on a preliminary basis. So the year return will be about 11%, with the endowment sitting at approximately \$2 billion.

We then had a routine resolution on the Appointment and Reappointment of Investment Managers. That concludes my report.

Judge Duncan:

Thank you, Wally. Any questions or discussion? If not, I will call upon Mr. Borrer to report on the Academic and Student Affairs Committee meeting.

Mr. Borrer:

Thank you, Mr. Chairman. First we heard a report from Rich Hollingsworth and Jackie Lipscomb on Partnering with Parents for the Success of Students. We learned about the Ohio State Parents Association -- with over 2,400 members -- which performs a very vital function of encouraging interaction between students, parents, and the University. The goal is to ensure that our students and their families have a successful experience while the students attend Ohio State. This is well done and coordinated.

Next, we heard from Dr. Randy Smith and Dean Andrews about the Academic Program Review. It is a strategic review of the goals and objectives of individual departments, how they relate to the Academic Plan, and align with the Freeman and McHale Reports. This review will take place in all departments over the next six years.

Finally, Provost Barbara Snyder introduced the resolutions on today's consent agenda including: the Merger of the Colleges of Education and Human Ecology; the Establishment of the School of Earth Sciences; Amendments to the *Rules of the University Faculty*, Amendments to the *Code of Student Conduct*, and routine personnel actions. That concludes my report.

Judge Duncan:

Thank you, Doug. Any questions or discussion? Next I will call on Jo Ann Davidson for the Fiscal Affairs Committee report.

Mrs. Davidson:

Thank you, Mr. Chairman. The Fiscal Affairs Committee is bringing resolutions to you -- #17-26 on the consent agenda -- with a recommendation for approval by the Board. I am going to briefly speak a little bit to several of the resolutions and certainly the one resolution on the Fiscal Year 2007 budget for the University.

It was pointed out that this budget does support key initiatives of the University and a pay pool at a 3% level. While there is nothing standard across that, it at least provides that wherewithal for most of our units to be able to meet their commitments. Provost Snyder is going to speak to us a little later on Targeted Investments in Excellence that deal with a small number of programs targeting in on public health, biological sciences, global warming and environmental issues, and quality graduate programs in science, technology, and engineering. And because we have targeted some money for recruitment, we are going to reach our 2008 enrollment plan goal one year early. We have also targeted money for financial aid

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and we are working towards the ACT score of our incoming class at 27. As you know, the Enterprise System is continuing to work on the Student Information System, which we have made a huge investment in.

The budget being proposed is a little over \$1 billion. It is a growth of 5.4% in expenditures and that is being driven by a couple of things. A huge issue is the cost of utilities and the increase in financial aid, so we have the capability to reach out to the students we want on campus.

Enrollment is projected to be fairly flat, although we have had a higher than expected acceptance for our incoming freshman class. So rather than the 5,800 we anticipated, we will be at 6,000 for the incoming freshman class. We have a 2.9% increase in state aid, some of that coming from the \$30 million the Controlling Board determined they would allocate to the funding formula.

We are going to delay, for a year, the phase down of the percentage of dollars that came out of the Foundation earnings that we used for development. This will provide Development with some additional resources.

We looked at the Medical Center budget, which will actually show an income above expenses of about \$76 million this year. Also we had some discussion on growing our cash reserves in the Medical Center and would expect that report to come back to us at our September meeting. I would just like to point out that when we came up with the plan of increasing those cash reserves and looking where we are going in 2007, we are very much ahead of schedule than we thought we would be. I think there is a general understanding of concern by Board members, so they will come back and show us a plan in September on that particular issue.

We would anticipate cost savings for our budget coming from the opportunities that we have in our wellness program. You may recall that we adopted this program at our last Board meeting and this is where we have an outreach to our employees to encourage them and to give them incentive to come up with individual wellness programs, getting some of our injured workers back to work more quickly, and also managing our energy conservation. That really gives you a total budget.

I am pleased to say that we are within our estimated resources of this sound budget. Frankly, I want to compliment the financial management of the University, Dr. Holbrook, and Bill Shkurti for bringing us a budget that we can feel comfortable with and that we are within our means.

The second resolution deals with undergraduate tuition for the regional campuses. As you know, we have not acted upon that and it is recommended that we increase it at the same level we did for central campus at 6%. It may cause a little bit higher rate for some students, simply because of the way the access challenge works at our regional campuses. This is where students get a reduction in their tuition, based upon the amount of access challenge money they qualify for.

The third item is the approval of the feasibility studies, design, and construction manager contracts and to move ahead with construction. The biggest issue with that group of projects is to move ahead with the construction of the Ohio Union. Last month, we had some discussion about the location and how we go about building the Union. I hope you all have had an opportunity to review the letter that Bill Shkurti sent to you indicating that they have gone back and looked at those particular issues. They feel comfortable in moving ahead with the recommendation to proceed with the construction contract for the Ohio Union and that is part of that resolution.

We have a traditional interim authority resolution on the agenda today. This interim authority would be given to the chair of the Fiscal Affairs Committee with the approval of the chairman of the Board, in consultation with the Committee, if there

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is any action needed between now and the time we meet in September to move ahead with some of the projects. In holding them back, we would lose some of our timetables and, quite frankly, we have been very much cautioned by the fact that the cost of our materials for many of these construction projects are taking a sharp increase. Subsequently, it would give that flexibility for that authority to be given in lieu of a Board meeting.

We have the recommendation to purchase a piece of property on south campus. The Highland Street property is currently used for campus student housing and would continue for that use until such time that it is needed for another purpose by the University. There are authorizations for three easements, one on the Lima campus and two for our new student housing that is being proposed at the corner of Kenny and Lane Avenues.

We have a recommendation to approve the parking plan for the Medical Center. With the expansion of the Medical Center, we will be losing a number of parking spaces. So this is a significant parking plan, which would include the renovation of a garage, building of garages, and providing a surface lot. The approval today would be Phase I of the parking plan and any moving ahead with any construction would obviously be handled under our normal processes.

The last resolution is the extension of the Non-Smoking Policy that was earlier established for the Medical Center and is now being broadened to the Health Sciences Colleges -- the broad area that surrounds what we consider to be the entire medical complex. This policy has been run through all of the appropriate bodies and they are recommending that we extend that Non-Smoking Policy.

Mr. Chairman, that is a quick review of the items that are coming to you for approval today.

Judge Duncan:

Thank you very much. You had a busy day.

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### CONSENT AGENDA

President Karen A. Holbrook:

We currently have twenty-six resolutions on the Consent Agenda today and we would like to conduct separate votes for #14 -- the Report of Research Contracts and Grants -- and #16 -- Appointment/Reappointment of Investment Managers. We are seeking your approval for:

### AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2006-2007

Resolution No. 2007-1

BE IT RESOLVED, That the appointments to committees and representatives to various Boards for 2006-2007 be amended as follows:

#### Academic and Student Affairs Committee:

Douglas G. Borrer, Chair  
John D. Ong, Vice Chair  
Robert H. Schottenstein  
G. Gilbert Cloyd  
THEKLA R. SHACKELFORD  
ALEX SHUMATE  
*New Trustee*  
Yoonhee P. Ha

#### Fiscal Affairs Committee:

Jo Ann Davidson, Chair  
Karen L. Hendricks, Vice Chair  
Dimon R. McFerson  
Leslie H. Wexner  
Brian K. Hicks  
Walden W. O'Dell  
*New Trustee*  
Christopher A. Alvarez-Breckenridge

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### **Investments Committee:**

Dimon R. McFerson, Chair  
Walden W. O'Dell, Vice Chair  
Jo Ann Davidson  
Robert H. Schottenstein  
John D. Ong  
*New Trustee*  
Ex Officio:  
John Gerlach, Jr. (Foundation Bd)  
David A. Rismiller (Foundation Bd)

### **Audit Committee:**

Robert H. Schottenstein, Chair  
~~Dimon R. McFerson, Vice Chair~~  
Robert M. Duncan, VICE CHAIR  
*New Trustee*  
*NEW TRUSTEE*  
James Gilmour (3/1/05 – 2/29/08)

### **Agricultural Affairs Committee:**

Walden W. O'Dell, Chair  
Fred L. Dailey, Vice Chair, Ex Officio  
Robert M. Duncan  
John D. Ong  
*New Trustee*  
Yoonhee P. Ha  
Christopher A. Alvarez-Breckenridge

### **Medical Center Affairs Committee:**

Dimon R. McFerson, Chair  
Brian K. Hicks, Vice Chair  
Jo Ann Davidson  
G. Gilbert Cloyd  
Robert H. Schottenstein  
Ellen Hardyman  
David Lauer  
Donald Shackelford

### **Governance Committee:**

Robert M. Duncan, Chair  
Karen L. Hendricks, Vice Chair  
Dimon R. McFerson  
Jo Ann Davidson

### **Government Relations Advisory Council:**

Robert M. Duncan, Chair  
Douglas G. Borrer, Vice Chair  
Jo Ann Davidson  
Brian K. Hicks  
David L. Brennan  
Thomas Hoaglin

Rich Hillis  
Stephen C. Landerman

### **The Arthur G. James Cancer Hospital & Richard J. Solove Research Inst. Bd:**

Karen L. Hendricks, Chair  
Robert H. Schottenstein

### **University Hospitals Board:**

Brian K. Hicks  
*New Trustee*

### **Campus Partners Board:**

Robert M. Duncan

### **Research Foundation Board of Directors:**

G. Gilbert Cloyd

### **University Foundation Board Ex Officio Class of Directors:**

Karen L. Hendricks (2007)  
Robert H. Schottenstein (2008)  
Douglas G. Borrer (2009)

### **Ohio State University Affiliates, Inc.; Affiliated Entities Committee:**

Robert M. Duncan (2007)  
Karen L. Hendricks (2008)  
Dimon R. McFerson (2009)

### **Science and Technology Campus Board:**

~~Brian K. Hicks~~ *NEW TRUSTEE*

### **Regional Campus Trustee Liaison:**

Robert H. Schottenstein

### **University Managed Health Care System, Board of Directors**

Robert M. Duncan

### **Self-Insurance Trust Board:**

Dimon R. McFerson

### **Richard M. Ross Heart Hospital:**

Robert M. Duncan  
New Trustee

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## **REGIONAL CAMPUS BOARDS APPOINTMENTS/REAPPOINTMENTS**

Resolution No. 2007-2

Synopsis: Approval of appointments/reappointments to The Ohio State University Regional Campus Boards is proposed.



## **July 7, 2006 meeting, Board of Trustees**

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;" nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointments/reappointments to the following Regional Campus Boards for the terms as specified:

### Lima Board Appointment

Geoff Doute (student), July 1, 2006 – June 30, 2007

### Lima Board Reappointments

Keith H. Deters, July 1, 2006 – June 30, 2009, 2<sup>nd</sup> term  
Robert C. Young, July 1, 2006 – June 30, 2009, 2<sup>nd</sup> term

### Marion Board Appointment

Anthony J. Iacobucci (student), July 1, 2006 – June 30, 2007

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of the respective Regional Campus Boards.

\*\*\*

## **APPOINTMENTS TO THE UNIVERSITY HOSPITALS BOARD**

Resolution No. 2007-3

Synopsis: Approval of appointments to the University Hospitals Board is proposed.

WHEREAS the Board of Trustees on November 30, 1979, approved the establishment of a University Hospitals Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended:

NOW THEREFORE

BE IT RESOLVED, That Anthony C. White be appointed as a citizen member of the University Hospitals Board for the term July 1, 2006 through June 30, 2009 (1<sup>st</sup> term), and that David P. Lauer be appointed as chairperson of The Ohio State University Hospitals Board for the term July 7, 2006, through June 30, 2007.

\*\*\*

## **REAPPOINTMENT TO THE OSU HARDING HOSPITAL BOARD**

Resolution No. 2007-4

Synopsis: Approval of a reappointment to the OSU Harding Hospital Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of an OSU & Harding Hospital Board; and

**July 7, 2006 meeting, Board of Trustees**

WHEREAS all members of a specialized board shall be appointed by The Ohio State University Board of Trustees in consultation with the Vice President for Health Services, the Senior Vice President for Health Sciences, and the President of the University:

NOW THEREFORE

BE IT RESOLVED, That the following individual be reappointed as a citizen member of the OSU Harding Hospital Board for the following term:

Lee S. Szykowny, effective May 1, 2006, through May 30, 2009 (2<sup>nd</sup> term)

\*\*\*

**AMENDMENTS TO THE *MEDICAL STAFF BYLAWS*  
OF THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 2007-5

Synopsis: The amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East are recommended for approval.

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the *Medical Staff Bylaws* of The Ohio State University Hospitals East were approved by the University Hospitals Board on May 25, 2006, as follows:

Amended Medical Staff Bylaws

3335-45-08 Peer review and corrective action.

(F) Automatic suspension or termination.

(1) through (14) unchanged.

(15) If a medical staff member pleads guilty to or is found guilty of a felony which involves:

(a) Violence or abuse upon a person, conversion, embezzlement, or misappropriation of property;

(b) Fraud, bribery, evidence tampering, or perjury; or

(c) A drug offense, the medical staff member's appointment and privileges shall be immediately and automatically terminated.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals East be adopted.

\*\*\*

**AMENDMENTS TO THE *BYLAWS OF THE MEDICAL STAFF AND  
RULES AND REGULATIONS OF THE MEDICAL STAFF OF THE  
ARTHUR G. JAMES CANCER HOSPITAL AND  
RICHARD J. SOLOVE RESEARCH INSTITUTE***

Resolution No. 2007-6

Synopsis: The amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, pursuant to bylaw 3335-109-02 of the *James Cancer Hospital Board Bylaws*, is authorized to recommend to the Board of Trustees the adoption of amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; and

WHEREAS the proposed amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute were previously endorsed and ratified by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board on May 23, 2006:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments be hereby adopted.

(See Appendix I with background information, page 105.)

\*\*\*

**MERGER OF THE COLLEGES OF EDUCATION AND  
HUMAN ECOLOGY**

Resolution No. 2007-7

Synopsis: Approval to merge the College of Education with the College of Human Ecology is proposed.

WHEREAS the faculty in each college embraces a shared vision and mission that reflect the holistic approach necessary to have an impact on the well-being of individuals, families, schools, and communities; and

WHEREAS national trends show that colleges of this type have merged to develop new and innovative programs, and faculty in these colleges have already explored cross-cutting opportunities made available by the merger; and

WHEREAS faculty from both colleges voted overwhelmingly in favor of the merger; and

WHEREAS the proposal adheres to the guidelines for the alteration or abolition of units, and was reviewed and approved by the full Council on Academic Affairs and approved by the University Senate at its June 1, 2006 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to merge the College of Education and the College of Human Ecology together to become the College of Education and Human Ecology is approved effective July 1, 2006.

\*\*\*

**ESTABLISHMENT OF THE SCHOOL OF  
EARTH SCIENCES**

Resolution No. 2007-8

Synopsis: Approval to establish the School of Earth Sciences is proposed.

WHEREAS the creation of a school in the academic core of this University is the first step in the enhancement and redevelopment of the present degree programs in Geological Sciences; and

WHEREAS the school is designed to increase interdisciplinary research in earth and environmental sciences, to enhance teaching and service missions, and to create a flexible structure that can better adapt to the rapidly changing research and educational opportunities; and

WHEREAS school structure, with divisions, enables the unit to better manage the broad diversity in faculty/student specializations and better organizes existing strengths; and

WHEREAS school structure will enable it to better compete for faculty and students with peer institutions, the most successful of which also are reorganizing through the creation of new schools; and

WHEREAS faculty within the current Department of Geological Sciences, the College of Mathematical and Physical Sciences, and the college dean strongly support this action; and

WHEREAS the proposal adheres to the guidelines for the alteration or abolition of units, and was reviewed and approved by the full Council on Academic Affairs and approved by the University Senate at its June 1, 2006 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish the School of Earth Sciences is approved effective July 1, 2006.

\*\*\*

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY**

Resolution No. 2007-9

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on June 1, 2006:

3335-5-48.13 Government affairs committee.

(A) Membership.

The government affairs committee shall consist of twelve members.

(1) through (3) unchanged.

(4) ~~Three~~ Two administrators, non-voting.

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- (a) The assistant vice president for state relations.
- (b) The associate vice president for ~~federal~~ government relations or designee.
- ~~(c) The senior vice president for university relations or designee.~~

(B) and (C) unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

\*\*\*

**AMENDMENTS TO THE CODE OF STUDENT CONDUCT**

Resolution No. 2007-10

Synopsis: Approval of the following amendments to the *Code of Student Conduct* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Code of Student Conduct* as approved by the University Senate; and

WHEREAS the Council on Student Affairs has reviewed and approved said revisions to the *Code of Student Conduct*; and

WHEREAS the proposed changes in the *Code of Student Conduct* were approved by the University Senate at its June 1, 2006 meeting as follows:

Amended Rules

3335-23-04 Prohibited conduct.

Any student found to have engaged in the following conduct while within the university's jurisdiction, as set forth in rule 3335-23-02 of the Administrative Code, will be subject to disciplinary action by the university.

(A) Academic misconduct.

Any activity that tends to compromise the academic integrity of the university, or subvert the educational process. Examples of academic misconduct include, but are not limited to:

- (1) and (2) unchanged.
- (3) Knowingly providing or using assistance in the laboratory, on field work, in scholarship or on a course assignment ~~unless such assistance has specifically been authorized;~~
- (4) Unchanged.
- (5) Submitting substantially the same work to satisfy requirements for one course or academic requirement that has been submitted in satisfaction of requirements for another course or academic requirement, without permission

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of the instructor of the course for which the work is being submitted or supervising authority for the academic requirement;

- (6) Falsification, fabrication, or dishonesty in creating or reporting laboratory and/or results, research results, and/or any other assignment;

(7) and (8) unchanged.

- (9) Alteration of academically-related university forms or records, or unauthorized use of those forms or records; and

(10) Unchanged.

(B) through (P) unchanged.

**3335-23-08 Administrative decision.**

In all cases, a student charged with one or more violations of the student code has the right to a hearing. However, in a case where a charged student admits such violations in writing, the student may request in writing to have a decision as to appropriate action made administratively by a hearing officer rather than have the charges referred to a hearing officer, panel or commission for a hearing. In such situations, the student waives the right to a hearing and the related procedural guarantees provided by a hearing officer, panel or commission hearing. Administrative decisions involving graduate students are to be made in consultation with the graduate school. Following an administrative decision, the student retains the right to request an appeal of the original decision, but may do so only upon the ground that the sanction is grossly disproportionate to the offense committed.

**3335-23-14 Committee on academic misconduct.**

- (A) The coordinator for the committee shall investigate or establish procedures for investigation of all reported cases of student academic misconduct that fall under the committee's jurisdiction. ~~However, the~~ The committee does not hear cases involving academic misconduct in professional colleges having a published honor code. These colleges shall follow their own codes and procedures which can be obtained in their respective central offices. Some allegations against graduate students that fall under the committee's jurisdiction may also implicate the university's interim policy and procedures concerning misconduct in research or scholarly activities and/or the graduate school's "Guidelines for the Review and Investigation of Allegations of Scholarly Misconduct by Graduate Students." Upon receipt of such an allegation, the coordinator shall meet with the dean of the graduate school or designee, and/or the senior vice president for research or designee, and these parties shall mutually agree on the appropriate procedure for adjudicating the case. Notice of this decision and a description of the procedure to be used shall promptly be given to the student who has been charged. The coordinator or committee may refer complaints to the office of student judicial affairs if it is determined that the academic misconduct allegation is incidental to some other misconduct.

- (B) The committee on academic misconduct is constituted according to rule 3335-5-48.7 of the Administrative Code.

~~(B)~~

- (C) All complaints of academic misconduct shall be reported to the coordinator of the committee.

- (D) Students have an obligation to report suspected misconduct. ~~The committee consists of:~~

## July 7, 2006 meeting, Board of Trustees

- (1) ~~Eighteen regular faculty members selected by the faculty council for three year terms; beginning with the autumn quarter. The selection is to be held annually so that six members will retire each year. No university faculty member shall serve more than two consecutive terms;~~
  - (2) ~~Seven graduate student members appointed by the end of the summer quarter by the president of the council of graduate students, with the approval of the council of graduate students and committee coordinator, for a one year term beginning with the autumn quarter;~~
  - (3) ~~Seven undergraduate student members appointed by the end of the summer quarter by the vice president of the undergraduate student government, with the approval of the undergraduate student government, the undergraduate student senate, and committee coordinator, for a one year term beginning with the autumn quarter;~~
  - (4) ~~A coordinator, appointed by the executive vice president and provost, ex-officio without vote; and~~
  - (5)
- (E) A quorum for a hearing shall be no ~~less~~ fewer than four voting members of the ~~panel~~ committee which shall include no ~~less~~ fewer than one student member and two faculty members.

For cases involving graduate students, reasonable efforts will be made to have graduate students serve as the student members of the hearing committee.

### 3335-23-17 General guidelines for sanctions.

Sanctions should be commensurate with the violation(s) found to have occurred. In determining the sanction(s) to be imposed, the hearing officer or panel should take into account any mitigating circumstances and any aggravating factors including, but not limited to, any provocation by the subject of the conduct that constituted the violation, any past misconduct by the student, any failure of the student to comply fully with previous sanctions, the actual and potential harm caused by the violation, the degree of intent and motivation of the student in committing the violation, and the severity and pervasiveness of the conduct that constituted the violation. Impairment resulting from voluntary use of alcohol or drugs (i.e., other than medically necessary) will be considered an aggravating, and not a mitigating, factor. In determining the sanctions to be imposed on graduate students who have violated the code, the hearing officer or panel should be guided by the "Graduate Student Code of Research and Scholarly Conduct." One or more of the following courses of action may be taken when a student has been found to have violated the code of student conduct.

(A) through (E) unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Code of Student Conduct* be adopted as recommended by the University Senate.

\*\*\*

## DEGREES AND CERTIFICATES – SUMMER QUARTER COMMENCEMENT

Resolution No. 2007-11

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

## **July 7, 2006 meeting, Board of Trustees**

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 27, 2006, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

\*\*\*

### **PERSONNEL ACTIONS**

Resolution No. 2007-12

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 2, 2006 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons/Director, Leaves of Absence Without Salary, Professional Improvement Leaves, Emeritus Titles, and salary rolls with promotion and tenure, as detailed in the University Budget, be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments and Reappointments approved on March 28, 2006, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

#### Appointments

Name: DAVID W. ANDREWS  
Title: Dean  
College: Education and Human Ecology  
Term: July 1, 2006, through June 30, 2011  
Present Title: Dean, College of Human Ecology and Interim Dean, College of Education

Name: CHING-SHIH CHEN  
Title: Professor (Lucius A. Wing Chair of Cancer Research and Therapy)  
College: Medicine  
Term: July 1, 2006, through June 30, 2010

Name: PHILIP T.K. DANIEL  
Title: Professor (The William Ray and Marie Adamson Flesher Professorship in Educational Administration)  
College: Education and Human Ecology  
Term: July 1, 2006, through June 30, 2011

Name: LEA M. MCGEE  
Title: Professor (The Marie Clay Endowed Chair in Reading Recovery and Early Literacy)  
College: Education and Human Ecology  
Term: October 1, 2006, through September 30, 2011  
Present Title: Professor, Literacy Education, College of Education, University of Alabama, Tuscaloosa, AL



## **July 7, 2006 meeting, Board of Trustees**

Name: ROBERT MCMAHON  
Title: Professor (Ralph D. Mershon Professorship)  
Department/Center: History/Mershon Center  
Term: September 16, 2005, through September 15, 2010

Name: MARIO J. MIRANDA  
Title: Professor (Andersons Professorship of Agricultural Risk Management)  
College: Food, Agricultural, and Environmental Sciences  
Term: July 1, 2006, through June 30, 2011

Name: IAN M. SHELDON  
Title: Professor (Andersons Professorship of International Trade)  
College: Food, Agricultural, and Environmental Sciences  
Term: July 1, 2006, through June 30, 2011

Name: KEITH L. SMITH  
Title: Professor (The George R. and Genevieve B. Gist Endowed Chair in Ohio State University Extension)  
Unit: Ohio State University Extension  
Term: July 1, 2006, through June 30, 2011

Name: WILEY W. SOUBA, JR.  
Title: Dean  
College: Medicine  
Term: September 1, 2006, through August 31, 2011  
Present Title: Chair, Department of Surgery, College of Medicine, Surgeon-in-Chief, Milton S. Hershey Medical Center, and the John A. and Marian T. Waldhausen Professorship, Penn State University

Name: ALEXANDER WENDT  
Title: Professor (Ralph D. Mershon Professorship in National Security Studies)  
Department/Center: Political Science/Mershon Center  
Term: August 1, 2004, through July 31, 2009

### Reappointments

Name: MICHAEL S. BEATTIE  
Title: Professor (The Doctor John D. and E. Olive Brumbaugh Chair in Brain Research and Teaching)  
College: Medicine  
Term: July 1, 2006, through June 30, 2010

Name: ALBERT DE LA CHAPELLE  
Title: Professor (The Leonard J. Immke, Jr. and Charlotte L. Immke Chair in Cancer Research)  
Hospital/Institute: The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute  
Term: July 1, 2005, through June 30, 2009

Name: MICHAEL V. KNOPP  
Title: Professor (The Novartis Pharmaceuticals Corporation Chair for Clinical Research)  
College: Medicine  
Term: July 1, 2006, through June 30, 2010

## **July 7, 2006 meeting, Board of Trustees**

Name: JERRY R. LADMAN  
Title: Associate Provost for International Affairs  
Office: Academic Affairs  
Term: September 1, 2006, through December 31, 2006

### Appointment of Chairpersons

SUSAN VANPELT PETRY,\* Dance, effective July 1, 2006, through June 30, 2007

WILLIAM J. SAVILLE,\* Veterinary Preventive Medicine, effective June 10, 2006, through June 30, 2007

ANDREW C. SHELTON, History of Art, effective July 7, 2006, through June 30, 2010

### Reappointment of Chairpersons/Director

MICHAEL T. BRADY,\* Pediatrics, effective July 1, 2006, through June 30, 2007

ROBERT E. BURNKRANT, Marketing and Logistics, effective October 1, 2006, through September 30, 2008

CHRISTOPHER C. KAEDING,\* Orthopaedics, effective July 1, 2006, through June 30, 2007

JOHN A. POWELL, Kirwan Institute for the Study of Race and Ethnicity, effective July 1, 2006, through June 30, 2009

DOUGLAS A. RUND, Emergency Medicine, effective July 1, 2006, through June 30, 2010

WOLFGANG SADEE, Pharmacology, effective April 1, 2006, through June 30, 2010

DANIEL D. SEDMAK,\* Anesthesiology, effective September 1, 2006, through December 31, 2006

\*Interim

### Leave of Absence Without Salary

ARA A. WILSON, Associate Professor, Department of Women's Studies, effective Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to accept a visiting professorship in the Program in Women's Studies at Duke University, Durham, NC.

### Leave of Absence Without Salary—Cancellation

KIM L. BOYER, Professor, Department of Electrical and Computer Engineering, effective August 15, 2006, through August 14, 2007.

### Professional Improvement Leaves

ROBERT A. DISILVESTRO, Professor, Department of Human Nutrition, effective Autumn Quarter 2006.

BURKHARD A. SCHAFFRIN, Associate Professor, Department of Geological Sciences, effective Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007.

### Professional Improvement Leaves—Cancellations

VINCENT J. ROSCIGNO, Professor, Department of Sociology, effective Autumn Quarter 2006.

## **July 7, 2006 meeting, Board of Trustees**

ANDREW C. SHELTON, Associate Professor, Department of History of Art, effective Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007.

### Professional Improvement Leaves—Change in Dates

STANISLAV I. ROKHLIN, Professor, Department of Industrial, Welding and Systems Engineering, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Winter Quarter and Spring Quarter 2007.

KAZIMIERZ M. SLOMCZYNSKI, Professor, Department of Sociology, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Winter Quarter, Spring Quarter, and Autumn Quarter 2007.

CATHY A. RAKOWSKI, Associate Professor, Departments of Human and Community Resource Development and Women's Studies, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008.

JEFF S. SHARP, Associate Professor, Department of Human and Community Resource Development, change leave from Summer Quarter and Autumn Quarter 2006, and Winter Quarter and Spring Quarter 2007, to Winter Quarter and Spring Quarter 2007.

### Emeritus Titles

ROBERT B. BROWN, Department of Mathematics, with the title Professor Emeritus, effective July 1, 2006.

KOICHIRO HARADA, Department of Mathematics, with the title Professor Emeritus, effective July 1, 2006.

THOMAS G. HAYES, Department of Biomedical Informatics (Anatomy), with the title Professor Emeritus, effective August 1, 2006.

SALLY L. KITCH, Department of Women's Studies, with the title Professor Emeritus, effective July 1, 2006.

RICHARD L. MCCREERY, Department of Chemistry, with the title Professor Emeritus, effective July 1, 2006.

RICHARD F. MORTENSEN, Department of Microbiology, with the title Professor Emeritus, effective July 1, 2006.

FRED D. SACK, Department of Plant Cellular and Molecular Biology, with the title Professor Emeritus, effective July 1, 2006.

CHERYLE J. SYRACUSE, Ohio State University Extension, with the title Professor Emeritus, effective August 1, 2006.

STACY WEISLOGEL, Department of Aviation, with the title Professor Emeritus, effective July 1, 2006.

JOHN T. DAVIS, Department of Surgery, with the title Professor-Clinical Emeritus, effective July 1, 2006.

ALBERT J. DAVIS, Department of Human Development and Family Science, with the title Associate Professor Emeritus, effective July 1, 2006.

CHARLES J. NOEL, Department of Consumer Sciences, with the title Associate Professor Emeritus, effective July 1, 2006.

## **July 7, 2006 meeting, Board of Trustees**

CHARLES O. ROSS, College of Social Work, with the title Associate Professor Emeritus, effective July 1, 2006.

MARTHA S. ALT, University Libraries, with the title Assistant Professor Emeritus, effective July 1, 2006.

NANCY K. NORDSTROM, College of Dentistry (Dental Hygiene), with the title Assistant Professor Emeritus, effective July 1, 2006.

### Promotions, Tenure, and Reappointments

#### COLLEGE OF THE ARTS

##### PROMOTION TO PROFESSOR

Boone, Graeme M., School of Music, effective October 1, 2006

Nini, Paul J., Industrial, Interior and Visual Communication Design, effective October 1, 2006

Stout, Candace, Art Education, effective October 1, 2006

##### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Haase, Jeffrey L., Industrial, Interior and Visual Communication Design, effective October 1, 2006

Powell, Susan K., School of Music, effective October 1, 2006

#### COLLEGE OF BIOLOGICAL SCIENCES

##### PROMOTION TO PROFESSOR

Gibbs, H. Lisle, Evolution, Ecology and Organismal Biology, effective October 1, 2006

Grotewold, Erich, Plant, Cellular and Molecular Biology, effective October 1, 2006

##### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Artsmovitch, Irina, Microbiology, effective October 1, 2006

Ibba, Michael, Microbiology, effective October 1, 2006

Satoskar, Abhay R., Microbiology, effective October 1, 2006

#### THE MAX M. FISHER COLLEGE OF BUSINESS

##### PROMOTION TO PROFESSOR

Teoh, Siew Hong, Accounting and Management Information Systems, effective October 1, 2006

#### COLLEGE OF DENTISTRY

##### PROMOTION TO PROFESSOR

Denry, Isabelle L., effective October 1, 2006

##### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Chacon, Guillermo E., effective October 1, 2006

Nusstein, John M., effective October 1, 2006

#### COLLEGE OF DENTISTRY

##### REGULAR CLINICAL

##### PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Rashid, Robert G., effective October 1, 2006 & October 1, 2007

##### PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Bauchmoyer, Susan M., effective October 1, 2006 & October 1, 2007

Kuntupis, Constance R., effective October 1, 2006 & October 1, 2007

Moore, Wendy J., effective October 1, 2006 & October 1, 2007

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Soller, Hilary A., effective October 1, 2006 & October 1, 2007

### **REAPPOINTMENT**

El-Gendy, Tamer, effective October 1, 2007

Fischbach, Henry, effective October 1, 2007

Mendel, Deborah, effective October 1, 2007

Mohammad, Abdel, effective October 1, 2007

## **COLLEGE OF EDUCATION AND HUMAN ECOLOGY**

### **PROMOTION TO PROFESSOR**

Bartle-Haring, Suzanne E., Human Development and Family Science, effective October 1, 2006

Neef, Nancy A., School of Physical Activity and Educational Services, effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Blackburn, Mollie, School of Teaching and Learning, effective October 1, 2006

Moore, James L., School of Physical Activity and Educational Services, effective October 1, 2006

Rodgers, Emily M., School of Teaching and Learning, effective October 1, 2006

Stoel, Leslie D., Consumer Sciences, effective October 1, 2006

Warner, Christine D., School of Teaching and Learning (Newark), effective October 1, 2006

## **COLLEGE OF ENGINEERING**

### **PROMOTION TO PROFESSOR**

Kahraman, Ahmet, Mechanical Engineering, effective October 1, 2006

Parker, Robert G., Mechanical Engineering, effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Teixeira, Fernando L., Electrical and Computer Engineering, effective October 1, 2006

### **TENURE [at rank of Associate Professor]**

Adamovich, Igor V., Mechanical Engineering, effective October 1, 2006

Yu, Asheng-Tao J., Mechanical Engineering, effective October 1, 2006

## **COLLEGE OF FOOD, AGRICULTURAL, AND ENVIRONMENTAL SCIENCES**

### **PROMOTION TO PROFESSOR**

Alvaez, Valente B., Food Science and Technology, effective October 1, 2006

Basta, Nicholas T., School of Environment and Natural Resources, effective October 1, 2006

Grewal, Parwinder S., OSU Extension, effective October 1, 2006

Haab, Timothy C., Agricultural, Environmental & Developmental Economics, effective October 1, 2006

James, Randall E., effective October 1, 2006

Kamoun, Sophien, Plant Pathology, effective October 1, 2006

Pratt, Richard C., Horticulture & Crop Science, effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Apsley, David K., OSU Extension, effective October 1, 2006

Balasubramaniam, V.M., Food Science and Technology, effective October 1, 2006

Connor, James J., Human and Community Resource Development, effective October 1, 2006

Delwiche, Jeannine F., Food Science and Technology, effective October 1, 2006

Ferrari, Theresa M., OSU Extension, effective October 1, 2006

Gardner, David S., Horticulture and Crop Science, effective October 1, 2006

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Hooker, Neal H., Agricultural, Environmental, and Development Economics, effective October 1, 2006

Jones, Michelle L., Horticulture and Crop Science, effective October 1, 2006

Martin, Jay F., Food, Agricultural, and Biological Engineering, effective October 1, 2006

McSpadden Gardener, Brian B., Plant Pathology, effective October 1, 2006

Rodewald, Amanda D., School of Environment and Natural Resources, effective October 1, 2006

Stockinger, Eric J., Horticulture and Crop Science, effective October 1, 2006

Vodovotz, Yael, Food Science and Technology, effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR**

Kelbaugh, Beverly, OSU Extension, effective October 1, 2006

Mader, Sharon L., OSU Extension, effective October 1, 2006

## **COLLEGE OF HUMANITIES**

### **PROMOTION TO PROFESSOR**

Brokaw, Cynthia J., History, effective October 1, 2006

Herman, Michelle, English, effective October 1, 2006

Jacobs, Neil G., Germanic Languages and Literatures, effective October 1, 2006

Levine, Robert, Linguistics, effective October 1, 2006

Marx-Scouras, Danielle C., French and Italian, effective October 1, 2006

Mazrui, Alamin, African-American and African Studies, effective October 1, 2006

Williams, Susan S., English, effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Aski, Janice, French and Italian, effective October 1, 2006

Kaldellis, Anthony, Greek and Latin, effective October 1, 2006

Kuusisto, Stephen, English, effective October 1, 2006

Modan, Gabriella, English, effective October 1, 2006

Reitter, Paul, Germanic Languages and Literatures, effective October 1, 2006

Rucker, Walter C., African-American and African Studies, effective October 1, 2006

Stevens, Maurice E., Comparative Studies, effective October 1, 2006

Svavarsdottir, Sigrun, Philosophy, effective October 1, 2006

Willing, Jennifer, French and Italian, effective October 1, 2006

### **TENURE [at rank of Associate Professor]**

Ingersoll, Thomas N., History (Lima), effective October 1, 2006

## **THE MICHAEL E. MORITZ COLLEGE OF LAW**

### **PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Lee, Edward S., effective October 1, 2006

## **UNIVERSITY LIBRARIES**

### **PROMOTION TO PROFESSOR**

Li, Guo Qing, effective October 1, 2006

O'Hanlon, Nancyanne A., effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Chute, Tamar G., effective October 1, 2006

Dean, Katherine A., effective October 1, 2006

### **PROMOTION TO ASSOCIATE PROFESSOR WITHOUT TENURE**

Krikos, Linda A., effective October 1, 2006

**COLLEGE OF MATHEMATICAL AND PHYSICAL SCIENCES**

**PROMOTION TO PROFESSOR**

Guan, Bo, Mathematics, effective October 1, 2006  
Hadad, Christopher M., Chemistry, effective October 1, 2006  
Kennedy, Gary, Mathematics (Mansfield), effective October 1, 2006  
Ozturk, Omer, Statistics, effective October 1, 2006

**PROMOTION TO PROFESSOR WITHOUT TENURE**

Leary, Ian, Mathematics (Marion), effective October 1, 2006

**PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Bao, Lei, Physics, effective October 1, 2006  
Bundschuh, Ralf A., Physics, effective October 1, 2006  
Hamilton, Ewan J.M., Chemistry (Lima), effective October 1, 2006  
Jechonnek, Sabine, Physics, effective October 1, 2006  
Saltzman, Matthew R., Geological Sciences, effective October 1, 2006

**COLLEGE OF MEDICINE**

**PROMOTION TO PROFESSOR**

Carson III, William E., Surgery, effective October 1, 2006  
Christofi, Fedias, Anesthesiology, effective October 1, 2006  
Hai, Tswonwin, Molecular and Cellular Biochemistry, effective October 1, 2006  
Yu, Chack-Yung, Pediatrics, effective October 1, 2006

**PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Buford, John A., School of Allied Medical Professions, effective October 1, 2006  
Cohn, David E., Obstetrics and Gynecology, effective October 1, 2006  
Crouser, Elliott D., Internal Medicine, effective October 1, 2006  
Liu, Yusen, Pediatrics, effective October 1, 2006  
Merrick, Mark A., School of Allied Medical Professions, effective October 1, 2006  
Porcu, Pierluigi, Internal Medicine, effective October 1, 2006  
Rafael-Fortney, Jill A., Molecular and Cellular Biochemistry, effective October 1, 2006  
Tridandapani, Susheela, Internal Medicine, effective October 1, 2006

**PROMOTION TO ASSOCIATE PROFESSOR WITHOUT TENURE**

Gerhardt, Mark A., Anesthesiology, effective October 1, 2006

**COLLEGE OF MEDICINE  
REGULAR CLINICAL**

**PROMOTION TO PROFESSOR-CLINICAL**

Batisky, Donald L., Pediatrics, effective October 1, 2006  
Dietrich, Ann M., Pediatrics, effective October 1, 2006

**PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT**

Orsinelli, David A., Internal Medicine, effective October 1, 2006 & October 1, 2007  
Westman, Judith A., Internal Medicine, effective October 1, 2006 & October 1, 2007

**PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL**

Crandall, Wallace V., Pediatrics, effective October 1, 2006  
Cronau, Holly, Family Medicine, effective October 1, 2006  
Dull, Pamela, Family Medicine, effective October 1, 2006  
Hoffman, Timothy M., Pediatrics, effective October 1, 2006  
Holland-Hall, Cynthia M., Pediatrics, effective October 1, 2006  
Mihalov, Leslie K., Pediatrics, effective October 1, 2006  
Mousa, Hayat, Pediatrics, effective October 1, 2006  
Nankervis, Craig A., Pediatrics, effective October 1, 2006  
Nash, Steve M., Neurology, effective October 1, 2006

## **July 7, 2006 meeting, Board of Trustees**

Pesavento, Todd E., Internal Medicine, effective October 1, 2006

### **REAPPOINTMENT**

Agarwal, Anil, Internal Medicine, effective October 1, 2007  
Cataland, Spero, Internal Medicine, effective October 1, 2007  
Cohen, Daniel, Pediatrics, effective October 1, 2007  
Cook, Stephanie, Emergency Medicine, effective October 1, 2007  
Eaton, Lynne, Obstetrics and Gynecology, effective October 1, 2007  
Evans, Cynthia, Obstetrics and Gynecology, effective October 1, 2007  
Freimer, Miriam, Neurology, effective October 1, 2007  
Gest, Alfred, Pediatrics, effective October 1, 2007  
Hicks, William, Internal Medicine, effective October 1, 2007  
Kloos, Robert, Surgery, effective October 1, 2007  
Larry, John, Internal Medicine, effective October 1, 2007  
Lucey, Catherine, Internal Medicine, effective October 1, 2007  
Lynn, Deborah, Neurology, effective October 1, 2007  
Maher, William, Internal Medicine, effective October 1, 2007  
McConaghy, John, Family Medicine, effective October 1, 2007  
McIlroy, Mary, Pediatrics, effective October 1, 2007  
McLaughlin, William, Ophthalmology, effective October 1, 2007  
Murden, Robert, Internal Medicine, effective October 1, 2007  
Ofathaigh, Ruaidhri, Internal Medicine, effective October 1, 2007  
Olshefski, Randal, Pediatrics, effective October 1, 2007  
Pakalnis, Ann, Pediatrics, effective October 1, 2007  
Pfeil, Sheryl, Internal Medicine, effective October 1, 2007  
Rowland, Daniel, Pediatrics, effective October 1, 2007  
Shidham, Ganesh, Internal Medicine, effective October 1, 2007  
Slone, Hasel, Radiology, effective October 1, 2007  
Spetie, Dan, Internal Medicine, effective October 1, 2007  
Trout, Wayne, Obstetrics and Gynecology, effective October 1, 2007  
Werman, Howard, Emergency Medicine, effective October 1, 2007

### **TENURE [at rank of Associate Professor]**

Kirshner, Lawrence S., Internal Medicine, effective October 1, 2006  
Sayre, Michael R., Emergency Medicine, effective October 1, 2006

## **COLLEGE OF NURSING**

### **PROMOTION TO PROFESSOR**

Ahijevych, Karen L., effective October 1, 2006

## **COLLEGE OF NURSING REGULAR CLINICAL**

### **REAPPOINTMENT**

Atkins, Marsha, effective October 1, 2007

## **COLLEGE OF OPTOMETRY**

### **PROMOTION TO PROFESSOR**

Bullimore, Mark A., effective October 1, 2006  
Mutti, Donald O., effective October 1, 2006

## **COLLEGE OF OPTOMETRY REGULAR CLINICAL**

### **PROMOTION TO PROFESSOR-CLINICAL**

Earley, Michael J., effective October 1, 2006



**July 7, 2006 meeting, Board of Trustees**

REAPPOINTMENT

Newcomb, Robert D., effective October 1, 2007

COLLEGE OF PHARMACY  
REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Bennet, Marialice S., effective October 1, 2006 & October 1, 2007

REAPPOINTMENT

Brackett, Carolyn C., effective October 1, 2007

TENURE [at rank of Associate Professor]

Schmittgen, Thomas D., effective October 1, 2006

SCHOOL OF PUBLIC HEALTH

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

McAlearney, Ann S., effective October 1, 2006

COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Downey, Douglas B., Sociology, effective October 1, 2006

Green, Joseph P., Psychology (Lima), effective October 1, 2006

Qian, Zhenchao, Sociology, effective October 1, 2006

Scheweller, Randall, Political Science, effective October 1, 2006

Verdier, Daniel, Political Science, effective October 1, 2006

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Guatelli-Steinberg, Debra, Anthropology, effective October 1, 2006

Volden, Craig, Political Science, effective October 1, 2006

TENURE [at rank of Associate Professor]

Keeler, Andrew G., John Glenn School of Public Affairs, effective October 1, 2006

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Warren, Keith T., effective October 1, 2006

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Inapanbutr, Nongnuch, Veterinary Biosciences, effective October 1, 2006

Slemons, Richard D., Veterinary Preventive Medicine, effective October 1, 2006

Wittum, Thomas E., Veterinary Preventive Medicine, effective October 1, 2006

COLLEGE OF VETERINARY MEDICINE  
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR AND REAPPOINTMENT

Green, Eric M., Veterinary Clinical Sciences, effective October 1, 2006 & October 1, 2007

## **July 7, 2006 meeting, Board of Trustees**

### Medical Staff—Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Jeanette M. Abell, M.D., Internal Medicine, General Medicine, Community Associate\*, 4/14/2006  
Peggy J. Barnum, C.R.N.A., Anesthesiology, Allied Health, 4/14/2006  
Lori E. Boedigheimer, C.N.P., Internal Medicine, Hematology/Oncology, Allied Health, 4/14/2006  
Rebecca Coffey, C.N.P., Surgery, General Surgery, Allied Health, 5/23/2006  
Rhonda S. Crockett, L.M.T., Family Medicine, Allied Health, 4/14/2006  
Christine E. Lawless, M.D., Internal Medicine, Cardiovascular Medicine, Associate Attending, 5/23/2006  
Michael A. Malfatt, P.A.-C, Radiation Medicine, Allied Health, 5/23/2006  
Jeffrey Marable, M.D., Obstetrics and Gynecology, Associate Attending, 4/14/2006  
Raymond M. Pongonis, D.O., Family Medicine, Associate Attending, 4/14/2006  
Bahadar Said, M.B.B.S., Internal Medicine, General Medicine, Community Associate\*, 4/14/2006  
Atom Sarkar, M.D., Ph.D., Neurologic Surgery, Associate Attending, 4/14/2006  
John A. Stefaniuk, C.R.N.A., Anesthesiology, Allied Health, 5/23/2006  
Anne Taylor, M.D., Surgery, Plastic Surgery, Associate Attending, 5/23/2006  
Nancy J. Williams, P.A.-C, Surgery, Thoracic/Cardiovascular, Allied Health, 5/23/2006  
Hailing Zhang, R.AC, Family Medicine, Allied Health, 4/14/2006

\*As part of the hospital list service at UH East, respond to code blue calls for outpatient James patients.

### Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Scott K. Aberegg, M.D., Internal Medicine, Pulmonary, Associate Attending, 5/23/2006  
Karl S. Amstutz, C.R.N.A., Anesthesiology, Allied Health, 5/23/2006  
Cindy M. Baker, M.D., Internal Medicine, Cardiovascular Medicine, Associate Attending, 4/14/2006  
Adrienne L. Bennett, M.D., Internal Medicine, General Medicine, Associate Attending, 5/23/2006  
Robert Cavaliere, M.D., Neurology, Attending, 4/14/2006  
Fayu Chen, M.D., Pathology, Associate Attending, 4/14/2006  
Marc R. Criden, M.D., Ophthalmology, Associate Attending, 5/23/2006  
Joseph D. Friessen, C.R.N.A., Anesthesiology, Allied Health, 5/23/2006  
Tamara L. Gutierrez, M.D., Family Medicine, Associate Attending, 5/23/2006  
Tien Hoang, M.D., Internal Medicine, Hematology/Oncology, Associate Attending, 4/14/2006  
Julianne Huefner, M.D., Internal Medicine, General Medicine, Associate Attending, 4/14/2006  
Andrew F. Hundley, M.D., OB/GYN, Associate Attending, 5/23/2006  
Shaheen Islam, M.B.B.S., Internal Medicine, Pulmonary, Associate Attending, 5/23/2006  
Iouri Ivanov, M.D., Pathology, Associate Attending, 4/14/2006  
Bharat K. Kantharia, M.D., Internal Medicine, Cardiovascular Medicine, Associate Attending, 5/23/2006  
David B. Kaplansky, D.P.M., Orthopaedics, Podiatry, Associate Attending, 4/14/2006  
Boris I. Karanfilov, M.D., Otolaryngology, Associate Attending, 5/23/2006  
Jamielynn Kemp, P.A., Radiology, Allied Health, 4/14/2006  
Richard Kloos, M.D., Radiology, Associate Attending, 4/14/2006  
Jason B. Lichten, M.D., Surgery, Endocrinology, Associate Attending, 4/14/2006  
Anita N. Ndife, M.B.B.S., Family Medicine, Associate Attending, 4/14/2006  
Rupal S. Oza, M.D., Family Medicine, Associate Attending, 4/14/2006  
Gregory D. Pearson, M.D., Surgery, Plastic Surgery, Associate Attending, 4/14/2006  
Ruth Ann Perr, M.D., Anesthesiology, Pain and Palliative Care, Allied Health, 4/14/2006  
Howard Rothbaum, M.D., Internal Medicine, General Medicine, Associate Attending, 4/14/2006

## July 7, 2006 meeting, Board of Trustees

Ismet Sarikaya, M.D., Radiology, Associate Attending, 4/14/2006  
Kurt B. Stevenson, M.D., Internal Medicine, Infectious Disease, Associate Attending, 4/14/2006  
Robert M. Taylor, M.D., Neurology, Associate Attending, 5/23/2006  
Christopher Valentine, M.D., Internal Medicine, Nephrology, Associate Attending, 4/14/2006  
Sharla Wells-DiGregorio, Ph.D., Psychiatry, Associate Attending, 4/14/2006  
JoAnna Williams, M.D., Pathology, Associate Attending, 4/14/2006  
Jill S. Woolard, P.A., Surgery, Urology, Allied Health, 5/23/2006

### Medical Staff—Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Pauline F. James, C.N.S., Allied Health, Internal Medicine, Hematology/Oncology, 5/23/2006- 3/31/2007  
Tasos Manokas, D.O., Associate Attending, Internal Medicine, Digestive Disease, 4/14/2006- 10/31/2007  
Sheryl A. Pfeil, M.D., Associate Attending, Internal Medicine, Digestive Disease, 4/14/2006- 10/31/2007  
Akram Sadaka, M.D., Associate Attending, Family Medicine, 4/14/2006-3/31/2008  
Zarife Sahenk, M.D., Associate Attending, Neurology, 5/23/2006-3/31/2008  
Joel H. Saltz, M.D., Ph.D., Associate Attending, Pathology, 4/14/2006-3/31/2008  
Raymond Sanzo, Jr., C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008  
Bhagwan Satiani, M.D., Associate Attending, Surgery, Vascular, 4/14/2006-3/31/2008  
Richard M. Saucier, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008  
Kenneth W. Saul, D.O., Associate Attending, Family Medicine, 4/14/2006-3/31/2008  
Radu V. Saveanu, M.D., Associate Attending, Psychiatry, 5/23/2006-3/31/2008  
Jason G. Sayat, M.D., Associate Attending, Obstetrics and Gynecology, 5/23/2006- 3/31/2008  
Stephen F. Schaal, M.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 5/23/2006-3/31/2008  
Jonathan A. Schaffir, M.D., Associate Attending, Obstetrics and Gynecology, 4/14/2006- 3/31/2008  
Douglas W. Scharre, M.D., Associate Attending, Neurology, 4/14/2006-3/31/2008  
Richard E. Schlanger, M.D., Associate Attending, Surgery, General Surgery, 5/23/2006- 3/31/2008  
Larry S. Schlesinger, M.D., Associate Attending, Internal Medicine, Infectious Disease, 4/14/2006-3/31/2008  
David E. Schuller, M.D., Attending, Otolaryngology, 5/23/2006-3/31/2008  
Eric R. Schumacher, D.O., Associate Attending, Internal Medicine, General Medicine, 5/23/2006- 3/31/2008  
Dara P. Schuster, M.D., Associate Attending, Internal Medicine, Endocrinology, 5/23/2006- 3/31/2008  
Daniel D. Sedmak, M.D., Associate Attending, Pathology, 4/14/2006-3/31/2008  
Charles M. Seelandt, M.D., Associate Attending, Anesthesiology, 5/23/2006-3/31/2008  
Veena V. Sengupta, M.D., Associate Attending, Neurology, 4/14/2006-3/31/2008  
Steven A. Severyn, M.D., Associate Attending, Anesthesiology, 5/23/2006-3/31/2008  
Bivik R. Shah, M.D., Clinical Attending, Surgery, Plastic Surgery, 4/14/2006-3/31/2008  
Manisha H. Shah, M.D., Attending, Internal Medicine, Hematology/Oncology, 5/23/2006- 3/31/2008  
Yanfu Shao, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008  
Charles L. Shapiro, M.D., Attending, Internal Medicine, Hematology/Oncology, 4/14/2006-3/31/2008  
Cynthia S. Shellhaas, M.D., Associate Attending, Obstetrics and Gynecology, 4/14/2006- 3/31/2008  
Bassel F. Shneker, M.D., Associate Attending, Neurology, 4/14/2006-3/31/2008  
R. Trent Sickles, M.D., Associate Attending, Family Medicine, 4/14/2006-3/31/2008  
Bushra Siddiqi, M.D., Associate Attending, Family Medicine, 4/14/2006-3/31/2008  
Ann F. Siefert, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008

## July 7, 2006 meeting, Board of Trustees

John H. Sirak, M.D., Associate Attending, Surgery, Thoracic/Cardiovascular, 5/23/2006-3/31/2008

Andrew P. Slivka, Jr., M.D., Associate Attending, Neurology, 4/14/2006-3/31/2008

Robert H. Small, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008

Namita Sood, M.D., Associate Attending, Internal Medicine, Pulmonary, 5/23/2006-3/31/2008

Carl E. Speicher, M.D., Associate Attending, Pathology, 4/14/2006-3/31/2008

Dimitrios G. Spigos, M.D., Associate Attending, Radiology, 4/14/2006-3/31/2008

Steven M. Steinberg, M.D., Associate Attending, Surgery, General Surgery, 5/23/2006-3/31/2008

Kurt B. Stevenson, M.D., Associate Attending, Internal Medicine, Infectious Disease, 4/14/2006-3/31/2008

Suelywn Stewart, M.D., Associate Attending, Family Medicine, 5/23/2006-3/31/2008

Charles G. Stockton, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008

Kelley M. Stone, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008

Michael J. Sullivan, M.D., Associate Attending, Otolaryngology, 5/23/2006-3/31/2008

Benjamin C. Sun, M.D., Associate Attending, Surgery, Thoracic/Cardiovascular, 5/23/2006-3/31/2008

Saul M. Suster, M.D., Associate Attending, Pathology, 4/14/2006-3/31/2008

Joseph F. Swan, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008

Teresa M. Swank, P.A.-C, Allied Health, Surgery, Thoracic/Cardiovascular, 5/23/2006-3/31/2008

Neeraj H. Tayal, M.D., Associate Attending, Internal Medicine, General Medicine, 4/14/2006-3/31/2008

Robert M. Taylor, M.D., Associate Attending, Neurology, 4/14/2006-3/31/2008

Fred B. Thomas, M.D., Associate Attending, Internal Medicine, Digestive Disease, 5/23/2006-3/31/2008

Jeffrey T. Thorne, C.N.P., Allied Health, Internal Medicine, Hematology/Oncology, 5/23/2006-3/31/2008

Evan J. Tobin, M.D., Associate Attending, Otolaryngology, 5/23/2006-3/31/2008

Richard F. Totten, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008

Wayne D. Traetow, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008

Ellen M. Turner, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008

Katja R. Turner, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008

Elizabeth S. Tuttle, M.D., Associate Attending, Family Medicine, 4/14/2006-3/31/2008

Manuel Tzagournis, M.D., Associate Attending, Internal Medicine, Endocrinology, 4/14/2006-3/31/2008

Patrick S. Vaccaro, M.D., Associate Attending, Surgery, Vascular, 4/14/2006-3/31/2008

Rafael E. Villalobos, D.O., Clinical Attending, Surgery, Plastic Surgery, 5/23/2006-3/31/2008

Miguel Villalona-Calero, M.D., Attending, Internal Medicine, Hematology/Oncology, 4/14/2006-3/31/2008

Paul E. Wakely, Jr., M.D., Associate Attending, Pathology, 4/14/2006-3/31/2008

Joseph L. Walsh, C.R.N.A., Allied Health, Anesthesiology, 5/23/2006-3/31/2008

Dehui D. Wang, R.A.C., Allied Health, Family Medicine, 5/23/2006-3/31/2008

Joel M. Weaver, D.D.S., Ph.D., Associate Attending, Dental, 5/23/2006-3/31/2008

Paul A. Weber, M.D., Associate Attending, Ophthalmology, 4/14/2006-3/31/2008

Alvin G. Wee, D.D.S., Associate Attending, Dental, 5/23/2006-3/31/2008

Harrison G. Weed, M.D., Associate Attending, Internal Medicine, General Medicine, 4/14/2006-3/31/2008

D. Bradley Welling, M.D., Ph.D., Associate Attending, Otolaryngology, 5/23/2006-3/31/2008

Sharla Wells-DiGregorio, Ph.D., Associate Attending, Psychiatry, 5/23/2006-3/31/2008

Mark D. Wewers, M.D., Associate Attending, Internal Medicine, Pulmonary, 5/23/2006-3/31/2008

Randell K. Wexler, M.D., Associate Attending, Family Medicine, 4/14/2006-3/31/2008

Gregory J. Wiet, M.D., Associate Attending, Otolaryngology, 5/23/2006-3/31/2008

Michael J. Wilkinson, M.D., Associate Attending, Ophthalmology, 5/23/2006-3/31/2008

David A. Wininger, M.D., Associate Attending, Internal Medicine, Infectious Disease, 4/14/2006-3/31/2008

## July 7, 2006 meeting, Board of Trustees

Joel C. Wood, M.D., Associate Attending, Family Medicine, 4/14/2006-3/31/2008  
Haifeng M. Wu, M.D., Associate Attending, Pathology, 5/23/2006-3/31/2008  
Sandra J. Wu, M.D., Associate Attending, Internal Medicine, Dermatology, 5/23/2006-3/31/2008  
Paul J. Wulf, C.R.N.A., Allied Health, Anesthesiology, 4/14/2006-3/31/2008  
Yun Xia, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008  
Yiqing Xu, M.D., Ph.D., Attending, Internal Medicine, Hematology/Oncology, 5/23/2006-3/31/2008  
David O. Yablok, M.D., Associate Attending, Anesthesiology, 4/14/2006-3/31/2008  
Allan J. Yates, M.D., Ph.D., Associate Attending, Pathology, 5/23/2006-3/31/2008  
Lisa D. Yee, M.D., Attending, Surgery, Surgical Oncology, 5/23/2006-3/31/2008  
James L. Young, Jr., M.D., Associate Attending, Psychiatry, 5/23/2006-3/31/2008  
Joseph S. Yu, M.D., Associate Attending, Radiology, 5/23/2006-3/31/2008

### Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Meleana J. Burt, C.N.P., Surgery, Write discharge instructions, Allied Health, 4/14/2006  
Rebecca Coffey, C.N.P., Surgery, Prescriptive authority, Allied Health, 5/23/2006  
Charles J. Hardebeck, M.D., Internal Medicine, Basic echocardiography, stress echo, transthoracic echo, & nuclear procedures, Associate Attending, 4/14/2006  
Tasos Manokas, D.O., Internal Medicine, Moderate Sedation, Associate Attending, 4/14/2006  
Sheryl A. Pfeil, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 4/14/2006  
Stephen F. Schaal, M.D., Internal Medicine, Moderate & Deep sedation, Associate Attending, 5/23/2006  
Jonathan A. Schaffir, M.D., OB/GYN, CO<sub>2</sub> laser, Associate Attending, 4/14/2006  
David E. Schuller, M.D., Otolaryngology, CO<sub>2</sub> laser, Attending, 5/23/2006  
Dimitrios G. Spigos, M.D., Radiology, Diagnostic angiograms and peripheral interventions, Associate Attending, 4/14/2006  
Steven M. Steinberg, M.D., Surgery, Advanced laparoscopy\*, Associate Attending, 5/23/2006  
Teresa M. Swank, P.A., Surgery, Chest tube removal, Allied Health, 4/14/2006  
Jeffrey T. Thorne, C.N.P., Internal Medicine, Prescriptive authority and Bone marrow aspiration/biopsy, Allied Health, 5/23/2006  
Evan Tobin, M.D., Otolaryngology, CO<sub>2</sub> laser, Associate Attending, 5/23/2006  
Patrick S. Vaccaro, M.D., Surgery, Moderate Sedation, Associate Attending, 4/14/2006  
Paul A. Weber, M.D., Ophthalmology, Ophthalmic and Frequency and Doubled Yag and Diode lasers, Associate Attending, 4/14/2006  
D. Bradley Welling, M.D., Ph.D., Otolaryngology, Argon laser, Associate Attending, 5/23/2006  
Gregory J. Wiet, M.D., Otolaryngology, CO<sub>2</sub>, Surgical Yag and Tunable, and Dye lasers, Associate Attending, 5/23/2006

\*Intestinal resections and mobilizations; gastric and abdominal esophageal procedures to include: vagotomy, antireflux procedures, pyloroplasty and therapeutics of the distal esophagus; solid organ therapeutics: splenectomy, adrenalectomy, nephrectomy, and distal partial pancreatectomy.

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## RESOLUTIONS IN MEMORIAM

Resolution No. 2007-13

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

## July 7, 2006 meeting, Board of Trustees

Robert J. Atwell

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 11, 2006, of Robert J. Atwell, Professor Emeritus in the School of Allied Medical Professions.

Dr. Atwell received both his A.B. and M.D. degrees from Duke University in Durham, North Carolina, in 1941 and 1944, respectively. This was followed by a fellowship from 1948-50 at Duke and residencies at Bellevue Hospital in New York City and at Duke Hospital in Durham, specializing in pulmonary diseases.

He began his tenure at The Ohio State University in 1951 as an assistant professor in the College of Medicine and continued his service to the University until 1983, when he retired. During that time, he progressed through the ranks and was promoted to professor in 1966. He also served as acting dean from 1972-73 and as the chief of Medical Service at the Ohio Tuberculosis Hospital in Columbus from 1950-66. In 1966, he led the way for the establishment of the School of Allied Medical Professions and served as the first director from its opening until his retirement in 1983.

Throughout his years as director of the School, he was a spokesman for the allied medical programs, providing extensive service to the organizations representing these professions and publishing and presenting on topics relevant to issues in allied health. To commemorate his contributions to the School and the professions, the building that houses the School was named "Robert J. Atwell Hall," in 2000.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Robert J. Atwell its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Mojmir Drvota

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 27, 2006, of Mojmir Drvota, Professor Emeritus in the former Department of Photography and Cinema.

Dr. Drvota was born in 1923 in Prague, Czechoslovakia, and attended Charles University there, earning his Ph.D. degree in aesthetics, art history, and philosophy from Palacky University in Olomouc, Czechoslovakia. Professor Drvota immigrated to the United States in 1957 after the communistic regime took over his beloved city of Prague.

Remembered for his gentle manner, engaging smile, and quick wit, Dr. Drvota was a professor of film and cinematography at Columbia University in New York City and later at Ohio State, retiring in 1992. At OSU, he taught a variety of courses, but is best remembered by former students for classes in film history, theory, genres, and directing.

Professor Drvota wrote short stories, poems, plays and novels, including *Solitaire*, *Triptych*, *Boarding House for Artists* and *Vacation in Pajamas*. A screenwriter and director of film and stage, his works include the films *A Distant Journey (Daleká cesta)*, *A Matter of Seeing* and *Film Theory Part I*, as well as the play *The Magic Lantern*.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Mojmir Drvota its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

## **July 7, 2006 meeting, Board of Trustees**

Mary Eloise Green

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 16, 2005, of Mary Eloise Green, Professor Emeritus in the Department of Human Nutrition. She was 102 years old.

Mary Green grew up on a farm about 15 miles from East Liberty, Ohio, near Columbus. She received a Bachelor of Science degree in home economics education (1928) and a Master of Science degree in nutrition and food management (1933), both from The Ohio State University. It took Professor Green eight years to finish her undergraduate studies. She supported herself financially by teaching at the Perry Township School in East Liberty from 1923-26, and then by working as a waitress at the Faculty Club and a cataloger at the library for 15 cents per hour. While working on her master's degree and after, she taught home economics and related science courses at Monroe Township School in West Liberty.

In 1939, Professor Green was hired by the Department of Home Economics to teach foods and nutrition. During her tenure, the department evolved into a school. She took a two-year leave of absence during which she completed her Ph.D. degree in human nutrition at Iowa State University. Professor Green returned to Ohio State and taught both nutrition and food courses for a total of 33 years. Her tenure took place during the period when discoveries were made of the amino acids that make up protein and the discovery of vitamins and their recommended daily allowances. Dr. Green and her colleagues assimilated this new knowledge and taught it to the students in their classes. She loved teaching, saying in a June 8, 2005, interview, "I knew from the time I was old enough to read that I wanted to be a teacher."

Mary Green held memberships with the American Dietetic Association, the American Association of Family and Consumer Sciences, the American Association of University Women, and Kappa Omicron Nu, the Home Economics Honor Society. She was especially proud of her invited membership in Iota Sigma Pi (the National Honor Society for Women in Chemistry), of being a member of the Institute of Food Technologists (IFT), and of her designation as a fellow of the Association for the Advancement of Science in 1967. She also served on the advisory committee of the Columbus Milk Council.

Professor Green was a true Buckeye and a valued and dedicated teacher, mentor, and colleague throughout her career. In 1970, she received Ohio State's Alfred S. Wright Award for service to student organizations. In 1972, Dr. Green retired, becoming professor emeritus. At her retirement party, she thanked The Ohio State University for allowing her to pursue her first love of teaching.

In 1979, the Home Economics Alumni Association awarded Dr. Green its Distinguished Service to Home Economics Award in acknowledgement of her expertise in laws regulating food preparation and packaging and the incorporation of that new knowledge into classes.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Mary Eloise Green its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the Department of Human Nutrition as an expression of the Board's heartfelt sympathy.

Suzanne E. Schroeder

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 12, 2006, of Suzanne E. Schroeder, Associate Professor Emeritus in the School of Physical Activity and Educational Services.

## **July 7, 2006 meeting, Board of Trustees**

Professor Schroeder held a Bachelor of Arts degree from the University of Toledo and a Master of Arts degree from the University of Wisconsin - Madison. She did further graduate study at Colorado State, New York University, and The Ohio State University. Before joining the faculty at Ohio State, she taught in Maumee and Prairie Township, Ohio, and was director of Women's Physical Education at Beloit College in Beloit, Wisconsin. During World War II, she served in the American Red Cross in Guam and the Tinian Islands.

Professor Schroeder came to Ohio State in 1953 where she taught in the Department of Physical Education. In 1957, she became area supervisor of Student Teaching. She was deeply respected by school administrators, cooperating teachers, and students alike, as she guided and supervised hundreds of young student teachers. She was the first physical educator to use a video recorder in the analysis of teaching and developed a program of follow-up for graduates teaching in Ohio.

Professor Schroeder served the University and her profession with membership and committee service in campus, state, and national organizations. She was in considerable demand as a speaker to teacher groups, often focusing on skill analysis and supervision. Upon her retirement in 1981, she was named Associate Professor Emeritus.

Suzanne Schroeder was greatly respected as an advocate of teaching excellence and brought a calm, graceful energy to all her work.

On behalf of the University community, the Board of Trustees expresses to the family of friends of Professor Suzanne E. Schroeder its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Harold Shechter

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 19, 2006, of Harold Shechter, Professor Emeritus in the Department of Chemistry.

Professor Shechter grew up in Swansea, South Carolina, where he completed his public school education and received his undergraduate degree in 1941 from the University of South Carolina. From 1941-45 he was a graduate assistant and research fellow in the Chemistry Department at Purdue University, receiving his Ph.D. degree in 1945.

After a year as a Westinghouse postdoctoral fellow at Purdue, he joined the faculty of The Ohio State University in 1946 as an assistant professor and rose through the ranks to full professor. From 1977-83 he served as faculty representative for athletics, in addition to serving on many University committees through the years. In 1987, he was named the first Newman Professor of Chemistry. He was a superb undergraduate and graduate instructor and a champion of excellent teaching throughout the department. He won the Alumni Award for Distinguished Teaching in 1965 and the College of Arts and Sciences' Outstanding Teacher Award in 1986. One of his favorite courses was the introductory organic chemistry lecture, and he loved his interaction with premedical students.

He was an avid fan of Ohio State sports, missing only one home football game during his years at Ohio State, and regularly attending basketball and baseball games. Even though he reached mandatory retirement age in 1992, he was active in the department until his death.

Professor Shechter developed a world-renowned research program during his years at Ohio State. He was advisor to 126 Ph.D. students, 35 M.S. students, and had 80 postdoctoral fellows who conducted research with him. Sponsors of this research were:



## July 7, 2006 meeting, Board of Trustees

The National Science Foundation, The National Institutes of Health, The Petroleum Research Fund, Research Corporation, The Office of Ordnance Research (U.S. Army), The Office of Naval Research, Geo-Centers Inc., The Ohio State University Development Fund, Arthur D. Little Corp., Commercial Solvents Corp., Aerojet Engineering Corp., General Tire Company, Thiokol Corp., Alliant Tech Systems, and a number of other agencies.

He was a consultant to many government agencies and companies: EPA Advisory Board, Office of Naval Research, U.S. Ordnance Offices, U.S. Navy Space Program, U.S. Army, U.S. Air Force, National Institutes of Health, Professional Consulting, W.R. Grace, American Can Company, Thiokol Corp., Allied Chemical Corp., Chemical Samples Co., Petroleum Chemicals Inc., Battelle Laboratories, Pennsylvania Railroad Co., Arco Co., Lubrizol Co., Exxon Co., Great Lakes Chemical Co., American Cyanamid Co., and G. F. Smith Chemical Co.

Professor Shechter's research resulted in more than 240 scientific articles. He presented over 350 lectures at universities, colleges, industrial and government research laboratories, and scientific societies. From 1958-60 he served as associate editor of the *Journal of Organic Chemistry* and from 1970-74 was associate editor of *Chemical Reviews*.

Professor Shechter had a broad range of research interests, including generation and reactions of carbene and nitrene intermediates, the synthesis and reactions of the oxides of nitrogen and aliphatic nitro compounds, the mechanism of hydrazoic acid reactions with organic compounds, rocketry chemistry, pentacoordinate silicon chemistry, the synthesis and chemistry of highly-strained compounds, and highly-delocalized mono and dianions. He was one of the first investigators to be involved in the chemistry of divalent carbon and this was a major research interest over the years. He was a world authority on the chemistry of aliphatic nitro compounds, and over 21% of the rocket propellants used in the Polaris submarine missiles (U.S. Navy) were manufactured by chemistry developed at Ohio State. He was awarded the Meritorious Public Service Citation in 1962 from the Office of Naval Research. In 1994 he received the Columbus Section Award from the American Chemical Society for his research contributions.

His colleagues and former students will miss Professor Shechter's vast knowledge of organic chemistry, his insight into organic chemistry and the teaching of organic chemistry, and his thoughtful questions and suggestions for further research opined at chemistry seminars.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Harold Shechter its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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## REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2007-14

Synopsis: The report on the receipt of gifts and the summary for May 2006 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

**July 7, 2006 meeting, Board of Trustees**

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Ambassador Milton A. and Roslyn Z. Wolf Chair and The Major General Raymond E. Mason Jr. Chair of Military History; and

WHEREAS this report includes the establishment of fifty-two (52) new named endowed funds and the revision of fifteen (15) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2006 be approved.

**July 7, 2006 meeting, Board of Trustees**

TOTAL UNIVERSITY PRIVATE SUPPORT  
July through May  
2005-06 Compared to 2004-05  
GIFT RECEIPTS BY DONOR TYPE

	<u>Donors</u>			<u>Dollars</u>		
<u>%Change</u>	<u>2005-06</u>	<u>2004-05</u>	<u>%Change</u>	<u>2005-06</u>	<u>2004-05</u>	
Individuals:						
Alumni (Current Giving)	53,327	50,914	5	\$33,892,390	\$24,558,764	38 <sup>A</sup>
Alumni (Irrevocable Trusts						
Trusts and Annuities)	18	29	(38)	333,419	683,956	(51) <sup>B</sup>
Alumni (From Bequests)	46	50	(8)	4,509,959	16,480,410	(73) <sup>C</sup>
Alumni Total	53,391	50,993	5	\$38,735,768	\$41,723,131	(7)
Non-Alumni (Current Giving)	41,384	40,816	1	\$24,636,867	\$16,818,225	46 <sup>D</sup>
Non-Alumni (Irrevocable						
Trusts and Annuities)	8	10	(20)	743,969	452,445	64 <sup>E</sup>
Non-Alumni (From Bequests)	37	32	16	6,619,965	11,152,928	(41) <sup>F</sup>
Non-Alumni Total	41,429	40,858	1	\$32,000,800	\$28,423,598	13
Individual Total	94,820	91,851	3	\$70,736,569	\$70,146,728	1
Corporations/Corp/	4,324	4,127	5	\$33,960,160	\$33,301,916	2 <sup>G</sup>
Foundations						
Private Foundations	554	491	13	\$18,136,656	\$21,335,059	(15) <sup>H</sup>
Associations & Other						
Organizations	1,654	1,438	15	\$5,737,753	\$3,559,524	61 <sup>I</sup>
Total	101,352	97,907	4	\$128,571,138**	\$128,343,228	0

**\*\* National reporting standards require that irrevocable trusts be counted at present value.**

- A Individual Alumni Current gifts are up 38% due to overall gift activity at the \$100K+ gift level; 29 gifts over \$100K in July 2005-May 2006 compared with 20 gifts over \$100K in July 2004-May 2005.
- B Individual Alumni Irrevocable gifts are down 51% due to overall activity at the \$100K+ gift level; two gifts over \$100K in July 2005-May 2006 compared with six gifts over \$100K in July 2004-May 2005.
- C Individual Alumni bequest receipts are down 73% due to \$5.5M in July 2004 from Carl Baldwin to ophthalmology research and overall gift activity at the \$100K+ gift level; 14 gifts over \$100K in July 2005-May 2006 compared with 25 gifts over \$100K in July 2004-May 2005.
- D Individual Non-Alumni Current gifts are up 46% due to a gift-in-kind of original magazine collection from Eldon Dedini to the Libraries (valued at \$5M) in March 2006.
- E Individual Non-Alumni Irrevocable gifts are up 64% due to one \$1M (\$500K pv) irrevocable commitment in August 2005 from Emily Hathaway.
- F Individual Non-Alumni bequest receipts are down 41% due to \$2M in July 2004 from Grace Baldwin to ophthalmology research and \$2.9M in May 2005 from Albert and Martha Phipps to The Al and Martha Phipps Ohio State Golf Course Improvements Fund.
- G Corporate giving is up 2% due to \$4M in May 2005 from Battelle to the Mathematics and Science Education Policy Center.
- H Private Foundations giving is down 15% due to \$6M from the Max M. and Marjorie S. Fisher Foundation to the Fisher College of Business in October 2004.
- I Associations and Other Organizations giving is up 61% due to \$600K from the Skestos Family to The Justine Skestos Chair in Minimally Invasive Neurological Spinal Surgery in May 2006 and overall gift activity at the \$50K+ gift level; 18 gifts over \$50K in July 2005-May 2006 compared with six gifts over \$50K in July 2004-May 2005.

REPORT ON UNIVERSITY DEVELOPMENT (cont'd)

TOTAL UNIVERSITY PRIVATE SUPPORT (cont'd)

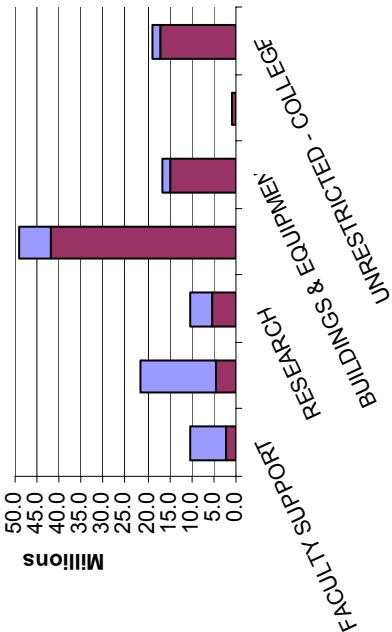
July through May

GIFT RECEIPTS BY PURPOSE

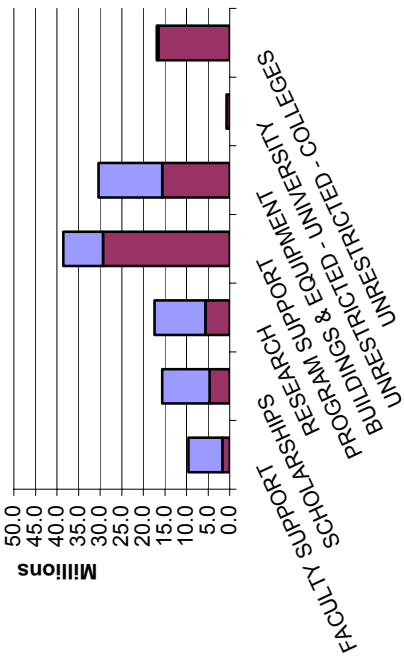
	2005-06		2004-05		%Change
	Current Use	Endowment	Current Use	Endowment	
	<u>Total</u>	<u>Total</u>	<u>Total</u>	<u>Total</u>	
Faculty Support	2,366,276	7,976,856	1,738,607	7,825,848	8
Scholarships	4,623,110	17,196,111	4,642,605	11,022,431	39
Research	5,616,323	4,646,472	5,610,487	11,819,342	(41)
Program Support	41,842,231	7,054,170	29,356,600	9,149,101	27
Buildings and Equipment	14,924,098	1,618,036	15,630,248	14,743,882	(46)
Unrestricted – University	849,624	---	752,039	---	13
Unrestricted – Colleges	17,307,907	1,469,550	16,536,035	374,133	11
Total	\$87,529,569	\$39,964,196	\$74,266,621	\$54,934,737	(1)

\*Purpose Report Total does not include Irrevocable Deferred gifts, so the total will be lower than the total on the Donor Type Report.

Current Use FY06 Endowment FY06



Current Use FY05 Endowment FY05



THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	Total Gifts
<u>Establishment of Named Endowed Funds</u>	
Richard John and Mary Ann Campbell Memorial Scholarship Fund in Welding Engineering (Used provide a scholarship to welding engineering students; provided by gifts from the estates of Mary Ann Hinders Campbell and Richard J. Campbell)	\$168,619.00
College of Dentistry Endowed Chair Fund in Periodontology (Used to provide salary and program support to a distinguished faculty member in the Section of Periodontology in the College of Dentistry; provided by gifts from alumni and friends)	\$100,108.00
College of Pharmacy Faculty Research and Development Fund (Used at the discretion of the dean of the College of Pharmacy to support faculty research and development activities; provided by gifts from numerous donors)	\$92,500.00
The College of Pharmacy Alumni Society Scholarship Fund (Used to provide a scholarship for an incoming second-, third-, or fourth-year Pharm.D. student in good academic standing who participates in one or more of the College's student organizations; provided by gifts from The College of Pharmacy Alumni Society)	\$35,238.24
The John Lyman Jr. Award Fund for Clinical Teaching Excellence (Used to supplement the implementation of the clinical teaching excellence award in the College of Veterinary Medicine; provided by a gift from Ronald Lyman in memory of his father, John Lyman, Jr.)	\$33,325.00
Optometry Scholarship Dinner Endowment Fund (Used to provide scholarships for students studying optometry; provided by gifts from numerous donors)	\$28,473.00
The Charles W. Kettlewell Professional Responsibility Award Fund (Used to provide an award to a law student for demonstrating excellence in understanding the laws of professional responsibility; provided by gifts from the Association of Responsibility Lawyers and Reginald S. Jackson, Jr. in memory of Charles W. Kettlewell)	\$28,250.00
Moritz College of Law Dean's Innovation Fund (Used at the discretion of the dean of the Moritz College of Law to support scholarships and/or awards for faculty and students; provided by gifts from friends and alumni of the Moritz College of Law)	\$27,064.00
Dr. Joe and Esther Ponzani Dental Missionary Scholarship Fund (Used to provide annual scholarship awards for dental students or Post-doctoral students who have served in the past 12 months as a dental missionary; provided by gifts from Esther Ponzani, friends, and family in celebration of the life of Dr. Joe Ponzani)	\$25,500.00
The Waste Management Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student- athlete who is pursuing an undergraduate degree at OSU and is a member of the varsity football team; provided by gifts from Waste Management of Canal Winchester, Ohio)	\$25,000.00

## July 7, 2006 meeting, Board of Trustees

### Change in Description of Named Endowed Funds

W.M. Kiplinger '12 Program in Public Affairs in Journalism Fund  
Master of Arts in Public Policy and Management Scholarship Fund  
William and Rose Papier Fund  
The Alvin Karl and Dorothea Ford Peterjohn Scholarship Fund  
Pharmaceutical Administration Excellence Fund  
The Jack D. Sparks Endowed Scholarship Fund

### THE OHIO STATE UNIVERSITY FOUNDATION

Total  
Gifts

### Establishment of Named Endowed Chairs

The Ambassador Milton A. and Roslyn Z. Wolf Chair \$1,383,977.00  
(Used to support a chair position in the John Glenn School of Public Affairs; provided by a gift from Ambassador Milton A. Wolf and Roslyn Z. Wolf) (grandfathered)

The Major General Raymond E. Mason Jr. Chair of Military History \$1,151,642.00  
(Used to support the Mason Chair of Military History; provided by gifts from Major General Raymond E. Mason, Jr.) (grandfathered)

### Establishment of Named Endowed Funds

The Jerome Lawrence and Robert E. Lee Theatre Research Institute Endowed Fund \$1,145,877.83  
(Used at the discretion of the director and the curator of The Jerome Lawrence and Robert E. Lee Theatre Research Institute; provided by a gift from the estate of Jerome Lawrence)

Bill and Blossom Hunt Athletic Scholarship Fund \$192,072.00  
(Used to supplement athletic scholarship grant-in-aid scholarship costs for student athletes who are members of the OSU men's basketball team and pursuing undergraduate degrees at OSU; provided by an estate gift from Bill and Blossom Hunt)

Gail T. Dennison ATI Equine Endowment Fund \$150,000.00  
(Used to purchase equipment and for special projects as outlined by the chairperson and the technology coordinator of horse production and management technology at the Agricultural Technical Institute; provided by a gift from the estate of Gail T. Dennison)

Michael H. and Jo Ann Carpenter Scholarship Fund \$100,500.00  
(Used to provide a full in-state tuition scholarship for that admitted first-year student at the Moritz College of Law possessing the highest LSAT score of the entering class and a minimum GPA of 3.25 who is not otherwise receiving full-tuition scholarship; provided by gifts from Michael H. and Jo Ann Carpenter)

The Bunny and Thomas Clark Scholarship Endowment Fund \$100,460.50  
(Used to support educational diversity at the University, consistent with the University's mission and admissions policy, by awarding scholarships in furtherance of the diversity mission with particular attention to, but not limited to, female students who have been accepted for admissions at the University and are enrolled in the College of Mathematical and Physical Sciences, Department of Physics; provided by gifts from Bunny and Thomas Clark)

**July 7, 2006 meeting, Board of Trustees**

The Mike and Diane Zieg Athletic Scholarship Fund for Men's Lacrosse (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at the OSU and is a member of the varsity men's lacrosse team; provided by gifts from Michael B. and Diane H. Zieg)	\$67,162.45
The A.D. Farrow Company Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of a varsity athletics team; provided by gifts from A.D. Farrow Company of Columbus, Ohio)	\$53,250.00
Don and Betty Spoelker Family Athletic Scholarship Fund (Used to provide a scholarship to an undergraduate student-athlete who is a member of the men's varsity football team; provided by gifts from Don and Betty Spoelker)	\$50,502.00
Evelyn Ackers Glore Scholarship Fund (Used to provide scholarships for students in the School of Music; provided by gifts from the estate of Evelyn Ackers Glore)	\$50,000.00
Mary Eloise Green Innovation Fund (Used for professional use and development of the Department of Human Nutrition; provided by a gift from the estate of Mary Eloise Green)	\$40,000.00
Mendezoff Internship Fund (Used to provide incentives and financial support to students from the Ohio Agricultural Research and Development Center seeking internships in the Secrest Arboretum; provided by a gift from Paul Mendezoff in memory of his grandmother, Julia Skwarski, an avid gardener)	\$38,000.00
The Larry and Linda Waller Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at the OSU and is a member of the varsity football team; provided by gifts from Larry and Linda Waller)	\$36,506.00
The Visci Family Scholarship Fund (Used to provide need-based scholarships to students in the College of Education and Human Ecology in his/her final two years of study who have a cumulative GPA of 3.0 or higher; provided by gifts from Joseph M. Visci and Martha A. Claus in honor of Leonard Visci and Alice Visci)	\$35,103.28
The America Lung Association Endowment Fund in Pulmonary Medicine (Used to support the advancement of medical knowledge related to research, prevention and treatment, education, or training on pulmonary diseases; provided by gifts from the America Lung Association of Ohio)	\$35,000.00
The Ohio State University at Lima Trustees Scholarship Fund (Used to provide scholarships to students at the OSU-Lima campus who exhibit leadership skills; provided by gifts from the OSU-Lima Board of Trustees)	\$34,850.00

**July 7, 2006 meeting, Board of Trustees**

Rosalind Pierson Scholarship Fund (Used to provide merit-based scholarships for undergraduate or graduate students majoring in dance; provided by gifts from an anonymous donor in memory of Professor M. Rosalind Pierson)	\$30,250.00
James R. Baroffio Fellowship Fund in Geological Sciences (Used to support a graduate fellowship in the Department of Geological Sciences for a student performing fieldwork as part of thesis or dissertation research; provided by gifts from Dr. James R. Baroffio)	\$27,915.63
The Dr. Milton S. Rosner Scholarship Fund for Academic Excellence in Social Work (Used to provide an award to a master's degree candidate in the College of Social Work who demonstrates academic excellence with preference given to a student focusing his/her studies on social policy and planning; provided by gifts from Lynne Gutches Snowden)	\$27,515.00
The Robert D. Hays Fund for Law Faculty Excellence (Used at the discretion of the dean of the Moritz College of Law to enhance, improve, and advance teaching excellence of the faculty of the College; provided by gifts from Robert D. and Eloise E. Hays)	\$26,500.00
The George Booth Family Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of a varsity athletics team; provided by gifts from George H. Booth, Jr.)	\$26,477.00
The Jessica C. Beck Memorial Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of an upper-class intercollegiate student-athlete who is pursuing an undergraduate degree at OSU and participating in the sport of synchronized swimming; provided by gifts from friends and family of Jessica C. Beck)	\$25,842.00
Atsushi Onoe Memorial Fund (Used to support academic activities for students who wish to pursue the study of Japanese language and culture; provided by gifts from Toshioki and Keiko Onoe)	\$25,646.00
The Melanie and Philippe Radley Endowed Scholarship Fund (Used to support undergraduate students majoring in Russian in the Department of Slavic and East European Languages and Literatures; provided by gifts from Melanie and Philippe Radley)	\$25,500.00
The van Bakeren Endowed Scholarship Fund (Used to supplement tuition and room and board for one outstanding third- or fourth-year veterinary student with an interest in pursuing a career in research or laboratory animal medicine; provided by gifts from an anonymous donor)	\$25,039.00
The Big Lots Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the varsity men's basketball team; provided by a gift from Big Lots of Columbus, Ohio)	\$25,000.00



**July 7, 2006 meeting, Board of Trustees**

The Rodica C. Botoman Award for Distinguished Undergraduate Teaching and Mentoring Fund (Used to provide an award to a member of the College of Humanities faculty who best exemplifies excellence as a teacher of undergraduates; provided by gifts from Mr. Ben M. Jones and Dr. V. Alin Botoman)	\$25,000.00
The Color World Housepainting, Inc. Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the varsity football team; provided by gifts from Color World Housepainting, Inc.)	\$25,000.00
The Mary Jo and Robert Cropper Dean's Innovation Fund (Used to promote initiatives by the faculty and staff designed to increase the quality of teaching, research, and involvement with the business community; provided by gifts from Mary Jo and Robert Cropper)	\$25,000.00
Emerson Climate Technologies/Copeland Scholarship Endowment Fund (Used to provide non-renewable scholarships to entering first-year students from Shelby County, Ohio, who rank in the top 10% of their high school class; provided by a gift from Emerson Climate Technologies)	\$25,000.00
Dr. Daniel S. German and Dr. Stephen P. Burke Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of a varsity team, with preference given to those interested in pursuing careers in medicine or dentistry; provided by gifts from Dr. Daniel S. German and Dr. Stephen P. Burke)	\$25,000.00
Grogan Family Endowed Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of a varsity basketball team; provided by a gift from Robert J. Grogan)	\$25,000.00
The George W. and Lois L. Hoddy Student Leadership, Innovation and Entrepreneurship Fund (Used to provide discretionary funds to support programs and activities that develop and advance the knowledge and skills of students in areas of leadership, innovation, entrepreneurship, and global awareness; provided by gifts from George W. Hoddy to honor his wife, Lois)	\$25,000.00
The Gail Norris Dean's Innovation Fund (Used to promote initiatives by the faculty and staff of the Fisher College of Business designed to increase the quality of teaching, research, and involvement with the business community; provided by gifts from Gail Norris)	\$25,000.00
The OSU Medical Center Adult Congenital Heart Disease Clinic Fund (Used for programs of the Adult Congenital Heart Disease Clinic located at the OSU Medical Center; provided by gifts from the Schooler Family Foundation)	\$25,000.00
The Peart Conservatory Fund at Ohio State ATI (Used to maintain the conservatory at Ohio State ATI; provided by a gift from an anonymous donor)	\$25,000.00

## July 7, 2006 meeting, Board of Trustees

The P. Noah Sherba Scholarship Fund (Used to provide one need-based, non-renewable scholarship to a first-year student who graduated from Canfield High School in Ohio in the top 50% of his/her class; provided by a gift from Paul and Juanita Sherba)	\$25,000.00
The John D. Skinner and Clifford E. Herrington Endowment Fund for Lung Cancer Research (Used to support lung cancer research; provided by a gift from James and Patsy Skinner in memory of James' father, John, and Patsy's grandfather, Clifford)	\$25,000.00
Straub Family Endowed Athletic Scholarship Fund (Used to provide a grant-in-aid scholarship for a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the varsity football team; provided by a gift from David Straub)	\$25,000.00
Robert D. Touse and William F. Cowen Jr. Scholarship Fund (Used to provide need-based scholarships to full-time junior or senior students majoring in forestry in the School of Environment and Natural Resources with preference given to students who are employed; provided by gifts from the Richard and Rita Porterfield Charitable Trust in honor of Robert D. Touse and William F. Cowen Jr.)	\$25,000.00
The Tri-State Expedited Services Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the varsity football team; provided by a gift from Tri-State Expedited Services)	\$25,000.00
The Emmerich von Haam Sr. M.D. Endowment Fund in Pathology (Used to support research, education, faculty development, training projects, or ongoing programs in the Department of Pathology that foster innovation and excellence or those otherwise determined as priority areas; provided by gifts from OSU Pathology Services, LLC in honor of the career and leadership of the late Dr. Emmerich von Haam Sr.)	\$25,000.00
Norma Ruoff Pitts Endowment Fund (Used to support scholarships for students in the textiles and clothing program or a related major in the College of Education and Human Ecology; provided by gifts from friends, family and colleagues of Norma Ruoff Pitts) (grandfathered)	<u>\$15,471.00</u>

Total \$5,909,293.93

### Change in Description of Named Endowed Funds

L. Michael Howard Fund in Public Policy and Management  
The Stephen J. Kovacik Jr. and Mary B. Kovacik  
Endowed Scholarship Fund  
The Arthur D. Lynn Jr. and Pauline Wardlow Lynn Fund  
The Major General Raymond E. Mason Jr. Scholarship Fund  
The Henry M. and Ruth W. Pausch Endowed Fellowship Fund  
The James F. and Barbara Young Sipp Endowed Scholarship Fund  
The Chalmers P. Wylie Scholarship Fund for Public Service

## **July 7, 2006 meeting, Board of Trustees**

### Change in Name of Named Endowed Fund

From: The Kevin and Stacey Blair Family Athletic Scholarship Fund  
To: The Claddagh Development Group Athletic Scholarship Fund

### Change in Name and Description of Named Endowed Fund

From: The John Glenn Institute Endowment Fund  
To: The John Glenn School of Public Affairs Endowment Fund

## **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

### Establishment of Named Endowed Funds

#### **Richard John and Mary Ann Campbell Memorial Scholarship Fund in Welding Engineering**

The Richard John and Mary Ann Campbell Memorial Scholarship Fund in Welding Engineering was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from the estates of Mary Ann Hinders Campbell and Richard J. Campbell (B.W.E., 1955).

The annual distribution from this fund shall help recruit welding engineering students by providing a renewable scholarship, not to exceed 25% of the year's tuition and fee total, to academically strong students who are deserving of financial assistance. Preference will be given to residents of Ohio, New York, and Wisconsin. Qualified candidates must have a minimum grade point average of 3.0 and maintain that average in order to renew the scholarship while making adequate progress towards a degree in welding engineering. Scholarship recipients will be selected by the chairperson of the Department of Industrial, Welding, and Systems Engineering in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Industrial, Welding, and Systems Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$168,619.00

#### **College of Dentistry Endowed Chair Fund in Periodontology**

The College of Dentistry Endowed Chair Fund in Periodontology was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from alumni and friends.

Until the principal reaches the funding level required to establish a chair position (\$1.5 million) the annual distribution will be reinvested into the endowment principal. When the principal reaches full funding level, the annual distribution shall be used to provide salary and program support to a distinguished faculty

## **July 7, 2006 meeting, Board of Trustees**

member in the Section of Periodontology in the College of Dentistry. Selection of the named chair holder shall be made by the dean of the College of Dentistry with recommendation from the head of the Section of Periodontology and approved by the Board of Trustees.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Dentistry and the head of the Section of Periodontology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$100,108.00

Total Commitment: \$1,500,000.00

### **College of Pharmacy Faculty Research and Development Fund**

The College of Pharmacy Faculty Research and Development Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from numerous donors.

The annual distribution from this fund shall be used at the discretion of the dean of the College of Pharmacy to support faculty research and development activities.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Pharmacy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$92,500.00

### **The College of Pharmacy Alumni Society Scholarship Fund**

The College of Pharmacy Alumni Society Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from The College of Pharmacy Alumni Society.

The annual distribution from this fund shall provide a scholarship for an incoming second-, third-, or fourth-year Pharm.D. student in good academic standing who participates in one or more of the College's student organizations. The

## **July 7, 2006 meeting, Board of Trustees**

scholarship will be awarded to a different student each year who exhibits potential for success and demonstrates a difficulty meeting tuition and fees.

Scholarship recipients will be selected by the dean of the College of Pharmacy and the assistant dean of the College of Pharmacy Student Affairs in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Pharmacy and the assistant dean of the College of Pharmacy Student Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$35,238.24

### **The John Lyman Jr. Award Fund for Clinical Teaching Excellence**

The John Lyman Jr. Award Fund for Clinical Teaching Excellence was established July 7, 2006, by the Board of Trustees of The Ohio State University with a gift from Ronald Lyman (D.V.M., 1977) in memory of his father, John Lyman Jr. (D.V.M., 1950).

The annual distribution from this fund shall support the implementation of a clinical teaching excellence award in the College of Veterinary Medicine. The criteria will include superior ratings derived from course evaluation forms (submitted by students during their clinical clerkship) as well as prompt submission of student grades to the College office. The recipient shall be selected by a committee composed of previous awardees or distinguished teaching award winners from within the College as well as appropriate teaching excellence experts from outside the College but employed by The Ohio State University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Veterinary Medicine, for any use benefiting the clinical teaching faculty of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$33,325.00

## **July 7, 2006 meeting, Board of Trustees**

### **Optometry Scholarship Dinner Endowment Fund**

The Optometry Scholarship Dinner Endowment Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from numerous donors.

The annual distribution from this fund shall provide scholarships for students studying optometry at The Ohio State University College of Optometry. Scholarship recipients will be selected by the dean of the College of Optometry in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$28,473.00

### **The Charles W. Kettlewell Professional Responsibility Award Fund**

The Charles W. Kettlewell Professional Responsibility Award Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from the Association of Professional Responsibility Lawyers (APRL) and Reginald S. Jackson Jr. (J.D., 1971) in memory of Charles W. Kettlewell (B.S.Ed., 1964; J.D., 1971) a distinguished member of the professional responsibility bar, valued member of The Michael E. Moritz College of Law adjunct faculty, and kindly mentor of colleagues.

The annual distribution from this fund shall provide an award to a law student for demonstrated excellence in understanding the law of professional responsibility and the obligations of professionalism that guide attorneys in the practice of law. Award recipients shall be selected by the dean of the Moritz College of Law.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly

## **July 7, 2006 meeting, Board of Trustees**

aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$28,250.00

### **Moritz College of Law Dean's Innovation Fund**

The Moritz College of Law Dean's Innovation Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from friends and alumni of The Michael E. Moritz College of Law.

The annual distribution from this fund shall be used at the discretion of the dean of the Moritz College of Law to support scholarships and/or awards for faculty and students. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$27,064.00

### **Dr. Joe and Esther Ponzani Dental Missionary Scholarship Fund**

The Dr. Joe and Esther Ponzani Dental Missionary Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with gifts from Esther Ponzani, friends, and family in memory of Dr. Joe Ponzani (B.S., 1952; D.D.S., 1956).

The annual distribution from this fund shall be used to provide one or more annual scholarship awards for dental student(s) or post-doctoral student(s) who have served in the past 12 months as a dental missionary. Scholarship recipients will be selected by the dean of the College of Dentistry in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Dentistry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,500.00

## **July 7, 2006 meeting, Board of Trustees**

### **The Waste Management Athletic Scholarship Fund**

The Waste Management Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University with a gift from Waste Management of Canal Winchester, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Total Commitment: \$125,000.00

### **Change in Description of Named Endowed Funds**

#### **W. M. Kiplinger '12 Program in Public Affairs Journalism Fund**

The Willard M. Kiplinger Professorship in Public Affairs in the School of Journalism was established on February 3, 1971, by the Board of Trustees of The Ohio State University with gifts from the Kiplinger Foundation Incorporated. The fund was changed to the Willard M. Kiplinger Chair in Public Affairs Reporting on June 2, 1972. The name and description were revised September 22, 2004. The description was further revised July 7, 2006.

The annual distribution from this fund shall be used to enable six to eight mid-career journalists, each with at least five years of professional experience in journalism, to participate annually in an on-campus program designed to enhance the journalists' skills in public affairs reporting. The program, housed in The John Glenn School of Public Affairs, will draw upon the University's public affairs expertise in all fields. Program participants shall be known as Kiplinger Fellows. The fund will cover program costs and administration, including stipends for the participating fellows.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the John Glenn School of Public Affairs in consultation with the Kiplinger Foundation. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.



## **July 7, 2006 meeting, Board of Trustees**

### **Master of Arts in Public Policy and Management Scholarship Fund**

The Master of Arts in Public Policy and Management Scholarship Fund was established August 30, 1995, by the Board of Trustees of The Ohio State University with a transfer of funds from the Public Management Programs. The description was revised July 7, 2006.

The annual distribution from this fund shall be used for a scholarship for a Master of Arts student enrolled in the Public Policy and Management Master's Program. Recipients shall be selected by the director of The John Glenn School of Public Affairs in consultation with the faculty of the Public Policy and Management Master's Program and the Office of Student Financial Aid. Criteria for the award shall include financial need, professional development potential, and commitment to public service.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

This fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of The John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original as good conscience and need dictate.

### **William and Rose Papier Fund**

The William and Rose Papier Fund was established May 4, 1984, by the Board of Trustees of The Ohio State University with gifts from William Bernard (M.A., 1933) and Rose Locum (M.A., 1942) Papier and their friends. The description was revised July 7, 2006.

Three-fourths (3/4) of the annual distribution from this fund shall be used to provide grants for graduate research in public administration for graduate students enrolled in The John Glenn School of Public Affairs at The Ohio State University. Selection of the recipients of the grants shall be made by the director of the School based solely on merit. The remaining one-fourth (1/4) of the annual distribution shall be returned to the principal of the endowed fund.

In any given year that the endowment distribution is not fully expended, the unused portion should be accumulated and awarded in subsequent years, or returned to the endowment principal at the discretion of the director of the School.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of The John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

## **July 7, 2006 meeting, Board of Trustees**

### **The Alvin Karl and Dorothea Ford Peterjohn Scholarship Fund**

The Alvin Karl and Dorothea Ford Peterjohn Scholarship Fund was established July 9, 1993, by the Board of Trustees of The Ohio State University with gifts from the family and friends of the Peterjohn family. The description was revised July 7, 2006.

The annual distribution from this fund shall be used to provide financial support to a second-year master's student in The John Glenn School of Public Affairs. Consideration of scholarship and financial need shall be given when determining the recipient of the Peterjohn Scholarship. Recipients shall be selected by the director of The John Glenn School of Public Affairs in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of The John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **Pharmaceutical Administration Excellence Fund**

The Pharmaceutical Administration Excellence Fund was established May 3, 1985, by the Board of Trustees of The Ohio State University with an initial gift to the Office of University Development from Merrell Dow Pharmaceuticals, Inc., Cincinnati, Ohio, in honor of Dr. Dev S. Pathak, Professor Emeritus in The Ohio State University School of Public Health. The description was revised July 7, 2006.

The annual distribution from this fund will be used to support the activities undertaken by the Merrell Dow Professor and in support of the advancement of research, teaching, and public service in the Division of Pharmaceutical Administration at The Ohio State University College of Pharmacy. The director of the Division of Pharmaceutical Administration will have sole authority to authorize expenditures from the fund, under the supervision of the dean of the College of Pharmacy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Pharmacy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

## **July 7, 2006 meeting, Board of Trustees**

### **The Jack D. Sparks Endowed Scholarship Fund**

The Jack D. Sparks Endowed Scholarship Fund was established May 30, 2003, by the Board of Trustees of The Ohio State University with a gift from The Jack D. and Fredda S. Sparks Foundation. The description was revised July 7, 2006.

The annual distribution from this fund shall be used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program of the John Glenn School of Public Affairs. It is the desire of the donor that the scholarship shall be awarded with preference to, but not limited to, students interested in public service. The scholarship shall be awarded by the director of the Washington Academic Internship Program in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **THE OHIO STATE UNIVERSITY FOUNDATION**

#### **Establishment of Named Endowed Chairs**

##### **The Ambassador Milton A. and Roslyn Z. Wolf Chair**

The Ambassador Milton A. and Roslyn Z. Wolf Chair Fund was established February 2, 1996, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ambassador Milton A. Wolf (B.A. Biological Sciences, 1948) and Roslyn Z. Wolf. The required funding level has been reached and the chair was established July 7, 2006.

The annual distribution from this fund shall be used to support a chair position in the John Glenn School of Public Affairs. The distribution shall be used to retain a professor who is nationally recognized as a leading and prominent expert in international and public policy, has a distinguished record of scholarship, maintains an active and productive research program, and is an effective educator-teacher. To ensure the outstanding caliber of the chair recipient, the University will contribute any additional funds which may be required from time to time.

The chair holder will be a tenured member of the John Glenn School of Public Affairs, with the rank of full professor, providing knowledge and guidance for the graduate and undergraduate communities, among other responsibilities.

Ambassador Milton A. and Roslyn Z. Wolf's lineal descendants will be advised as to the University's progress in selecting the chair recipient, and are encouraged to meet finalists for the position and to provide their advice and insight to the president of the University and director of the School. The University, in consultation with the Wolf family, will determine appropriate publicity of the chair.

## **July 7, 2006 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

This fund shall benefit the University in perpetuity so that only the annual distribution from this fund shall be used. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs with the approval of the Wolfs' lineal descendants, if available. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$1,383,977.00 (grandfathered)

### **The Major General Raymond E. Mason Jr. Chair of Military History**

The Major General Raymond E. Mason, Jr. Professorship of Military History was established July 11, 1997, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Major General Raymond E. Mason, Jr. (B.S.Bus.Adm., 1941). The funding level has been reached and a chair was established July 7, 2006.

The annual distribution from this fund shall be used to support The Major General Raymond E. Mason Jr. Chair of Military History. The Mason Chair will be a recognized, published expert on all or some of the following subjects: the history of American military policy and military institutions, the conduct of war by the United States to include the operations of the wartime armed forces, civil-military relations, and the history of the military profession in the United States.

It is preferred but not required by the donor that the chair holder will be a veteran of military service, honorably completed by expiration of enlistment or fulfillment of the contractual conditions of officership in the standing or reserve forces. Service in the American armed forces is preferred. The donor believes that military experience is essential to understanding military history. Military services should not be viewed as a substitute for the highest academic, professional attainments in the fields of scholarship, teaching, and public service.

The chair may be appointed from among the existing faculty of the Department of History or appointed as the result of an international search under the same practices and procedures that would govern any University search. However, since the chair will also have an affiliation with the Mershon Center, the Center director or his/her representative will sit as a member of the search committee and must approve a positive recommendation for appointment before it goes to the Department of History faculty. The Mershon Center support for the chair will be consistent with the Dean's Oversight Committee principles and be negotiated among the Mershon director, the Mason Chair candidate, and the chairperson of the tenuring home academic department, presumably in the Department of History in the College of Humanities.

When there is not a person holding the chair, the annual distribution shall be returned to the principal to enhance the annual distribution available to the next person named to the chair.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

## **July 7, 2006 meeting, Board of Trustees**

assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then the Board of Trustees and Foundation Board, in consultation with the donor or his designee, will designate the distribution of this fund to another purpose at The Ohio State University which support programs which are of interest to the donor.

Amount Establishing Endowment: \$1,151,642.00 (grandfathered)

### **Establishment of Named Endowed Funds**

#### **The Jerome Lawrence and Robert E. Lee Theatre Research Institute Endowed Fund**

The Jerome Lawrence and Robert E. Lee Theatre Research Institute Endowed Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Jerome Lawrence (B.A. cum laude 1937).

The annual distribution from this fund shall be used at the discretion of the director and the curator of The Jerome Lawrence and Robert E. Lee Theatre Research Institute as approved by the director of University Libraries.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of University Libraries in consultation with the director and the curator of The Jerome Lawrence and Robert E. Lee Theatre Research Institute. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$1,145,877.83

#### **Bill and Blossom Hunt Athletic Scholarship Fund**

The Bill and Blossom Hunt Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an estate gift from Bill (B.S.Bus.Ad., 1927) and Blossom Hunt.

The annual distribution from this fund shall be used to supplement athletic scholarship grant-in-aid scholarship costs for the student athletes who are members of The Ohio State University men's basketball team pursuing undergraduate degrees at The Ohio State University. Scholarship recipients will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

## **July 7, 2006 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$192,072.00

### **Gail T. Dennison ATI Equine Endowment Fund**

The Gail T. Dennison ATI Equine Endowment Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Gail T. Dennison.

The annual distribution from this fund shall be used to purchase equipment and for special projects as outlined by the head and the technology coordinator of the horse production and management technology at the Agricultural Technical Institute. Expenditures shall be approved by the director of the Agricultural and Engineering Technologies Division and the technology coordinator of the horse production and management technology at the Agricultural Technical Institute.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Agricultural Technical Institute and the head of the horse production and management technology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$150,000.00

### **Michael H. and Jo Ann Carpenter Scholarship Fund**

The Michael H. and Jo Ann Carpenter Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael H. (B.A., 1974; J.D., 1977) and Jo Ann Carpenter (B.S.H.E., 1974; B.S Ed., 1978).

The annual distribution from this fund shall provide a full, in-state tuition scholarship for that admitted first-year student at The Michael E. Moritz College of Law with superior academic credentials as demonstrated by possessing the highest LSAT score of the entering class and a minimum grade point average of 3.25 who is not otherwise receiving full-tuition scholarship. The scholarship is

## **July 7, 2006 meeting, Board of Trustees**

renewable for the subsequent years of law school so long as the student maintains a 3.0 or higher GPA. Scholarship recipients will be selected by the dean of the Moritz College of Law in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$100,500.00

Total Commitment: \$387,500.00

### **The Bunny and Thomas Clark Scholarship Endowment Fund**

The Bunny and Thomas Clark Scholarship Endowment Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bunny and Thomas Clark.

The annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donors' desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, female students, who have been accepted for admissions at the University and are enrolled in the College of Mathematical and Physical Sciences, Department of Physics. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. The annual distribution shall support three (3) renewable awards annually to be used for educational and research expenses. Fifty percent (50%) of the distribution shall be awarded to a graduate-level student and the remaining fifty percent (50%) shall be split between two (2) undergraduate students. Scholarship recipients shall be selected by the chairperson of the Department of Physics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Mathematical and Physical Sciences in consultation with the chairperson of the Department of Physics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$100,460.50

Total Commitment: \$125,000.00

## **July 7, 2006 meeting, Board of Trustees**

### **The Mike and Diane Zieg Athletic Scholarship Fund for Men's Lacrosse**

The Mike and Diane Zieg Athletic Scholarship Fund for Men's Lacrosse was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael B. (M.B.A., 1992) and Diane H. Zieg, Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the men's lacrosse team. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$67,162.45

### **The A.D. Farrow Company Athletic Scholarship Fund**

The A.D. Farrow Company Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the A.D. Farrow Company of Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity athletics team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$53,250.00



## **July 7, 2006 meeting, Board of Trustees**

### **Don and Betty Spoelker Family Athletic Scholarship Fund**

The Don and Betty Spoelker Family Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Don and Betty Spoelker.

The annual distribution from this fund shall provide a scholarship to an undergraduate student-athlete who is a member of the men's varsity football team. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$50,502.00

### **Evelyn Ackers Glore Scholarship Fund**

The Evelyn Ackers Glore Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Evelyn Ackers Glore (B.S., 1936).

The annual distribution from this fund shall be used to provide scholarships for students in the School of Music. Scholarship recipients will be selected by the director of the School of Music in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the School of Music. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00

## **July 7, 2006 meeting, Board of Trustees**

### **Mary Eloise Green Innovation Fund**

The Mary Eloise Green Innovation Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Mary Eloise Green.

The annual distribution from this fund shall be used for professional use and development of the Department of Human Nutrition as approved by the dean of the College of Education and Human Ecology in consultation with the chairperson of the Department of Human Nutrition (formerly known as the Department of Human Nutrition and Food Management).

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Education and Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$40,000.00

### **Mendezoff Internship Fund**

The Mendezoff Internship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Paul Mendezoff (B.S.Agr., 1977) in memory of his grandmother, Julia Skwarski, an avid gardener.

Julia and her husband planted numerous trees, shrubs, and flowers on their three-acre property in Independence, Ohio, where Paul spent much of his time as a child helping his grandmother tend to her gardens. Although she had no formal education or training in horticulture, Julia had a natural gift when it came to plants. Her interest and love of plants was reflected in her gardens, which closely resembled the Secrest Arboretum.

The annual distribution from this fund shall be used to provide incentives and financial support to students from the Ohio Agricultural Research and Development Center (OARDC) seeking internships in the Secrest Arboretum. Recipients shall be selected by the curator of the Secrest Arboretum in consultation with the director of OARDC.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

## **July 7, 2006 meeting, Board of Trustees**

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the curator of the Secrest Arboretum in consultation with the director of OARDC. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$38,000.00

### **The Larry and Linda Waller Athletic Scholarship Fund**

The Larry and Linda Waller Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Larry (B.S.Bus.Adm., 1967) and Linda Waller.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. The recipients will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$36,506.00

Total Commitment: \$100,000.00

### **The Visci Family Scholarship Fund**

The Visci Family Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joseph M. Visci (M.A., 1977) and his wife, Martha A. Claus, in honor of Leonard Visci (M.A., 1955) and Alice Visci.

Leonard and Alice spent their nearly 60 years of married life in commitment to public education, much of it in the East Cleveland City Schools. In addition to raising four children and seeing all four complete their college degrees, Leonard enjoyed a successful career in K-12 public education that spanned four decades as a coach, classroom teacher, principal, and district superintendent. In "retirement," he worked for General Electric to develop training programs and later worked with student teachers at Hiram College. Supporting Leonard every step of the way was Alice, his typist, editor, and counselor as he pursued his various degrees. Alice also mastered the role of mother, homemaker, and school booster, and performed amazing feats of financial wizardry to ensure that the household budget could support all of the children's tuitions.

## **July 7, 2006 meeting, Board of Trustees**

The annual distribution from this fund shall be used to provide a need-based scholarship(s) to a student(s) in the College of Education and Human Ecology in his/her final two (2) years of study whom have a cumulative grade point average of 3.0 or above. Qualified candidates shall be preparing for teacher licensure at either the primary or secondary level and be planning on pursuing a career in teaching K-12. Scholarship recipients will be selected by the College's scholarship committee, appointed by the dean of the College, in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Education and Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$35,103.28

### **The American Lung Association Endowment Fund in Pulmonary Medicine**

The American Lung Association Endowment Fund in Pulmonary Medicine was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the American Lung Association of Ohio – Central Region of Columbus, Ohio.

The annual distribution from this fund shall be used to support the advancement of medical knowledge related to research, prevention and treatment, education, or training on pulmonary diseases; and shall be directed whenever possible towards the activities undertaken by faculty member(s) who holds a chair or professorship position in pulmonary medicine. Allocation of funds shall be approved by the director of the Division of Pulmonary Medicine and Critical Care in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Division of Pulmonary Medicine and Critical Care in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$35,000.00

## **July 7, 2006 meeting, Board of Trustees**

### **The Ohio State University at Lima Trustees Scholarship Fund**

The Ohio State University at Lima Trustees Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Ohio State University at Lima Board of Trustees in honor of the vision and leadership that has guided the campus enabling the campus to grow both physically and programmatically.

The annual distribution from this fund shall provide scholarships to students at The Ohio State University at Lima campus who exhibit leadership skills. Scholarship recipients will be selected by the dean and director of The Ohio State University at Lima in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean and director of The Ohio State University at Lima. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$34,850.00

### **Rosalind Pierson Scholarship Fund**

The Rosalind Pierson Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor in memory of Professor M. Rosalind Pierson, a gifted dancer, teacher, and mentor. Rosalind taught classical ballet, modern dance, performance technique, improvisation, and choreography in The Ohio State University Department of Dance from 1975 until her untimely death in 2005.

The annual distribution from this fund shall provide merit-based scholarships for undergraduate or graduate students majoring in dance. Scholarship recipients will be selected by the Department of Dance Scholarship Committee in consultation with the Office of Student Financial Aid with approval from the chairperson of the Department of Dance.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chair-

## **July 7, 2006 meeting, Board of Trustees**

person of the Department of Dance. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$30,250.00

### **James R. Baroffio Fellowship Fund in Geological Sciences**

The James R. Baroffio Fellowship Fund in Geological Sciences was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. James R. Baroffio, (M.S., 1958) of Moraga, California.

The annual distribution from this fund shall be used to support a graduate fellowship in the Department of Geological Sciences for a student performing fieldwork as part of thesis or dissertation research. If this is not possible, then the distribution shall be used to support a graduate fellowship in the Department of Geological Sciences to a student who exhibits exceptional ability and promise. Awards shall be made at the discretion of the Department's chairperson.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by dean of the College of Mathematical and Physical Sciences in consultation with the chairperson of the Department of Geological Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$27,915.63

### **The Dr. Milton S. Rosner Scholarship Fund for Academic Excellence in Social Work**

The Dr. Milton S. Rosner Scholarship Fund for Academic Excellence in Social Work was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Lynn Gutches Snowden (M.S.W., 1979) in honor of Dr. Milton S. Rosner, Professor Emeritus in the College of Social Work.

The annual distribution from this fund shall be used as an award for a master's degree candidate in the College of Social Work who demonstrates academic excellence, with preference given to a student focusing his or her studies on social policy and planning. Award recipients shall be selected by the dean of the College of Social Work in consultation with the director of the Master of Social Work Program and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

## **July 7, 2006 meeting, Board of Trustees**

assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work in consultation with the director of the Master of Social Work Program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$27,515.00

### **The Robert D. Hays Fund for Law Faculty Excellence**

The Robert D. Hays Fund for Law Faculty Excellence was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Robert D. (B.S.Bus.Adm., 1950; J.D., 1952) and Eloise E. Hays.

The annual distribution from this fund shall be used at the discretion of the dean of The Michael E. Moritz College of Law to enhance, improve, and advance teaching excellence of the faculty at the College. Specifically, the fund may be used to retain and attract outstanding law faculty to the College through salaries, research, and professional development.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$26,500.00

### **The George Booth Family Athletic Scholarship Fund**

The George Booth Family Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George H. Booth Jr. of Perrysburg, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity athletics team. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$26,477.00

Total Commitment: \$100,000.00

### **The Jessica C. Beck Memorial Scholarship Fund**

The Jessica C. Beck Memorial Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and family of Jessica C. Beck from Richmond, Virginia.

Jessica, age 21, was a senior at The Ohio State University, where she was a member of the synchronized swimming team. Jessica was acknowledged as an exceptional scholar-athlete as exemplified in her attainment of Academic All Big Ten, National Scholarship, and the Dean's List. She was a sister to each of her teammates on Ohio State's renowned synchronized swimming team, and an integral part of two collegiate national championship teams. She was an inspiration to all who had the honor and privilege of knowing her. This scholarship has been established in her loving memory and in admiration for the many ways she touched the lives of her Ohio State family.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an upper-class intercollegiate student-athlete who is pursuing an undergraduate degree at The Ohio State University and participating in the sport of synchronized swimming. Preference will be given to an out-of-state student-athlete. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,842.00



## **July 7, 2006 meeting, Board of Trustees**

### **Atsushi Onoe Memorial Fund**

The Atsushi Onoe Memorial Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Toshioki and Keiko Onoe and many friends in memory of Atsushi Onoe (M.A. East Asian Languages and Literatures, 1997) who was tragically killed in an accident in 1999.

The annual distribution from this fund shall be used to support academic activities of Ohio State University students who wish to pursue the study of the Japanese language and culture, including but not limited to, scholarships, travel, and educational support materials. Award recipients will be selected by the chairperson of the Department of East Asian Languages and Literatures as recommended by the Department's committee. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Humanities in consultation with the chairperson of the Department of East Asian Languages and Literatures. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,646.00

### **The Melanie and Philippe Radley Endowed Scholarship Fund**

The Melanie and Philippe Radley Endowed Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Melanie (B.A., 1964) and Philippe Radley.

The annual distribution from this fund shall be used to support undergraduate students majoring in Russian in the Department of Slavic and East European Languages and Literatures. The areas of support will include study abroad projects, student research project support, partial tuition support, and internships in Russia or in the field. Recipients will be selected by the dean of the College of Humanities in consultation with the chairperson of the Department of Slavic and East European Languages and Literatures. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated

## **July 7, 2006 meeting, Board of Trustees**

by the Board of Trustees and Foundation Board as recommended by the dean of the College of Humanities in consultation with the chairperson of the Department of Slavic and East European Languages and Literatures. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,500.00

### **The van Bakeren Endowed Scholarship Fund**

The van Bakeren Endowed Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor, a graduate of both the master's program in molecular genetics and a D.V.M.

The annual distribution from this fund shall be used to supplement tuition and room and board for one (1) outstanding third- or fourth-year veterinary student with an interest in pursuing a career in research or laboratory animal medicine. If such a candidate cannot be found, then an outstanding post-D.V.M. graduate student or a post-D.V.M., Ph.D. post-graduate appointee pursuing a career in research or laboratory animal medicine can receive this award. Recipients shall be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for research. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,039.00

### **The Big Lots Athletic Scholarship Fund**

The Big Lots Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Big Lots of Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity men's basketball team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

## **July 7, 2006 meeting, Board of Trustees**

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Total Commitment: \$100,000.00

### **The Rodica C. Botoman Award for Distinguished Undergraduate Teaching and Mentoring Fund**

The Rodica C. Botoman Award for Distinguished Undergraduate Teaching and Mentoring Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Ben M. Jones III, a former Columbus businessman, and Dr. V. Alin Botoman (B.S., 1975), son of George and Rodica Botoman.

The annual distribution from this fund shall provide an award at the College of Humanities' annual spring baccalaureate to a member of the Humanities faculty who best exemplifies excellence as a teacher of undergraduates. Students, colleagues, alumni, and parents may nominate candidates for the award. Nominees must be members of the regular Humanities faculty (assistant, associate, or full professor) who have a sustained record of outstanding teaching in a range of undergraduate courses. Award recipients shall be selected by a committee composed of the dean of the College of Humanities, two department chairpersons, two members of the University's Academy of Teaching, and two undergraduate students. While the emphasis will be on outstanding performance in the classroom, the selection committee will also consider the nominee's performance as mentor or advisor and his/her use of innovative teaching strategies for the advancement of learning.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **The Color World Housepainting, Inc. Athletic Scholarship Fund**

The Color World Housepainting, Inc. Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Color World Housepainting, Inc. and Tom Hodgson, president and founder of Color World, of Gahanna, Ohio.

## **July 7, 2006 meeting, Board of Trustees**

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. The recipients will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **The Mary Jo and Robert Cropper Dean's Innovation Fund**

The Mary Jo and Robert Cropper Dean's Innovation Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mary Jo (B.S.Ed., 1963) and Robert Cropper of Maineville, Ohio.

The annual distribution from this fund shall be used by the dean of The Max M. Fisher College of Business to promote initiatives by the faculty and staff designed to increase the quality of teaching, research, and involvement with the business community.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation

Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **Emerson Climate Technologies/Copeland Scholarship Endowment Fund**

The Emerson Climate Technologies/Copeland Scholarship Endowment Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Emerson Climate Technologies.

## **July 7, 2006 meeting, Board of Trustees**

The annual distribution from this fund shall provide non-renewable scholarships to entering first-year students from Shelby County, Ohio, who rank in the top 10 percent (10%) of their high school class. Scholarship recipients shall be selected by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **Dr. Daniel S. German and Dr. Stephen P. Burke Athletic Scholarship Fund**

The Dr. Daniel S. German and Dr. Stephen P. Burke Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Daniel S. German and Dr. Stephen P. Burke (D.D.S., 1992).

The annual distribution from this fund shall be used to supplement the grant-in-aid costs of a scholarship to an undergraduate student-athlete who is a member of a varsity team with preference given to those interested in pursuing careers in medicine or dentistry. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Total Commitment: \$100,000.00

### **Grogan Family Endowed Athletic Scholarship Fund**

The Grogan Family Endowed Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Robert J. Grogan (B.A., 1988).

## **July 7, 2006 meeting, Board of Trustees**

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity basketball team. Scholarship recipients will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Total Commitment: \$100,000.00

### **The George W. and Lois L. Hoddy Student Leadership, Innovation and Entrepreneurship Fund**

The George W. and Lois L. Hoddy Student Leadership, Innovation and Entrepreneurship Fund in the College of Engineering was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George W. Hoddy (B.E.E., 1926; E.E., 1932) to honor his and his wife Lois' desire to advance the quality of teaching and research in the College of Engineering.

The annual distribution from this fund shall provide discretionary funds to support programs and activities that develop and advance the knowledge and skills of students in areas of leadership, innovation, entrepreneurship, and global awareness, thereby promoting their success as the technology leaders of tomorrow. Expenditures shall be approved by the dean of the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

## **July 7, 2006 meeting, Board of Trustees**

### **The Gail Norris Dean's Innovation Fund**

The Gail Norris Dean's Innovation Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gail Norris (B.F.A., 1959) of Dayton, Ohio.

The annual distribution from this fund shall be used as approved by the dean of The Max M. Fisher College of Business to promote initiatives by the faculty and staff of the College designed to increase the quality of teaching, research, and involvement with the business community.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **The OSU Medical Center Adult Congenital Heart Disease Clinic Fund**

The OSU Medical Center Adult Congenital Heart Disease Clinic Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the Schooler Family Foundation.

The annual distribution from this fund shall be used for programs of the Adult Congenital Heart Disease Clinic located at the OSU Medical Center. The Clinic benefits adolescent and adult patients with congenital heart disease. Funds may be used for personnel, supplies, equipment, research, publications, conferences, or other activities of the Clinic. Funds allocated for research may not be used for any research, direct or indirect, involving animals other than humans. Allocation of funds shall be approved by the director of the Clinic in consultation with the director of the Division of Cardiology and with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Division of Cardiology in consultation with the senior vice present for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

## **July 7, 2006 meeting, Board of Trustees**

### **The Peart Conservatory Fund at Ohio State ATI**

The Peart Conservatory Fund at Ohio State ATI was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from an anonymous donor.

The annual distribution from this fund shall be used to maintain the Conservatory at Ohio State ATI. Distribution may be used for, but not limited to, repairs, improvements, and the purchase of plant material. Spending shall be under the direction of the director of Ohio State ATI and the director of the Horticultural Technologies Division of Ohio State ATI.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Ohio State ATI in consultation with the director of the Horticultural Technologies Division of Ohio State ATI. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **The P. Noah Sherba Scholarship Fund**

The P. Noah Sherba Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Paul and Juanita Sherba in memory of their son, P. Noah Sherba.

The annual distribution from this fund shall provide a need-based, non-renewable scholarship to a first-year student who graduated from Canfield High School (Ohio) in the top 50% of his/her class. The scholarship shall be distributed equally over the three quarters of the academic school year. Scholarship recipients will be selected by the Office of Student Financial Aid and reported to the donors or their designee.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.



## **July 7, 2006 meeting, Board of Trustees**

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Office of Student Financial Aid in consultation with the donors or their designee. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

The John D. Skinner and Clifford E. Herrington Endowment Fund  
for Lung Cancer Research

The John D. Skinner and Clifford E. Herrington Endowment Fund for Lung Cancer Research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from James and Patsy Skinner in memory of James' father John and Patsy's grandfather Clifford.

The annual distribution from this fund shall be used to support lung cancer research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Straub Family Endowed Athletic Scholarship Fund

The Straub Family Endowed Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the David Straub (B.S.Bus.Adm., 1990) family of Lewis Center, Ohio.

The annual distribution from this fund shall provide a grant-in-aid scholarship to a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. Scholarship recipients will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

## **July 7, 2006 meeting, Board of Trustees**

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **Robert D. Touse and William F. Cowen Jr. Scholarship Fund**

The Robert D. Touse and William F. Cowen Jr. Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Richard (B.S.Agr., 1968) and Rita Porterfield Charitable Trust in honor of Robert D. Touse and William F. Cowen Jr., whose leadership was instrumental in shaping the forestry major at The Ohio State University.

The annual distribution from this fund shall provide need-based scholarships to full-time junior or senior students majoring in forestry in the School of Environment and Natural Resources with preference given to students who are employed. Recipients must have a 3.0 (or higher) grade point average. The annual distribution shall support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, United States citizens, who have been accepted for admissions at the University. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. Scholarship recipients will be selected by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the director of the School of Environment and Natural Resources and the Office of Student Financial Aid.

If the need for the scholarship should cease to exist, another use within the School of Environment and Natural Resources shall be designated by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the trustees for the Richard and Rita Porterfield Charitable Trust.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

## **July 7, 2006 meeting, Board of Trustees**

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **The Tri-State Expedited Services Athletic Scholarship Fund**

The Tri-State Expedited Services Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Tri-State Expedited Services.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity football team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Total Commitment: \$100,000.00

### **The Emmerich von Haam Sr. M.D. Endowment Fund in Pathology**

The Emmerich von Haam Sr. M.D. Endowment Fund in Pathology was established July 7, 2006, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from OSU Pathology Services, LLC, of Columbus, Ohio, and others; and given in honor of the career and leadership of the late Dr. Emmerich von Haam Sr. (Professor Emeritus and past chairperson of the Department of Pathology, from 1937-67).

The annual distribution from this fund shall be used to support research, education, faculty development, training projects or ongoing programs in the Department of Pathology that foster innovation and excellence or those otherwise determined as priority areas. For research, the income may support but is not limited to: scientific supplies, equipment, salaries for research personnel, and activities required for quality medical research. Allocation of funds shall be approved by the chairperson of the Department of Pathology in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

## **July 7, 2006 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Pathology in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

### **Norma Ruoff Pitts Endowment Fund**

The Norma Ruoff Pitts Endowment Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends, family and colleagues of Norma Ruoff Pitts.

The annual distribution from this fund shall be used to support scholarships for students in the Textiles and Clothing program or a related major. First preference is to be given to an individual with a background in 4-H and youth development. Scholarship recipients shall be selected by the dean of the College of Education and Human Ecology in consultation with the Office of Student Financial Aid.

If the College of Education and Human Ecology no longer offers a professional program specific to textiles and apparel/clothing, it is the donors' wish that the annual distribution be used to support the Ohio 4-H Foundation for scholarship programs.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Education and Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$15,471.00 (grandfathered)

## **July 7, 2006 meeting, Board of Trustees**

### Change in Description of Named Endowed Funds

#### **L. Michael Howard Fund in Public Policy and Management**

The L. Michael Howard Fund in Public Policy and Management was established April 2, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from L. Michael Howard (B.S.Bus.Adm., cum laude, 1972; M.A., 1983). The description was revised July 7, 2006.

The annual distribution from this fund shall be used to support programs within the John Glenn School of Public Affairs that are deemed the highest priority by the director of the School. The director will report to the donor or the donor's designee annually on the use of the income and the impact of the fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

#### **The Stephen J. Kovacik Jr. and Mary B. Kovacik Endowed Scholarship Fund**

The Stephen J. Kovacik Jr. and Mary B. Kovacik Endowed Scholarship Fund was established July 11, 2003, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends and in memory of the late Stephen Kovacik and his mother, Mary Kovacik. The description was revised July 7, 2006.

The annual distribution from this fund shall be used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program of the John Glenn School of Public Affairs. The scholarship shall be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs and the donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

## **July 7, 2006 meeting, Board of Trustees**

### **The Arthur D. Lynn Jr. and Pauline Wardlow Lynn Fund**

The Arthur D. Lynn, Jr. and Pauline Wardlow Lynn Fund was established February 6, 1998, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Arthur D. Lynn, Jr. (B.A. Arts and Sciences, 1941; M.A. Economics, 1943; J.D., 1948; Ph.D. Economics, 1951) and Pauline Wardlow Lynn (B.A. Arts and Sciences, summa cum laude, 1942; J.D., 1948) of Columbus, Ohio. The description was revised July 7, 2006.

The annual distribution from this fund shall be used as follows: 1) ten percent (10%) of net distributable income will be added to the principal annually; and 2) the remainder will be used for master's degree-level scholarships in the John Glenn School of Public Affairs, with the selection of recipients to be made annually by the director and faculty of the School in consultation with the Office of Student Financial Aid. In the event that no award is made in a particular year, that year's distribution will be added to the principal.

If the John Glenn School of Public Affairs (or, if the School should be renamed at some future date, its successor academic unit) should cease to exist, then the annual distribution from this fund shall be used for scholarships for undergraduate honor students, as determined by the director of the University's Honors Program, with ten percent (10%) of the distribution being added to the principal annually.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **The Major General Raymond E. Mason Jr. Scholarship Fund**

The Major General Raymond E. Mason, Jr. Scholarship Fund was established July 11, 2003, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Raymond E. Mason, Jr. (B.S.Bus.Adm., 1941), of Columbus, Ohio. The description was revised July 7, 2006.

The annual distribution from this fund shall be used to provide scholarship support for three "John Glenn Fellows" participating in the Washington Academic Internship Program through the John Glenn School of Public Affairs. It is the desire of the donor that the scholarships shall be awarded with preference to, but not limited to, ROTC students. The recipients shall be selected by the director of the Washington Academic Internship Program in consultation with the Office of Student Financial Aid and the ROTC Tri-Service Coordinator.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

## **July 7, 2006 meeting, Board of Trustees**

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **The Henry M. and Ruth W. Pausch Endowed Fellowship Fund**

The Henry M. and Ruth W. Pausch Endowed Fellowship Fund was established May 30, 2003, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jerry (B.Ch.E., 1961) and Lenora (B.S., 1963) Pausch, Leesburg, Ohio. The description was revised July 7, 2006.

The annual distribution from this fund shall be used to provide scholarship support for one "John Glenn Fellow" participating in the Washington Academic Internship Program through the John Glenn School of Public Affairs. It is the desire of the donors that the scholarship shall be awarded with preference to, but not limited to, students from Highland County. The recipient will be selected by the director of the Washington Academic Internship Program in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **The James F. and Barbara Young Sipp Endowed Scholarship Fund**

The James F. and Barbara Young Sipp Endowed Scholarship Fund was established April 1, 2005, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from James F. Sipp (B.S.Bus.Adm., 1959) and Barbara Young Sipp (B.S.Nurs., 1959) of Columbus, Ohio. The description was revised July 7, 2006.

The annual distribution from this fund shall be used to provide scholarship support and help underwrite enrichment programming for one "John Glenn Fellow" participating in the Washington Academic Internship Program through the John Glenn School of Public Affairs. The recipient shall be selected by the director of the Washington Academic Internship Program in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

## **July 7, 2006 meeting, Board of Trustees**

assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **The Chalmers P. Wylie Scholarship Fund for Public Service**

The Chalmers P. Wylie Scholarship Fund for Public Service was established June 4, 1993, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Congressman Chalmers P. Wylie and friends in the name of his grandchildren Bryan Wylie, Pamela Poston, and Tamara Poston. The description was revised July 7, 2006.

The annual distribution from this fund shall be distributed to the director of the John Glenn School of Public Affairs to fund scholarships for students in the school. The director, in consultation with the donor and the Office of Student Financial Aid, shall select the recipient(s) of the scholarship(s). Criteria for awards include not only academic achievement but also leadership and commitment to public service.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **Change in Name of Named Endowed Fund**

#### **The Claddagh Development Group Athletic Scholarship Fund**

The Kevin and Stacey Blair Family Athletic Scholarship Fund was established September 22, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Kevin Blair of Chagrin Falls, Ohio. The name was revised July 7, 2006.

The annual distribution from this fund shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of a varsity team. First preference will be given to a member of the men's ice hockey team from the greater Cleveland, Ohio, area. Second preference will be given to a member of the men's ice hockey team from the State of Ohio, and a third preference will be given to any student-athlete on the men's hockey team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.



## **July 7, 2006 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **Change in Name and Description of Named Endowed Fund**

#### **The John Glenn School of Public Affairs Endowment Fund**

The John Glenn Institute Endowment Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and admirers of Senator John Glenn. The name and description were revised July 7, 2006.

The annual distribution from this fund shall be used to support the programs and activities of the John Glenn School of Public Affairs.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

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### **APPROVAL TO ENTER INTO FEASIBILITY STUDY, DESIGN, CONSTRUCTION MANAGEMENT, AND CONSTRUCTION CONTRACTS, AND APPROVAL TO INCREASE CONSTRUCTION CONTRACTS**

Resolution No. 2007-15

#### **APPROVAL TO ENTER INTO FEASIBILITY STUDY CONTRACTS** CARTOON RESEARCH LIBRARY FEASIBILITY STUDY VETERINARY HOSPITAL FEASIBILITY STUDY – PHASE III

#### **APPROVAL TO ENTER INTO DESIGN CONTRACTS** 9<sup>TH</sup> AVENUE PARKING GARAGE EXPANSION 12<sup>TH</sup> AVENUE – CONDENSATE PIPING UPGRADE ATI – EQUINE STORAGE FACILITY LARKINS HALL – CONDENSATE PIPING REPLACEMENT STILLMAN HALL THIRD FLOOR RENOVATION WEST OF CANNON DRIVE CHILLER PLANT (MCFP) WEST OF CANNON DRIVE PARKING GARAGE (MCFP)

**APPROVAL TO ENTER INTO CONSTRUCTION MANAGEMENT CONTRACTS**

WEST OF CANNON DRIVE CHILLER PLANT (MCFP)  
WEST OF CANNON DRIVE PARKING GARAGE (MCFP)

**APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS**

9<sup>TH</sup> AVENUE PARKING GARAGE RENOVATION  
930 KINNEAR ROAD - HEAVY DUTY DYNAMOMETER CONTROL  
ATI – APPLE CREEK FARM WATER DISTRIBUTION SYSTEM  
BIOLOGICAL SCIENCES BUILDING 6<sup>TH</sup> FLOOR RENOVATION  
CLINICAL SPACE REORGANIZATION –  
RHODES/DOAN ENDOVASCULAR-SUITE 4  
MANSFIELD CAMPUS – OVALWOOD HALL RENOVATION  
MARTHA MOREHOUSE MEDICAL PLAZA –  
SECOND FLOOR CLINIC IN PAVILION  
NEWTON HALL – MAILROOM RENOVATION  
OARDC – WESTERN BRANCH HEADQUARTERS AND  
MACHINERY BUILDING  
OHIO UNION ENHANCEMENTS AND INSTRUCTIONAL KITCHEN  
OHIO UNION REPLACEMENT

**APPROVAL TO INCREASE CONSTRUCTION CONTRACTS**

KENNEDY COMMONS HVAC INSTALLATION  
MARION CAMPUS – MORRILL HALL 1<sup>ST</sup> FLOOR REHABILITATION

Synopsis: Authorization to enter into feasibility study, design, construction management, and construction contracts, and to increase construction contracts for the projects listed, as detailed in the attached materials, is requested.

WHEREAS in accordance with the attached materials, the University desires to undertake, and enter into feasibility study contracts for, the following projects:

Cartoon Research Library Feasibility Study (N/A)	\$0.07M	Libraries development funds
Veterinary Hospital Feasibility Study – Phase III (07-08 capital request)	\$0.06M	College operating and local funds

WHEREAS in accordance with the attached materials, the University desires to undertake, and enter into design contracts for, the following projects:

9th Avenue Parking Garage Expansion (05-06 capital request)	\$21.69M	2007 bond proceeds
12th Avenue – Condensate Piping Upgrade (05-06 capital request)	\$0.19M	State funds
ATI – Equine Storage Facility (03-04 capital request)	\$0.13M	State and ATI development funds
Larkins Hall – Condensate Piping Replacement (05-06 capital request)	\$0.21M	State funds
Stillman Hall Third Floor Renovation (N/A)	\$0.33M	College operating and Central funds (OAA)
West of Cannon Drive Chiller Plant (MCFP) (05-06 capital request)	\$47.20M	2007 bond proceeds
West of Cannon Drive Parking Garage (MCFP) (05-06 capital request)	\$13.81M	2007 bond proceeds

WHEREAS in accordance with the attached materials, the University desires to enter into construction management contracts for the following projects:

## July 7, 2006 meeting, Board of Trustees

West of Cannon Drive Chiller Plant (MCFP) (05-06 capital request)	\$47.20M	2007 bond proceeds
West of Cannon Drive Parking Garage (MCFP) (05-06 capital request)	\$13.81M	2007 bond proceeds

WHEREAS in accordance with the attached materials, the University desires to enter into construction contracts for the following projects:

9th Avenue Parking Garage Renovation (05-06 capital request)	\$2.27M	2007 bond proceeds
930 Kinnear Road – Heavy Duty Dynamometer Control (N/A)	\$0.15M	College funds
ATI – Apple Creek Farm Water Distribution System(03-04 capital request)	\$0.09M	State funds
Biological Sciences Building 6 <sup>th</sup> Floor Renovation (03-04 capital request)	\$2.73M	State and College funds
Clinical Space Reorganization – Rhodes/Doan Endovascular-Suite 4 (N/A)	\$1.54M	Hospital operating funds
Mansfield Campus – Ovalwood Hall Renovation (07-08 capital request)	\$10.19M	Mansfield operating funds and 2007 bond proceeds
Martha Morehouse Medical Plaza – Second Floor Clinic in Pavilion	\$1.81M	Hospital operating funds and 2007 bond proceeds
Newton Hall – Mailroom Renovation (N/A)	\$0.28M	College operating funds
OARDC – Western Branch Headquarters and Machinery Building (03-04 capital request)	\$0.85M	State funds
Ohio Union Enhancements and Instructional Kitchen	\$2.38M	2007 bond proceeds and development funds
Ohio Union Replacement (03-04 capital request)	\$115.00M	2007 and future bond proceeds and Student Affairs operating fund

WHEREAS in accordance with the attached materials, the University desires to increase construction contracts for the following projects:

Kennedy Commons HVAC Installation (07-08 capital request)	\$2.28M	2007 bond proceeds
Marion Campus – Morrill Hall 1 <sup>st</sup> Floor Rehabilitation (03-04 capital request)	\$2.04M	State and Marion operating funds

\*Parentheses indicates the biennial capital request or other action by the Board of Trustees to authorize the capital project; renovation projects funded by internal office or departmental funds that are noted as “N/A” have not had separate capital project authorization because of their smaller size or because they arose unexpectedly between capital planning cycles.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into feasibility study, design, construction management, and construction contracts, and to increase contracts as indicated, for the projects listed above in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix II for background information and maps, page 143.)

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**INTERIM AUTHORIZATION  
TO ENTER INTO DESIGN, CONSTRUCTION MANAGEMENT,  
AND CONSTRUCTION CONTRACTS**

Resolution No. 2007-16

Synopsis: Authorization to enter into design, construction management, and construction contracts for University capital projects as necessary prior to the September 22, 2006 Board of Trustees meeting is requested.

WHEREAS to support the Academic Plan, ensure timely design and construction of University facilities and improvements, and make the most effective use of limited financial resources, the University desires to move forward expeditiously with needed capital projects, subject to approval by the Board of Trustees; and

WHEREAS the next scheduled meeting of the Board of Trustees is on September 22, 2006; and

WHEREAS during the intervening period, the Board desires to facilitate such approvals, subject to appropriate review and oversight:

NOW THEREFORE

BE IT RESOLVED, That in the intervening period before the next regularly scheduled meeting of the Board of Trustees on September 22, 2006, the Chair of the Fiscal Affairs Committee, in consultation with the Committee and with the Chair of the Board of Trustees as appropriate, shall have the authority, on behalf of the Board of Trustees, to authorize design, construction management, and construction contracts, and approve other related actions for University capital projects; and

BE IT FURTHER RESOLVED, That during this period, as approved by the President, the Senior Vice President for Business and Finance shall present any needed actions to authorize design, construction management, and/or construction contracts or take any related actions for capital projects to the Chair of the Fiscal Affairs Committee, as she may direct, for review and approval; and

BE IT FURTHER RESOLVED, That, in accordance with the process outlined above and subject to the written approval of the Chair of the Fiscal Affairs Committee, the President and/or Senior Vice President for Business and Finance shall be authorized to enter into design, construction management, and construction contracts, if satisfactory bids are received, and take any other related actions on capital projects, in accordance with established University and State of Ohio procedures, with these actions to be reported to the Board at the September 22, 2006 meeting; and

BE IT FURTHER RESOLVED, That the authority granted by this resolution shall expire as of the September 22, 2006 meeting.

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**PURCHASE OF REAL PROPERTY**

Resolution No. 2007-17

1615 HIGHLAND STREET  
COLUMBUS, OHIO 43201

Synopsis: Authorization to purchase the improved real property located at 1615 Highland Street, Columbus, Ohio, is proposed.

**July 7, 2006 meeting, Board of Trustees**

WHEREAS this property has an average appraised value of \$380,000.00 and the owner, C&A Properties and Renovations LLC, has entered into a real estate purchase contract to sell the property to the University at that price; and

WHEREAS this property is located within the University's South Campus Acquisition Area and the appropriate University offices have determined that purchase of this property is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to purchase the improved real property at 1615 Highland Street in the name of the State of Ohio for the use and benefit of The Ohio State University, upon such terms and conditions deemed to be in the best interest of the University.

(See Appendix III for background information and map, page 173.)

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**EASEMENT**

Resolution No. 2007-18

CITY OF LIMA, OHIO  
SEWER LINE ALONG RESERVOIR ROAD  
LIMA, OHIO

Synopsis: Authorization to grant the City of Lima an easement to install and maintain a sewer line to provide service to the area surrounding the Lima Campus is proposed.

WHEREAS the City of Lima has requested a 30-foot wide easement along Reservoir Road at the edge of the Lima Campus for a term of 25 years to permit installation and maintenance of a sewer line; and

WHEREAS this easement will serve and benefit the economic development of the Lima Campus and the neighborhood surrounding the Campus and the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve, and the Ohio Department of Administrative Services be authorized to process, appropriate documents and grant this easement to the City of Lima upon such terms and conditions as are in the best interest of the University.

(See Appendix IV for map, page 175.)

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**TEMPORARY CONSTRUCTION EASEMENT**

Resolution No. 2007-19

**LANE KENNY RESIDENCES LLC  
WATER LINE INSTALLATION  
INTERSECTION OF LANE AVENUE AND KENNY ROAD  
COLUMBUS, OHIO**

Synopsis: Authorization to grant the Lane Kenny Residences LLC an easement to install a water line to extend service to University property leased for a residential development project is proposed.

WHEREAS the Lane Kenny Residences LLC has requested a 50 foot by 50 foot easement at the southwest corner of the intersection of Kenny Road and Lane Avenue, for a term beginning upon execution of the easement and continuing until completion of all required improvements, to permit installation of a water line; and

WHEREAS upon completion of the improvements and their approval by the University, the improvements will be accepted and maintained by the University; and

WHEREAS this easement will serve and benefit University property and the residential development project, and the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve, and the Ohio Department of Administrative Services be authorized to process, appropriate documents and grant this easement to the Lane Kenny Residences LLC upon such terms and conditions as are in the best interest of the University.

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**TEMPORARY CONSTRUCTION EASEMENT**

Resolution No. 2007-20

**LANE KENNY RESIDENCES LLC  
SANITARY SEWER LINE INSTALLATION  
KENNY ROAD  
COLUMBUS, OHIO**

Synopsis: Authorization to grant the Lane Kenny Residences LLC an easement to install a sanitary sewer line to extend service to University property leased for a residential development project is proposed.

WHEREAS the Lane Kenny Residences LLC has requested a 30-foot wide easement along Kenny Road, from Lane Avenue to Woody Hayes Drive, for a term beginning upon execution of the easement and continuing until completion of all required improvements, to permit installation of a sanitary sewer line; and

WHEREAS upon completion of the improvements and their approval by the University, the improvements will be accepted and maintained by the University; and

**July 7, 2006 meeting, Board of Trustees**

WHEREAS this easement will serve and benefit University property and the residential development project, and the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve, and the Ohio Department of Administrative Services be authorized to process, appropriate documents and grant this easement to the Lane Kenny Residences LLC upon such terms and conditions as are in the best interest of the University.

(See Appendix V for map, page 177.)

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**ADOPTION OF THE UNIVERSITY MEDICAL CENTER PARKING PLAN**

Resolution No. 2007-21

Synopsis: Adoption of the proposed University Medical Center Parking Plan is proposed.

WHEREAS in November 2005, the Board of Trustees adopted the 2005 Medical Center Facilities Plan and Project Cancer Expansion; and

WHEREAS the implementation of that plan will result in the loss of existing parking and increased demand for additional spaces for a total need of approximately 2,800 new and replacement spaces to support the programs and initiatives of the University Medical Center; and

WHEREAS to meet these needs, the Medical Center, in collaboration with Facilities Operations and Development and Transportation and Parking Services, has proposed a Medical Center Parking Plan which recommends new parking garages and use of an existing remote surface parking lot, as detailed in the attached materials; and

WHEREAS this Medical Center Parking Plan is consistent with the Medical Center Facilities Plan and the University Master Plan and relevant district plans, and the appropriate University offices recommend its adoption:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the proposed Medical Center Parking Plan and directs the appropriate University offices under the leadership of the Senior Vice President for Health Sciences and the Senior Vice President for Business and Finance to implement the Plan, consistent with established University decision-making procedures, including required Board of Trustees approval for the capital projects included within the Plan; and

BE IT FURTHER RESOLVED, That this approval is expressly contingent upon resolution of outstanding issues outlined in the accompanying materials, as determined by the Senior Vice President for Business and Finance and the Senior Vice President for Health Sciences, in consultation with the Chair of the Board of Trustees' Fiscal Affairs Committee, and that the University shall report on resolution of these issues at the September meeting of the Committee.

(See Appendix VI for background material and map, page 179.)

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**REVISION OF THE NONSMOKING POLICY**

Resolution No. 2007-22

WHEREAS the University recognizes the need to create and maintain an environmental quality that sustains and enhances the general health of its faculty, staff, students, and visitors; and

WHEREAS in furtherance of this commitment, the University recognizes the need to modify its present Nonsmoking Policy 7.20 in an effort to reduce the risks associated with tobacco usage in and around the Health Sciences and Biological Sciences buildings; and

WHEREAS pursuant to Resolution 2006-135 adopted by this Board at the May 5, 2006 meeting, the Medical Center has gone tobacco-free effective July 1, 2006; and

WHEREAS including the grounds of Aronoff Laboratory, Biological Sciences Building, Biological Sciences Greenhouse, Fry Hall, General Biology Annex, Jennings Hall, Newton Hall, Parks Hall, Postle Hall, Riffe Building, and Starling Loving Hall will create clearer and more easily enforceable geographical boundaries for the tobacco-free zone; and

WHEREAS the faculty, staff, and leadership of the Colleges of Biological Sciences, Dentistry, Nursing, Optometry, and Pharmacy have voted to support going tobacco-free:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the attached Nonsmoking Policy and Procedure, to be effective July 7, 2006; and

BE IT FURTHER RESOLVED, That as a part of the general health promotion programs of the University, voluntary smoking cessation services for faculty, staff, and students will continue to be made available.

(See Appendix VII for background material and map, page 193.)

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**UNDERGRADUATE TUITION FOR REGIONAL CAMPUSES AND  
THE AGRICULTURAL TECHNICAL INSTITUTE FOR FISCAL YEAR 2007**

Resolution No. 2007-23

Synopsis: Instructional and general fees for undergraduate students enrolled at ATI and the Regional Campuses for Fiscal Year 2007, effective Autumn Quarter 2006, are proposed.

WHEREAS consultations have taken place at the Agricultural Technical Institute and the Regional Campuses to determine the appropriate instructional and general fee increases for those students for Fiscal Year 2007; and

WHEREAS undergraduate instructional and general fees are subject to a State mandated 6% fee cap before adjustments for the Access Challenge offset; and

WHEREAS the Regional Campus Boards of Trustees have approved a 6% increase in undergraduate instructional and general fees, before adjustments for Access Challenge offsets, at those boards' respective June meetings; and



**July 7, 2006 meeting, Board of Trustees**

WHEREAS the Executive Dean for the College of Food, Agricultural, and Environmental Sciences has recommended a 6% increase in resident undergraduate tuition and a 6% increase in the non-resident surcharge for students attending ATI:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees and the non-resident surcharge for both upper and lower division undergraduates enrolled at ATI and the Regional Campuses be increased 6%; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2006.

(See Appendix VIII for background material, page 197.)

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**FISCAL YEAR 2007 OPERATING BUDGET**

Resolution No. 2007-24

Synopsis: Approval of the Operating Budget for Fiscal Year 2007 is proposed.

WHEREAS the State budget for Fiscal Year 2007, including funding levels for State institutions of higher education, is set; and

WHEREAS fee increases for the Columbus and Regional Campuses for Fiscal Year 2007 have been approved by the Board of Trustees and other levels of general funds and earnings resources have been estimated and restricted fund resources have been projected based on historical trends; and

WHEREAS expenditures, including compensation, have been estimated for Fiscal Year 2007; and

WHEREAS appropriate planning and consultation within the University has been accomplished with regard to the Columbus Campus, Regional Campuses and ATI budgets, and the President now recommends approval of the Fiscal Year 2007 Operating Budgets with a final Total University Current Funds Budget to be submitted at the September meeting of the Board of Trustees; and

WHEREAS this Fiscal Year 2007 budget includes the following one-time funds for Campus Partners: 1) \$650,000 for support of Campus Partners operations during Fiscal Year 2007 to carry out its initiatives, subject to the provisions of the 1997 memorandum of understanding, as amended from time to time, and 2) \$600,000 to address timing issues related to the renting of Gateway commercial/retail spaces:

NOW THEREFORE

BE IT RESOLVED, That the Operating Budget for the Columbus, ATI, Lima, Mansfield, Marion, and Newark Campuses for Fiscal Year 2007, as described in the accompanying text and tables, be approved, with authorization for the President to make general fund and earnings fund expenditures within the estimated income levels and to authorize the expenditure of all restricted funds earned with quarterly revenue updates to the Board.

(See Appendix IX for background information, page 199.)

**July 7, 2006 meeting, Board of Trustees**

Upon motion of Mr. Shumate, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Trustees Duncan, Cloyd, Davidson, Ong, Borrer, O'Dell, Shumate, Hicks, and Schottenstein.

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**REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2007-25

Synopsis: The report on research and other sponsored program contracts and grants and the summary for May 2006 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2006 be approved.

Upon motion of Mr. O'Dell, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolution with eight affirmative votes, cast by Trustees Duncan, Davidson, Ong, Borrer, O'Dell, Shumate, Hicks, and Schottenstein, and one abstention cast by Trustee Cloyd.

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**APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS**

Resolution No. 2007-26

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

# **July 7, 2006 meeting, Board of Trustees**

	<u>Market Value</u> <u>As of 5/31/06</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>	
<u>Domestic Large Cap Equity</u>				
Fifth Third	\$38,744,067		\$38,744,067	
Huntington Value	\$37,868,874		\$37,868,874	
University Students	\$19,924,738		\$19,924,738	
Unallocated Equity	\$24,437,539		\$24,437,539	
State Street S&P 500 Index	<u>\$260,648,501</u>		<u>\$260,648,501</u>	
	\$381,623,719	0	\$381,623,719	20%
<u>Domestic Mid Cap Equity</u>				
Meeder 100	\$102,986,147		\$102,986,147	
Meeder Enhanced	\$40,510,733		\$40,510,733	
Nicholas Applegate	\$54,600,736		\$54,600,736	
State Street Extended Index	<u>\$93,716,590</u>		<u>\$93,716,590</u>	
	\$291,814,206	0	\$291,814,206	15%
<u>Domestic Small Cap Equity</u>				
Bernzott Capital Advisors	\$26,219,183		\$26,219,183	
Diamond Hill	\$10,139,058		\$10,139,058	
G.W. Capital, Inc.	\$11,109,455		\$11,109,455	
Hoover Investment Management	\$29,252,470		\$29,252,470	
Independence Investments	\$28,950,975		\$28,950,975	
Nicholas Applegate	\$86,466,132		\$86,466,132	
Opus Capital Management	\$27,683,101		\$27,683,101	
State Street Value Index	\$51,322,127		\$51,322,127	
State Street Russell 2000 Index	<u>\$22,138,618</u>		<u>\$22,138,618</u>	
	\$293,281,119	0	\$293,281,119	15%
<u>International Equity</u>				
BlackRock	\$30,709,380		\$30,709,380	
Freedom Capital Management	\$30,614,071		\$30,614,071	
Invesco	\$17,008,216	(\$17,008,216)	0	
Newgate Capital Management	\$11,114,913		\$11,114,913	
LSV Asset Management	\$29,188,233		\$29,188,233	
State Street Index	<u>\$103,660,963</u>	<u>\$17,008,216</u>	<u>\$120,669,179</u>	
	\$222,295,776	0	\$222,295,776	11%
<u>Domestic Fixed Income</u>				
Cypress Asset Management	\$23,482,010		\$23,482,010	
Hughes Capital Management	\$19,682,219		\$19,682,219	
Huntington Trust	\$40,199,285		\$40,199,285	
JP Morgan	\$20,212,162		\$20,212,162	
State Street Govt/Credit Index	<u>\$151,544,285</u>		<u>\$151,544,285</u>	
	\$255,119,961	0	\$255,119,961	13%
<u>High Yield Fixed Income</u>				
Commonfund	\$32,727,786		\$32,727,786	
Delaware Investments	\$29,919,123		\$29,919,123	
Lehman Brothers	<u>\$29,437,314</u>		<u>\$29,437,314</u>	
	\$92,084,223	0	\$92,084,223	5%
<u>International Fixed Income</u>				
Brandywine Asset Management	\$19,994,575		\$19,994,575	
JP Morgan Asset Management	\$18,978,875		\$18,978,875	
State Street World Govt Ex-US Index	<u>\$48,804,209</u>		<u>\$48,804,209</u>	
	\$87,777,659	0	\$87,777,659	4%

**July 7, 2006 meeting, Board of Trustees**

	<u>Commitment As of 5/31/06</u>	<u>Market Value Changes</u>	<u>Commitment Allocation</u>
<u>Venture Capital/Private Equity</u>			
<b>1999 Funds:</b>			
Commonfund Capital Partners 1999 (V/PE)	\$7,067,000	\$4,041,187	\$7,067,000
Commonfund New Leaders (V/PE)	\$10,000,000	\$5,114,346	\$10,000,000
Mesirow Partnership Fund I (V/PE)	\$10,000,000	\$5,446,892	\$10,000,000
<b>2000 Funds:</b>			
CID Seed Fund (V)	\$1,000,000	\$626,016	\$1,000,000
EDF Ventures Seed Fund (V)	\$1,000,000	\$384,536	\$1,000,000
<b>2001 Funds:</b>			
Reservoir Venture Seed Fund I (V)	\$3,192,000	\$1,282,671	\$3,192,000
<b>2005 Funds:</b>			
Commonfund International Partners V (V/PE)	\$10,000,000	\$591,981	\$10,000,000
Commonfund Private Equity (PE) Partners VI	\$10,000,000	\$493,877	\$10,000,000
Commonfund Venture Partners VII (V)	\$5,000,000	\$152,088	\$5,000,000
Fort Washington Private Equity IV (V/PE)	\$5,000,000	\$1,546,002	\$5,000,000
Mesirow Capital Partners IX (V/PE)	\$5,000,000	\$904,981	\$5,000,000
Mesirow Partnership Fund III (V/PE)	\$5,000,000	\$666,805	\$5,000,000
<b>2006 Funds:</b>			
M/C Venture Partners VI (V)	0	0	\$5,000,000 new
Onex Partners II (PE)	0	0	\$5,000,000 new
Reservoir Venture Seed Fund II (V)	0	0	\$3,000,000 new
Stonehenge Opportunity Fund II (PE)	\$5,000,000	\$1,522,500	\$5,000,000
<b>Index Fund:</b>			
State Street Russell 2000 Index	<u>\$133,714,860</u>	<u>\$133,714,860</u>	<u>\$120,714,860</u>
	\$183,906,860	\$156,488,742	\$183,906,860 8%
	<u>Market Value As of 5/31/06</u>	<u>Changes</u>	<u>Revised Allocation</u>
<u>Absolute Return Funds</u>			
Commonfund Investors	\$93,915,083		\$93,915,083
Ramius Fund	<u>\$37,168,892</u>		<u>\$37,168,892</u>
	\$131,083,975	0	\$131,083,975 7%
<u>Real Estate</u>			
Campus Partners	\$20,000,000		\$20,000,000
Don Scott Airport	\$23,389,000		\$23,389,000
Miscellaneous	\$13,698,000		\$13,698,000
State Street Wilshire REIT Index	<u>\$55,076,379</u>		<u>\$55,076,379</u>
	\$112,163,379	0	\$112,163,379 6%

Upon motion of Mr. O'Dell, seconded by Dr. Cloyd, the Board of Trustees adopted the foregoing resolution with seven affirmative votes, cast by Trustees Duncan, Cloyd, Ong, Borrer, O'Dell, Shumate, and Hicks, and two abstentions cast by Trustees Davidson and Schottenstein.

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## TARGETED INVESTMENTS IN EXCELLENCE INITIATIVE

Provost Barbara R. Snyder: [PowerPoint Presentation]

Good morning it is an honor to be here. Thank you so much for allowing me time on the agenda to update you on our Targeted Investments in Excellence Initiative.

This program is our institution's ambitious strategy for fostering excellence across our colleges, for advancing the goals of the Academic Plan, and for moving up the ladder of the world's top public research universities. Through our targeted investments initiatives, Ohio State researchers will be tackling some of today's most crucial issues: from the soil to the stars, from human malignancy to human creativity, from our needs for energy to the needs of our environment.

As you may remember from my presentation to you in March, the Targeted Investments in Excellence Initiative emerged from last summer's Leadership Retreat, where we did a review of the progress-to-date on Ohio State's Academic Plan. As part of that review, we compared ourselves to every public research university with a *U.S. News & World Report* peer assessment score higher than Ohio State's. In the course of this examination, we realized that the stature of an institution is directly tied to the reputations of its colleges, departments, and academic programs. We concluded that, in order to get to the next level, Ohio State needed a way to promote its own programs that were capable of achieving world-wide recognition. With that upward trajectory in mind, the Targeted Investments in Excellence Initiative was born.

Thanks to the great planning of Senior Vice President for Business and Finance Bill Shkurti and financial support from President Holbrook, Senior Vice President for Research Bob McGrath, and the Office of Academic Affairs, we identified \$50 million in funds earmarked for this general purpose and committed it over the next five years to our targeted investments initiative. This money was to be matched by the targeted units' colleges, for a total investment of \$100 million in those programs that could move to the top of their fields and have a significant impact on the University's academic stature.

In January, 46 high-potential, high-impact programs were identified by the college deans, who also provided plans for funding the changes needed to move these programs to the top of the national rankings. We emphasized that the colleges were to move ahead and implement their proposals whether they received central funding or not. This stipulation assured that all the proposals were sound, important, and, of course, fully funded. The boost from central funding was intended to permit the winning colleges to implement their plans faster at a higher level or on a broader scale.

We built further accountability into the program by requiring that each targeted investment proposal specify the metrics to be used to measure its success over the next five years. As you might imagine, those metrics were as varied as the projects, but included such measures as enhanced rankings by *U.S. News* or other appropriate organizations, the acquisition of competitive external funding, expansion of the undergraduate and graduate curriculum, greater numbers of research publications, more and stronger graduate applications, and more visible post-Ohio State placement of our graduates. In addition, the progress being made on all 46 targeted investment proposals will be a part of each dean's annual performance review.

## July 7, 2006 meeting, Board of Trustees

With those strictures in place, the Targeted Investments in Excellence proposals were reviewed by the Council of Deans, the President's Cabinet, the President's and Provost's Advisory Committee, the Steering Committee of the University Senate, and the University Research Committee. The proposals were judged by two primary criteria, excellence and impact. Programs had to be of such excellence that they could achieve world-wide recognition and they had to have an important impact on the University's academic stature. With the input I received, decisions were made to provide central funding to ten programs. I want to tell you something about each of them.

I'll start with the top-ranked proposal -- Climate, Water, and Carbon Program. This is a collaborative project involving experts from the Colleges of Mathematical and Physical Sciences, Food, Agricultural, and Environmental Sciences, and Social and Behavioral Sciences, as well as the Byrd Polar Research Center and the John Glenn Institute. The work of these scientists will lead to scientific and policy answers to critically important questions: What is causing abrupt climate change? Do we have enough fresh water to sustain the world's population? What can we do to offset the impact of fossil fuel combustion on climate change and water resources? How can we address future challenges and opportunities most effectively?

As it brings forth answers to questions like these — questions that literally bear on the future of human survival — the Climate, Water, and Carbon Program will situate Ohio State as the world's go-to institution for expertise on climate change, water resources, and carbon cycling. The Climate, Water, and Carbon Program was born and is being led by an interdisciplinary team of some of Ohio State's top scientists — like geologist Lonnie Thompson, recipient of the Tyler Prize for Environmental Achievement; wetlands specialist Bill Mitsch, winner of the Stockholm Water Prize; and soil scientist Rattan Lal, who received the Norman Borlaug Award for his research in soil management practices.

One profound lesson we learned from the targeted investments process is that Ohio State's researchers have their fingers on the pulse of today's preeminent scientific, social, and cultural issues and they are defining and driving the solutions to them. Our targeted investments initiative is meant to spur that process.

Next we have the Mathematical Biosciences Institute. Established at Ohio State in 2002, with a \$10 million grant from the National Science Foundation, the Institute is directed by Distinguished Professor of Mathematical and Physical Sciences Avner Friedman, a member of the National Academy of Sciences. Our targeted investment in this initiative of the Colleges of Biological Sciences and Mathematical and Physical Sciences will attract exceptional new faculty who work across the boundaries of several areas of biology, mathematics, statistics and computational modeling.

It will reinforce the Institute's work by expanding opportunities for collaborations between biologically-oriented mathematical scientists and mathematically-oriented biologists. It will allow us to develop a world-class program in mathematical biosciences and quantitative biology. Thanks to this initiative, Ohio State will be poised to become the nation's leading institution in mathematical biosciences and the permanent site of the Mathematical Biosciences Institute.

Let me turn now to a program with the potential to improve — if not save — lives throughout Ohio and well beyond. The Public Health Preparedness Program is spearheaded by Professors Tim Buckley, Kent Hoblet, and Larry

Schlesinger, who are internationally respected scholars in the School of Public Health, the Colleges of Veterinary Medicine, and Medicine, respectively. Their efforts will be complemented by those of outstanding researchers in the Colleges of Biological Sciences, Pharmacy, and Food, Agricultural, and Environmental Sciences. Together, they will accelerate and expand the work that's being done in avian flu, anthrax, tuberculosis, and other infectious diseases important in biodefense and public health preparedness.

Their work can also be expected to lead to improved detection and monitoring mechanisms as well as new diagnostic tools, therapies, and vaccines for infectious diseases, including those resulting from bioterrorism. With the implementation of this ambitious program, Ohio State will become nothing less than the international leader in public health preparedness.

Our targeted investment in the Center for Cosmology and Astro-Particle Physics will capitalize on the opportunity to pursue research at the interface of cosmology, astrophysics, and high energy physics. This collaboration of the Departments of Astronomy and Physics will allow faculty experts to address fundamental, high-profile questions about the nature and evolution of the universe, as well as the physics of black holes and the highest energy cosmic particles.

Critical to understanding the evolution of the cosmos is an understanding of the so-called "dark universe" that is invisible and composed of something radically different from the atomic building blocks of our everyday lives. For this reason, "dark universe" science is a major research activity at some other top universities. With the establishment of the Center for Cosmology and Astro-Particle Physics, Ohio State is set to become a leader in those research efforts.

We're also targeted investment in the College of Engineering's Center for Clean, Sustainable Energy, which is designed to address both the national and the global need for energy — now and throughout the next century. Center affiliates will engage in research on clean coal and nuclear power, fuel cells, and breakthrough technologies, such as photovoltaics and thermoelectrics, that will allow us to use renewable energy sources to meet some of our energy needs. Center affiliates will also examine the impact of these technologies on society, the environment, and the economy as well as the constraints on their development and deployment. This research will inform energy policy and the development of codes and standards to regulate the new technologies. By establishing this Center now, we are positioning Ohio State at the leading edge of energy research and education programs.

The aim of our next targeted investment, the Advanced Materials Initiative, is to establish and sustain The Ohio State University as an international leader in materials research. To achieve that leadership status, we are supporting the creation of the Institute for Materials Research, a collaborative project of the Colleges of Engineering and Mathematical and Physical Sciences to be directed by Professor Steven Ringel, who holds appointments in the Departments of Physics, Materials Science, and Electrical Engineering. This new center will coordinate existing and planned materials activities, facilities, and investments across the University.

Materials research has already generated countless advances in areas such as electronics, information technologies, automotive and aerospace transportation, biomaterials, and nanotechnology. This field is a vital area for University research and education, one with economic and entrepreneurial impact that spans the sciences, engineering, and more

## **July 7, 2006 meeting, Board of Trustees**

recently medicine and agriculture. Because materials research intersects so many business sectors within the State of Ohio, achieving international distinction in materials research will have a direct and real impact on the state's economy.

Next is our initiative on Population and Health, a project of the College of Social and Behavioral Sciences and its partners in the School of Public Health, the Colleges of Education and Human Ecology, and Nursing. Given the aging of the industrialized world's population, today's advances in medicine, the spiraling costs of health care, and the specter of differential access to effective health care, the issues of population and health have become increasingly crucial areas for university research.

The Population and Health initiative will build on Ohio State's critical mass of scientists who study population and health. It will also capitalize on our NIH-funded Initiative in Population Research with its distinctive programmatic focus on both the macro-level study of health across populations and the micro-level study of individual health behaviors. As a recipient of a targeted investment, the Population and Health initiative promises to make Ohio State a national and international leader in research in this vital area.

Similarly, our targeted investment in Translational Plant Sciences will augment Ohio State's reputation as a leader in the molecular plant sciences. The Colleges of Biological Sciences and Food, Agricultural, and Environmental Sciences developed this winning proposal to add exceptional faculty who can be expected to build substantial externally funded research programs.

As Ohio State researchers collaborate with such external partners as the Department of Energy National Laboratories, Battelle, and the Ohio BioProducts Innovation Center, this institution will lead the way in the translation and application of basic research in the plant sciences. Moreover, our state's economic growth will be fueled by enhanced applications in agriculture, medicine, pharmacy, and engineering that will emerge as part of the Translational Plant Sciences Program. And because food, energy, and health challenges require increasingly global solutions, the program will also expand Ohio State's international presence.

A targeted investment is supporting an initiative in the creative arts that likewise has the potential to enhance this institution's reputation nationally and internationally and improve the quality of preparation of our students. The Music Industry Program, a new focus area in the School of Music, will offer students both a music business curriculum, which will include legal aspects of the music industry, music production, and merchandising, and an applied technology curriculum that concentrates on media and multimedia.

These opportunities will qualify School of Music graduates for a wider range of career options in the music world from recording engineer to music publisher, instrument designer, music company executive, and more. With help from a targeted investment, this program will keep the School of Music at the cutting-edge of the field and it can be expected to propel the School already ranked 24<sup>th</sup> into the top ten.

The Colleges of Medicine, Veterinary Medicine, and Pharmacy, the Department of Chemistry, and the Comprehensive Cancer Center came together to focus on a project that promises to situate Ohio State as a leader in yet another area. The goals of the ambitious Micro-RNA project are to develop, validate, and commercialize both tests and Micro-RNA drugs



## July 7, 2006 meeting, Board of Trustees

for the diagnosis, monitoring, prognosis, and treatment of human malignancies.

Micro-RNAs are a newly discovered family of genetic material that plays a key role in controlling gene expression. Ohio State's focus on Micro-RNAs will lead to the creation of a diagnostic platform and new strategies for cancer therapy. It is important to realize that our University already boasts the world's finest critical mass of talent to transform this field. That critical mass includes, National Academy of Science member Dr. Carlo Croce, the principal investigator on this project. With our targeted investment in it, Ohio State can expect to become the international leader in the delivery of Micro-RNA diagnostics and therapeutics for cancer prevention, diagnosis, prognosis, and treatment.

That is a broad overview of the ten programs that will receive central targeted investment funding. I'd also like to mention that the Fisher College of Business has a proposal for Logistics Management, which will be funded through a line of credit as a part of this process. That program, already ranked 4th in the country by *U.S. News & World Report*, has the potential to become the premier think-tank anywhere for the study and application of advanced logistics management and the targeted investment will help it get there.

We still have other line of credit funds remaining and available for proposals that were not among those chosen to receive central funding. We have told the colleges that they can apply for a line of credit to assist in carrying out their proposals. As you remember, every single one of the 46 proposals will be implemented even without central funds.

Let me now say something about the specifics of distributing the central funds beginning with a special thanks. Everyone in the Office of Academic Affairs has been intensely engaged in this process, but one person took the lead working through the budgets for all the proposals and working through the allocation of funds and that person is Dr. Michael Sherman, vice provost for Academic Administration. We could not have done it without his hard work.

The first thing we did in thinking about how to distribute the funds was to make every effort to distribute them at a level commensurate with each colleges' own fiscal commitment to each proposal. For the ten winning proposals, the colleges pledged a total of \$68 million over five years from college resources. These funds will be topped off by central investment of \$45 million in cash and \$5 million in continuing funds over the next five years for a total University-wide investment of \$118 million.

Most of the central funds will be concentrated in the top five programs. That means that: the Climate, Water, and Carbon; Mathematical Biosciences; Public Health Preparedness; Cosmology and Astro-Particle Physics; and Clean, Sustainable Energy projects will receive about 70% of the total available continuing funds and about 50% of all the available cash.

You remember my comments about the striking number of research foci that were shared by our targeted investment proposals. For that reason, we have set aside \$2 million in central cash to stimulate additional interactions between the Center for Climate, Water, and Carbon and the closely related Clean, Sustainable Energy program. The first-year central commitment of central funds was released on July 3. If you would like a fuller account of these initiatives, all of the proposals are posted on the Academic Affairs' website and I brought the ten winning proposals with me if anyone would like to see them in more detail.

## July 7, 2006 meeting, Board of Trustees

As I said earlier, the Targeted Investments in Excellence Program is an ambitious strategy for promoting the academic strengths and values of this University. Let us not forget that nothing ever built rose to touch the skies unless someone dreamed it should, someone dreamed it could, and someone willed that it would. That is what our faculty did in developing the targeted investments proposals, that is what the colleges did in making the strategic and difficult decisions about funding their highest priority programs, and that is what the Office of Academic Affairs has done by investing in those initiatives that we think will make our institution soar.

As it helps us rise to the top tier of public research universities, our investment in these initiatives will do even more. With faculty experts and student learners working side-by-side to implement the targeted investment plans, the quality and value of both the faculty and student experience will be enhanced. The targeted investments initiative is thus built on hard work, staying the course, insisting on results, and always pushing for excellence. It will enable additional cutting-edge research — from the soil to the stars. The research done here at The Ohio State University will demonstrably elevate the human condition.

Thank you for your attention. I would be happy to answer any questions.

Judge Duncan:

Are there any questions from Board members?

Mr. Schottenstein:

Congratulations to you, President Holbrook, Provost Snyder, and everyone involved in this. It is extraordinary and overwhelming in a positive way to hear this just in terms of how bold it is. My comment is to what extent will we be publicizing some or all of what we are about to undertake? I think it is tremendous. I know everybody is not expecting immediate results, but it is the kind of thing about Ohio State University that would make any current, past or future supporter proud. I feel like it cries out for some form of publicity beyond that of posting it on our website or being discussed here at this meeting today. I just wondered what the plan or thoughts that others may have on that are.

Provost Snyder:

The Office of University Relations is actively engaging in publicizing these plans. As you pointed out, it is important to say that the telling will be the best with the outcomes later.

Mr. Schottenstein:

No question about that.

Provost Snyder:

I agree we do want to get this out and they are helping us engage with media in various places to talk about what we are doing here.

President Karen A. Holbrook:

Bob, I think that your question is absolutely right. I would like to see this be a big article in *The Chronicle*. I remember many years back when I was at the University of Florida reading about the Selective Investments Program at Ohio State. This University has done a number of very innovative pro-

**July 7, 2006 meeting, Board of Trustees**

grams that other people wouldn't dare to do in terms of investing significant money in themselves. I couldn't believe that any university did that and it got terrific attention. It is one of those things that put the University on the map as being a strong positive place. So I would hope *The Chronicle* would bite on this one, and I would think they would because it is novel.

Judge Duncan:

Again, Barbara, on behalf of the Board, thanks so much for what has been a lot of hard work and energy, and certainly thanks to all of those who worked long hard hours to present their proposals. We are well aware that making selections is not easy, and going through the process is even helpful, but the results are entirely relevant and compatible with our mission. They are exciting and challenging, and we are much stronger for these efforts. Thanks very much.

Provost Snyder:

Thank you.

(See Appendix X for background material, page 213.)

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Judge Duncan:

In a moment we will be taking a roll call vote to go into Executive Session; that vote will conclude all formal Board action for the day beyond adjourning the Board meeting. For those who may not wish to stay until the Board adjourns the meeting, I remind you that the next meeting of the Board will take place on Friday, September 22, 2006.

I hereby move that the Board recess into Executive Session for the purpose of considering personnel matters regarding employment and compensation, and to discuss matters required to be kept confidential by State Statute.

Upon motion of Judge Duncan, seconded by Dr. Cloyd, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Duncan, Cloyd, Davidson, Ong, Borrer, O'Dell, Shumate, Hicks, and Schottenstein.

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Thereupon the Board adjourned to meet Friday, September 22, 2006, at The Ohio State University, Longaberger Alumni House, Columbus, Ohio.

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Attest:

Robert M. Duncan  
Chairman

David O. Frantz  
Secretary



**JAMES CANCER HOSPITAL MEDICAL STAFF BYLAWS**

**TO BE RESCINDED**

**3335-111-07 Divisions of the medical staff.**

~~The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical, community oncologist attending, community associate, and limited designations. All medical staff members with admitting privileges may admit patients in accordance with state law and criteria for standards of care established by the medical staff.~~

**(A) Honorary staff.**

~~The honorary staff will be composed of those individuals recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature in an oncologic field of interest. They shall enjoy all privileges and responsibilities of attending staff appointments except patient care privileges and the right to vote and hold elected office in the medical staff organization. The honorary staff designation is awarded by the CHRI board on the recommendation of the senior executive director of the CHRI, senior vice president for health sciences, section chief, or the credentials committee after approval by the medical staff administrative committee. This is a life-time appointment.~~

**(B) Attending staff.**

**(1) Qualifications:**

~~The attending staff shall consist of the senior executive director, and those regular faculty members of the colleges of medicine and public health and dentistry who are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:~~

~~Training.~~

~~Current American board of medical specialties certification, if applicable.~~

~~Publications.~~

~~Grant funding.~~

~~Other funding and experience (as deemed appropriate by the senior executive director and the section chief);~~

~~And, whose clinical and teaching responsibilities are assigned by their respective academic chairpersons to one hundred per cent time in the CHRI and who satisfy the requirements and qualifications set forth in rule 3335-111-04 of the Administrative Code.~~

**(2) Prerogatives:**

~~Attending staff members may:~~

- ~~(a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the senior executive director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may~~

~~restrict admissions. Imposition of such restrictions shall not entitle the attending staff member to the procedures of paragraph (F) of rule 3335-111-05 of the Administrative Code and rule 3335-111-06 of the Administrative Code.~~

- ~~(b) Be free to exercise such clinical privileges as are granted.~~
- ~~(c) Vote on all matters presented at general and special meetings of the medical staff and committees of which they are a member unless otherwise provided by resolution of the medical staff or committee and approved by the medical staff administrative committee.~~
- ~~(d) Hold office in the staff organization and committees of which they are a member, unless otherwise provided by resolution of the medical staff or committee and approved by the medical staff administrative committee.~~

~~(3) Responsibilities:~~

~~An attending staff member shall:~~

- ~~(a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.~~
- ~~(b) Retain responsibility within their area of professional competence for the continuous care and supervision of each patient in the CHRI for whom he or she is providing care, or arrange a suitable alternative for such care and supervision whenever the patient requires care that is beyond the scope of the attending staff member's capabilities or delineated clinical privileges. This provision includes the supervision of interns, residents and fellows assigned to his/her service. The training of limited staff shall include oversight by the attending physician of clinical care provided to the patient, didactic instruction and observation of diagnostic and therapeutic procedures in accordance with the essentials of the ACGME accredited program in which the limited staff member is enrolled. The attending physician shall participate in the evaluation of limited staff's clinical performance as determined by the appropriate limited staff member's residency program director.~~
- ~~(c) Actively participate in such quality evaluation and monitoring activities as required by the medical staff, and discharge such staff functions as may be required from time to time.~~
- ~~(d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at medical staff meetings and meetings of those committees of which they are a member.~~
- ~~(e) Should actively encourage all their patients treated at the CHRI to cooperate in, and whenever applicable, participate in an approved protocol and knowingly participate in the teaching program. Failure to do so may constitute grounds for losing attending staff privileges.~~

(C) Associate attending staff.

(1) Qualifications:

~~The associate attending staff shall consist of those regular faculty members of the colleges of medicine and public health and dentistry who do not qualify for attending staff appointment.~~

(2) Prerogatives:

~~The associate attending staff may:~~

- ~~(a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the associate attending staff member to the procedures of paragraph (F) of rule 3335-111-05 of the Administrative Code and rule 3335-111-06 of the Administrative Code.~~
- ~~(b) Be free to exercise such clinical privileges as are granted.~~
- ~~(c) Vote on all matters presented at general and special meetings of the medical staff and committees of which they are a member unless otherwise provided by resolution of the staff or committee and approved by the medical staff administrative committee.~~
- ~~(d) The associate attending staff member may not vote on amendments to the bylaws.~~

(3) Responsibilities:

~~Associate attending staff members shall:~~

- ~~(a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.~~
- ~~(b) Retain responsibility within their care area of professional competence for the continuous care and supervision of each patient in the CHRI for whom the member is providing care, or arrange a suitable alternative for such care and supervision including the supervision of interns, residents and fellows assigned to their service.~~
- ~~(c) Actively participate in such quality evaluation and monitoring activities as required by the staff and discharge such staff functions as may be required from time to time.~~
- ~~(d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at medical staff meetings and meetings of those committees of which they are a member.~~
- ~~(e) Should actively encourage all of their patients treated at the CHRI to cooperate in, and, whenever applicable, participate in an approved protocol and knowingly participate in the teaching program. Failure to do so may constitute grounds for losing associate attending staff privileges.~~

~~(D) Clinical staff.~~

~~(1) Qualifications:~~

~~The clinical attending staff shall consist of those clinical faculty members of the colleges of medicine and public health and dentistry who have training, expertise, and experience in oncology, as determined by the director in consultation with the section chief.~~

~~(2) Prerogatives:~~

~~The clinical attending staff may:~~

~~(a) Admit patients which complement the research and clinical teaching program. At times when hospital beds or other resources are in short supply, patient admissions of clinical staff shall be subordinate to those of attending or associate attending staff.~~

~~(b) Be free to exercise such clinical privileges as are granted.~~

~~(c) Attend meetings as nonvoting members of the medical staff and any medical staff or hospital education programs. The clinical attending staff may not hold elected office in the medical staff organization.~~

~~(3) Responsibilities:~~

~~Each member of the clinical staff shall be required to discharge the basic responsibilities specified in paragraph (B)(3) of this rule, and, further, shall retain responsibility within that area of professional competence for the care and supervision of each patient in the CHRI for whom the member is providing services, or arrange a suitable alternative for such care and supervision including the supervision of interns, residents and fellows assigned to their service. The clinical attending staff shall be required to fully support and participate in the research and teaching programs of the CHRI. Failure to do so may constitute grounds for losing clinical staff privileges.~~

~~(E) Community oncologist attending staff.~~

~~(1) Qualifications:~~

~~The community oncologist attending (COA) staff shall consist of medical staff members who do not have faculty appointments in any of the academic units of the Ohio state university, are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:~~

~~Training:~~

~~Current American board of medical specialties certification, if applicable.~~

~~Practice profile.~~

~~Membership - professional oncology societies.~~

~~Publications.~~

~~Grant funding.~~

~~Other funding and experience (as approved by the CHRI senior executive director);~~

~~Individual COA staff utilization expectations shall be determined by the CHRI senior executive director with the advice of the CHRI~~



~~director of medical affairs and shall be intended to provide optimal access for patients to the CHRI that does not interfere with the service, research, or educational activities and priorities of the university faculty who constitute the CHRI attending, associate attending, or clinical attending staff. The CHRI senior executive director and the staff member applying for COA privileges will mutually identify the applicant's CHRI clinical and/or non-clinical involvement, including the categories of diagnosis, extent of anticipated patient activity, and the service areas to be utilized each year. A statement of this participation will be made a part of the application for appointment.~~

~~All applications for appointment and reappointment as a member of the COA staff shall be made to the CHRI senior executive director for initial evaluation. The CHRI senior executive director may, when appropriate, refer each application for completion of the appointment procedure in accordance with paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. However, the approval of an academic department chairperson and section chief shall not be required for the appointment or reappointment relative to any application for COA staff privileges. The staff member's ability to cooperate and support the goals identified by the CHRI senior executive director will be considered in the decision for appointment and reappointment. Adequate levels of clinical activity may be required to permit proper evaluation under CHRI quality assurance and utilization guidelines.~~

(2) Prerogatives:

Community oncologist attending staff members may:

- ~~(a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the senior executive director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the COA staff member to the procedures of paragraph (F) of rule 3335-111-04 of the Administrative Code and rule 3335-111-05 of the Administrative Code.~~
- ~~(b) Exercise such clinical privileges as are granted.~~
- ~~(c) Utilize all ambulatory and inpatient facilities of the CHRI consistent with their granted privileges. COA staff will be subject to the same credentials review as all other CHRI staff.~~
- ~~(d) Attend meetings of the medical staff as non-voting member and attend any medical staff or hospital education program. The COA staff member may not hold elected office in the medical staff organization. However, such member may serve as non-voting ex-officio member of medical staff committees if appointed pursuant to these rules.~~

(3) Responsibilities:

A community oncology attending staff member shall:

- (a) ~~Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.~~
- (b) ~~Retain responsibility within his or her area of professional competence for the continuous care and supervision of each patient in the CHRI for whom he or she is providing care, or arrange a suitable alternative for such care and supervision.~~
- (c) ~~Actively participate in such quality evaluation and monitoring activities as required by the medical staff, and discharge such staff functions as may be required from time to time including, but not limited to, adherence to all applicable quality assurance and monitoring activities in the division related to the COA's practice including attendance at peer review, mortality and morbidity conferences.~~
- (d) ~~Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at CHRI meetings.~~
- (e) ~~Actively encourage all their patients treated at the CHRI to cooperate in, and whenever applicable, participate in an approved protocol and knowingly participate in the teaching program. Failure to do so may constitute grounds for losing COA staff privileges.~~
- (f) ~~Become a member and active participant of at least one CCC disease specific committee.~~
- (g) ~~Be subject to payment of medical staff dues or assessments as approved by the CHRI medical staff.~~

(F) ~~Community associate staff.~~

(1) ~~Qualifications:~~

~~The community associate medical staff shall consist of those applicants who do not have faculty appointments in any of the academic units of the Ohio state university and who are licensed in the state of Ohio. All applications for appointment and reappointment to the community associate staff shall be made to the senior executive director for initial evaluation. The senior executive director shall consult with the chairperson of the appropriate academic department and when appropriate may refer each application for completion of the appointment procedure in accordance with pertinent requirements of paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. The approval of the academic department chairperson or section chief shall not be required.~~

(2) ~~Prerogatives:~~

~~The community associate staff members may:~~

- (a) ~~Provide consulting services to James patients.~~
- (b) ~~Admit patients when the primary diagnosis is cancer or cancer-related.~~
- (c) ~~Be free to exercise such clinical privileges as are granted.~~
- (d) ~~Attend all meetings of the medical staff as non-voting members and attend any and all medical staff or hospital education~~

~~programs. The community associate staff member may not hold elected office in the medical staff organization except to serve as a non-voting, ex-officio member of medical staff committees if appointed pursuant to these rules.~~

~~(3) Responsibilities:~~

~~The community associate attending staff members shall:~~

- ~~(a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.~~
- ~~(b) Retain responsibility within their care area of professional competence for the continuous care and supervision of each patient for whom the member is providing care, or arrange a suitable alternative for such care and supervision.~~
- ~~(c) Actively participate in such quality evaluation and monitoring activities as required by the staff and discharge such staff functions as may be required from time to time.~~
- ~~(d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at staff meetings and meetings of those committees of which they are a member.~~
- ~~(e) Should actively encourage all of their patients to cooperate in, and whenever applicable, participate in an approved protocol. Failure to do so may constitute grounds for losing community associate attending staff privileges.~~

~~(G) Limited staff.~~

~~Limited staff are not considered members of the medical staff, do not have delineated clinical privileges, and do not have the right to vote in general medical staff elections. Except where expressly stated, limited staff are bound by the terms of these bylaws, rules and regulations of the medical staff and the limited staff agreement.~~

~~(1) Qualifications:~~

~~The limited staff shall consist of allopathic and osteopathic physicians, and dental surgeons who are accepted in good standing by a program director into a postdoctoral graduate medical education program approved by the institutional post graduate medical education committee.~~

~~(2) Responsibilities:~~

~~The limited staff shall:~~

- ~~(a) Participate fully in the teaching programs of the service in which they are appointed.~~
- ~~(b) Not admit patients but participate under supervision, in the care of all patients to whom they are assigned. They shall follow all rules and regulations of the service to which they are assigned, as well as the general rules of the CHRI pertaining to limited staff, including, but not limited to this requirement. Consultation shall be obtained with the attending, associate attending, clinical member of the medical staff responsible for the care of that patient before undertaking a procedure or treatment that carries a~~

~~significant material risk to the patient unless this consultation would cause a delay that would jeopardize the life or health of the patient.~~

- ~~(c) Serve as full members of the various committees to which they are assigned. They are not eligible to vote or hold elected office in the medical staff organization.~~
- ~~(d) Make regular satisfactory professional progress and satisfy the expectations of the program director of the graduate medical education program to which they are appointed.~~

~~(H) Associates to the medical staff.~~

~~(1) Qualifications:~~

~~Licensed allied health professionals are those professionals who possess a license, certificate or other legal credential required by Ohio law to provide direct patient care in a hospital setting, but who are not acting as licensed independent practitioners.~~

~~They are not members of the medical staff and have no authority to admit or co-admit patients to the hospital and are not eligible to hold office, to vote on medical staff affairs or to serve on standing committees of the medical staff unless specifically authorized by the medical staff administrative committee.~~

~~Clinical privileges as a licensed allied health professional shall only be granted to professionally competent individuals who meet the qualifications, standards and requirements of their respective licensure, certification or other legal authorization.~~

~~These individuals may be granted clinical privileges to provide specified patient care services as an adjunct to treatment rendered by physicians under the supervision or direction of a physician member of the medical staff.~~

~~(2) Application for privileges:~~

~~Only individuals who can document the following shall be granted clinical privileges in the CHRI:~~

- ~~(a) Current license, certification or other legal credential required by Ohio law.~~
- ~~(b) Current certificate of authority, standard care agreement or utilization plan, if required by state law.~~
- ~~(c) Education, training, professional experience and competence.~~
- ~~(d) Adherence to the ethics of the profession for which an individual holds a license, certification or other legal credential required by Ohio law.~~
- ~~(e) Immunization requirements.~~
- ~~(f) Peer recommendations establishing a good personal and professional reputation.~~
- ~~(g) Satisfactory physical and mental health to perform requested privileges.~~

- (h) ~~Ability to work with members of the medical staff and hospital employees.~~

~~This document must be presented with sufficient adequacy to assure the medical staff and the hospital that any patient cared for by the person seeking privileges as a licensed allied health professional will be given quality care and that the efficient operation of the hospital will not be disrupted by such person's care of patients in the hospital. The physician will be responsible for all patient care activities performed on his or her behalf.~~

(3) ~~Conditions of privileges granted:~~

~~An individual who is granted clinical privileges as a licensed allied health professional agrees to the following terms and conditions:~~

- (a) ~~The individual has read the bylaws and rules and regulations of the medical staff and agrees to abide by all applicable terms of such bylaws and rules and regulations, including any subsequent amendments thereto and any applicable hospital policies the CHRI may from time to time put into effect.~~
- (b) ~~All licensed allied health professionals granted privileges must be assigned to a clinical service appropriate to their professional training and have a supervising/coordinating physician who has agreed to direct or supervise care provided.~~

(4) ~~Due process:~~

~~Licensed allied health professionals are subject to corrective action for violation of these rules, their certificate of authority, standard care agreement, utilization plan or the provisions of their licensure, including professional ethics. Corrective action may be requested by any member of the medical staff, the chairperson of an academic department, the section chief or the director of medical affairs. All requests shall be in writing and be submitted to the director of medical affairs.~~

~~The director of medical affairs shall appoint a three-person committee to review the situation and recommend appropriate corrective action, including termination or suspension of clinical privileges. The committee shall consist of at least one licensed allied health professional licensed in the same field as the individual being reviewed, if available, and one medical staff member. The committee shall make a written recommendation to the director of medical affairs, who may accept, reject or modify the recommendation. The decision of the director of medical affairs shall be final.~~

(4) ~~Clinical privileges.~~

(1) ~~Delineation of clinical privileges:~~

- (a) ~~The process of delineating privileges shall be the responsibility of the director of medical affairs with the approval of the senior executive director, and shall be on file with the senior executive director. A medical staff member may contest the specific privileges granted under rule 3335-111-04 of the Administrative Code.~~
- (b) ~~Each clinical department and CHRI section will develop clinical criteria and standards for the evaluation of privileges with emphasis on invasive or therapeutic procedures or treatment~~

which represent significant risk to the patient or for which specific professional training or experience is required. The evaluation of every initial application for clinical privileges shall be based upon the applicant's education, training, experience, demonstrated competence and adherence to quality assurance standards, references and other relevant information, including an appraisal by the section chief in which such privileges are sought. The applicant shall have the burden of establishing qualifications and competence in the clinical privileges requested and the recommendation for increase or reduction of same shall be the responsibility of the credentials committee.

- (c) ~~A leave of absence from clinical privileges for voluntary or involuntary medical reasons, for greater than thirty days, must be requested by each medical staff member in writing and be referred to the director of medical affairs office, whenever the medical reason for the leave relates to the medical staff member's possession of the necessary health status to exercise the clinical privileges granted to him or her, or to fulfill the essential functions of his or her appointment to the medical staff, with or without reasonable accommodation. The director of medical affairs may notify the committee for physician health. This section does not mean that members of the medical staff may not have a duty to self report absences of less than thirty days to the section chief when the absence is based upon the ability to exercise and fulfill essential functions of his or her clinical privileges or medical staff appointment.~~

~~After a leave for medical reasons of greater than thirty days, a written request for reinstatement must be reviewed and approved by the director of medical affairs in consultation with the committee for physician health, if necessary. Upon return from a leave of absence for medical reasons, the medical staff member must demonstrate his/her ability to exercise his/her clinical privileges upon return to clinical activity. The credentials committee, the director of medical affairs, the section chief or the medical staff administrative committee shall have the authority to require any documentation, including advice and consultation from the committee on physician health that might have a bearing on the medical staff member's ability to carry out the clinical and educational responsibilities for which the medical staff member is seeking privileges. The committee for physician health will have the responsibility to consider and recommend reasonable accommodations that will enable the medical staff member to practice in a safe and acceptable manner. Any accommodation must be arranged and implemented prior to the exercise of clinical privileges.~~

(2) ~~Temporary and special privileges:~~

- (a) ~~Temporary privileges —with the written request and approval of the chairperson of the academic department in which the privileges will be exercised and the section chief, the director of medical affairs may act on behalf of the senior executive director and the CHRI board and grant temporary privileges when an application for full appointment is pending and there are specific patient care needs that mandate an immediate authorization to practice. An appropriately licensed professional may be granted temporary privileges for an initial period of thirty days, with subsequent renewals approved by the director of medical affairs not to exceed the pendency of the application.~~

~~Such privileges shall be exercised in accordance with the conditions specified in rule 3335-111-04 of the Administrative Code.~~

- ~~(b) Special privileges -- upon receipt of a written request for specific temporary privileges and the approval of the chairperson of the academic department, the section chief and the director of medical affairs, an appropriately licensed practitioner of documented competence, who is not an applicant for medical staff membership, may be granted special privileges for the care of one or more specific patients. Such privileges shall be exercised in accordance with the conditions specified in rule 3335-111-04 of the Administrative Code.~~
  - ~~(c) A physician may employ or utilize the services of a non-licensed professional assistant in the administration of patient care if this assistant has a defined job description, has received credentials review and approval by the appropriate CHRI reviewing body, and is operating within existing CHRI standards, policies, and procedures. The physician will be responsible for all patient care activities performed on his or her behalf.~~
  - ~~(d) The temporary and special privileges must also be in conformity with the joint commission on accreditation of health care organizations' standards and the rules and regulations of professional boards of Ohio.~~
- (3) Emergency privileges.

~~Emergency privileges may be granted by the director of medical affairs or designee to fully licensed, qualified individuals who, at the time of the emergency, are not members of the medical staff in order to provide voluntary services during a local, state or national emergency in accordance with CHRI and medical staff policies.~~

(4) Telemedicine.

~~A member of the medical staff who wishes to utilize electronic technologies (telemedicine) to render care must so indicate on the application for clinical privileges form. (B/T 9/1/93, B/T 3/3/95, B/T 4/3/96, B/T 12/6/96, B/T 9/1/99, B/T 12/3/99, B/T 6/2/2000, B/T 4/5/2002, B/T 9/6/2002, B/T 2/6/2004, B/T 11/4/2005)~~

## NEW RULE

### **3335-111-07 Categories of the medical staff.**

The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical, community oncologist attending, community associate, and limited designations. All medical staff members with admitting privileges may admit patients in accordance with state law and criteria for standards of care established by the medical staff.

#### (A) Honorary staff.

The honorary staff will be composed of those individuals who are recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature in an oncology field of interest. The honorary staff designation is awarded by the CHRI board on the recommendation of the senior executive director of the CHRI, senior vice

president for health sciences, section chief, or the credentials committee after approval by the medical staff administrative committee. This is a lifetime appointment. Honorary staff are not entitled to patient care privileges.

**(B) Attending medical staff.**

**(1) Qualifications:**

The attending staff shall consist of those regular faculty members of the colleges of medicine and dentistry who are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:

Training.  
Current board certification.  
Publications.  
Grant funding.

Other funding and experience (as deemed appropriate by the senior executive director and the section chief);

and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code, and whose clinical and teaching responsibilities are assigned by their respective academic and/or clinical chairpersons to one hundred per cent time in the CHRI and who satisfy the requirements and qualifications set forth in rule 3335-111-04 of the Administrative Code.

**(2) Prerogatives:**

Attending staff members may:

- (a) Admit patients consistent with the balanced teaching and patient care responsibilities of the CHRI. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the senior executive director and chairperson of the appropriate academic department and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the attending staff member to a hearing or appeal pursuant to rule 3335-111-06 of the Administrative Code.**
- (b) Be free to exercise such clinical privileges as are granted pursuant to these bylaws.**
- (c) Vote on all matters presented at general and special meetings of the medical staff and committees of which he or she is a member unless otherwise provided by resolution of the medical staff, clinical department or committee and approved by the medical staff administrative committee.**
- (d) Hold office in the medical staff organization, clinical departments and committees of which they are a member, unless otherwise provided by resolution of the medical staff, clinical department or committee and approved by the medical staff administrative committee.**



(3) Responsibilities:

An attending staff member shall:

- (a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.
- (b) Retain responsibility within the member's area of professional competence for the continuous care and supervision of each patient in the CHRI for whom he or she is providing care, or arrange a suitable alternative for such care and supervision.
- (c) Actively participate in such quality evaluation and monitoring activities as required by the medical staff, and discharge such staff functions as may be required from time to time.
- (d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at medical staff meetings and meetings of those committees of which they are a member.
- (e) Supervise members of the limited staff in the provision of patient care in accordance with accreditation standards and policies and procedures of approved clinical training programs. It is the responsibility of the attending physician to authorize each member of the limited staff to perform only those services that the limited staff member is competent to perform under supervision.
- (f) Supervise other licensed allied health professionals as necessary in accordance with accreditation standards and state law. It is the responsibility of the attending physician to authorize each licensed allied health professional to perform only those services which the licensed allied health professional is privileged to perform.

(C) Associate attending staff.

(1) Qualifications:

The associate attending staff shall consist of those regular faculty members of the colleges of medicine and dentistry who do not qualify for attending staff appointment.

(2) Prerogatives:

The associate attending staff may:

- (a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the director and chairperson of the appropriate academic department and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the associate attending staff member to a hearing or appeal pursuant to rule 3335-111-06 of the Administrative Code.
- (b) Be free to exercise such clinical privileges as are granted pursuant to the bylaws.

(c) Vote on all matters presented at general and special meetings of the medical staff and committees of which he or she is a member unless otherwise provided by resolution of the staff, clinical department or committee and approved by the medical staff administrative committee.

(d) The associate attending staff member may not vote on amendments to the bylaws.

(3) Responsibilities:

Associate attending staff members shall:

(a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.

(b) Retain responsibility within the member's care area of professional competence for the continuous care and supervision of each patient in the CHRI for whom the member is providing care, or arrange a suitable alternative for such care and supervision including the supervision of interns, residents and fellows assigned to their service.

(c) Actively participate in such quality evaluation and monitoring activities as required by the staff and discharge such staff functions as may be required from time to time.

(d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at medical staff meetings and meetings of those committees of which they are a member.

(D) Clinical attending staff.

(1) Qualifications:

The clinical attending staff shall consist of those clinical faculty members of the colleges of medicine and dentistry who have training, expertise, and experience in oncology, as determined by the senior executive director in consultation with the section chief and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code.

(2) Prerogatives:

The clinical attending staff may:

(a) Admit patients which complement the research and clinical teaching program. At times when hospital beds or other resources are in short supply, patient admissions of clinical staff shall be subordinate to those of attending or associate attending staff.

(b) Be free to exercise such clinical privileges as are granted pursuant to these bylaws.

(c) Attend meetings as non-voting members of the medical staff and any medical staff or hospital education programs. The clinical attending staff may not hold elected office in the medical staff organization.

(3) Responsibilities:

- (a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.
- (b) Retain responsibility within the member's area of professional competence for the continuous care and supervision of each patient in the CHRI for whom the member is providing care, or arrange a suitable alternative for such care and supervision including the supervision of interns, residents and fellows assigned to their service.
- (c) Actively participate in such quality evaluation and monitoring activities as required by the staff and discharge such staff functions as may be required from time to time.
- (d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at medical staff meetings and meetings of those committees of which they are a member.
- (e) Supervise members of the limited staff in the provision of patient care in accordance with accreditation standards and policies and procedures of approved clinical training programs. It is the responsibility of the attending physician to authorize each member of the limited staff to perform only those services which the limited staff member is competent to perform under supervision.
- (f) Supervise other licensed allied health professionals as necessary in accordance with accreditation standards and state law. It is the responsibility of the attending physician to authorize each licensed allied health professional to perform only those services which the licensed allied health professional is privileged to perform.

(E) Community oncologist attending staff.

(1) Qualifications:

- (a) The community oncologist attending (COA) staff shall consist of medical staff members who do not have faculty appointments in any of the academic units of the Ohio state university, are licensed in the state of Ohio with a proven career commitment to oncology as demonstrated by:

Training.

Current board certification.

Practice profile.

Membership - professional oncology societies.

Publications.

Grant funding.

Other funding and experience (as approved by the CHRI senior executive director);

and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code.

- (b) Individual COA staff utilization expectations shall be determined by the CHRI senior executive director with the advice of the CHRI director of medical affairs and shall be intended to provide

optimal access for patients to the CHRI that does not interfere with the service, research, or educational activities and priorities of the university faculty who constitute the CHRI attending, associate attending, or clinical attending staff. The CHRI senior executive director and the staff member applying for COA privileges will mutually identify the applicant's CHRI clinical and/or non-clinical involvement, including the categories of diagnosis, extent of anticipated patient activity, and the service areas to be utilized each year. A statement of this participation will be made a part of the application for appointment.

- (c) All applications for appointment and reappointment as a member of the COA staff shall be made to the CHRI senior executive director for initial evaluation. The CHRI senior executive director may, when appropriate, refer each application for completion of the appointment procedure in accordance with paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. However, the approval of an academic department chairperson and section chief shall not be required for the appointment or reappointment relative to any application for COA staff privileges. The staff member's ability to cooperate and support the goals identified by the CHRI senior executive director will be considered in the decision for appointment and reappointment. Adequate levels of clinical activity may be required to permit proper evaluation under CHRI quality assurance and utilization guidelines.

(2) Prerogatives:

Community oncologist attending staff members may:

- (a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the senior executive director and chairperson of the appropriate academic department and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the COA staff member to the procedures of paragraph (F) of rule 3335-111-04 and rule 3335-111-05 of the Administrative Code.
- (b) Exercise such clinical privileges as are granted pursuant to these bylaws.
- (c) Utilize all ambulatory and inpatient facilities of the CHRI consistent with their granted privileges. COA staff will be subject to the same credentials review as all other CHRI staff.
- (d) Attend meetings of the medical staff as a non-voting member and attend any medical staff or hospital education program. The COA staff member may not hold elected office in the medical staff organization. However, such member may serve as non-voting ex-officio member of medical staff committees if appointed pursuant to these rules.

(3) Responsibilities:

A community oncology attending staff member shall:

- (a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.
- (b) Retain responsibility within his or her area of professional competence for the continuous care and supervision of each patient in the CHRI for whom he or she is providing care, or arrange a suitable alternative for such care and supervision.
- (c) Actively participate in such quality evaluation and monitoring activities as required by the medical staff, and discharge such staff functions as may be required from time to time including, but not limited to, adherence to all applicable quality assurance and monitoring activities in the division related to the community oncology attending's practice including attendance at peer review, mortality and morbidity conferences.
- (d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at CHRI meetings.
- (e) Become a member and active participant of at least one CCC disease specific committee.
- (f) Be subject to payment of medical staff dues or assessments as approved by the CHRI medical staff.

(F) Community associate attending staff.

(1) Qualifications:

The community associate attending staff shall consist of those applicants who do not have faculty appointments in any of the academic units of the Ohio state university and who are licensed in the state of Ohio and who satisfy the requirements and qualifications for membership set forth in rule 3335-111-04 of the Administrative Code. All applications for appointment and reappointment to the community associate attending staff shall be made to the senior executive director for initial evaluation. The senior executive director shall consult with the chairperson of the appropriate academic department and when appropriate may refer each application for completion of the appointment procedure in accordance with pertinent requirements of paragraph (E) or (F) of rule 3335-111-04 of the Administrative Code. The approval of the academic department chairperson or section chief shall not be required.

(2) Prerogatives:

The community associate attending staff members may:

- (a) Provide consulting services to James patients.
- (b) Admit patients when the primary diagnosis is cancer or cancer-related.
- (c) Be free to exercise such clinical privileges as are granted pursuant to these bylaws.
- (d) Attend all meetings of the medical staff as non-voting members and attend any and all medical staff or hospital education programs. The community associate attending staff member may not hold elected office in the medical staff organization except to serve as a non-voting, ex-officio member of medical staff committees if appointed pursuant to these rules.

(3) Responsibilities:

The community associate attending staff members shall:

- (a) Meet the basic responsibilities set forth in rules 3335-111-02 and 3335-111-03 of the Administrative Code.
- (b) Retain responsibility within their care area of professional competence for the continuous care and supervision of each patient for whom the member is providing care, or arrange a suitable alternative for such care and supervision.
- (c) Actively participate in such quality evaluation and monitoring activities as required by the staff and discharge such staff functions as may be required from time to time.
- (d) Satisfy the requirements set forth in rule 3335-111-13 of the Administrative Code for attendance at staff meetings and meetings of those committees of which they are a member.

(G) Limited staff.

Limited staff are not considered members of the medical staff, do not have delineated clinical privileges, and do not have the right to vote in general medical staff elections. Except where expressly stated, limited staff are bound by the terms of these bylaws, rules and regulations of the medical staff and the limited staff agreement.

(1) Qualifications:

The limited staff shall consist of allopathic and osteopathic physicians, dentists and practitioners of podiatry or psychology who are accepted in good standing by a program director into a postdoctoral graduate medical education program and appointed to the limited staff in accordance with these bylaws. The limited staff shall maintain compliance with the requirements of state law, including regulations adopted by the Ohio state medical board, or the limited staff member's respective licensing board.

Members of the limited staff shall possess a valid training certificate or an unrestricted Ohio license from the applicable state board based on eligibility criteria defined by that state board. All members of the limited staff shall be required to successfully obtain an Ohio training certificate prior to beginning training within a program.

(2) Responsibilities:

The limited staff shall:

- (a) Be responsible to respond to all questions and complete all forms as may be required by the credentials committee.
- (b) Participate fully in the teaching programs, conferences, and seminars of the clinical department in which he or she is appointed in accordance with accreditation standards and policies and procedures of the graduate medical education committee and approved clinical training programs.
- (c) Participate in the care of all patients assigned to the limited staff member under the appropriate supervision of a designated member of the attending medical staff in accordance with

accreditation standards and policies and procedures of the clinical training programs. The clinical activities of the limited staff shall be determined by the program director appropriate for the level of education and training. Limited staff shall be permitted to perform only those services that they are authorized to perform by the member of the attending medical staff based on the competence of the limited staff to perform such services. The limited staff may admit or discharge patients only when acting on behalf of the attending, associate attending, community oncologist attending, or community associate attending medical staff. The limited staff member shall follow all rules and regulations of the service to which he or she is assigned, as well as the general rules of the CHRI pertaining to limited staff.

- (d) Serve as full members of the various medical staff committees in accordance with established committee composition as described in these bylaws and/or rules and regulations of the medical staff. The limited staff member shall not be eligible to vote or hold elected office in the medical staff organization, but may vote on committees to which the limited staff member is assigned.
- (e) Be expected to make regular satisfactory professional progress including anticipated certification by the respective specialty or subspecialty program of post-doctoral training in which the limited staff member is enrolled. Evaluation of professional growth and appropriate humanistic qualities shall be made on a regular schedule by the clinical department chief, program director, teaching faculty or evaluation committee in accordance with accreditation standards and policies and procedures of the approved training programs.
- (f) Appeal by a member of the limited staff of probation, lack of reappointment, suspension or termination for failure to meet expectations for professional growth or failure to display appropriate humanistic qualities or failure to successfully complete any other competency as required by the accreditation standards of an approved training program will be conducted and limited in accordance with written guidelines established by the respective department or training program and approved by the medical director and the Ohio state university health system's graduate medical education committee as delineated in the limited staff agreement. Alleged misconduct by a member of the limited staff, for reasons other than failure to meet expectations of professional growth as outlined above, shall be handled in accordance with rules 3335-111-05 and 3335-111-06 of the Administrative Code.

(3) Failure to meet reasonable expectations:

Failure to meet reasonable expectations may result in sanctions including but not limited to probation, lack of reappointment, suspension or termination. Termination of limited staff member status shall result in automatic termination of the limited staff member's residency or fellowship appointment pursuant to these bylaws.

(4) Temporary appointments:

- (a) Limited staff members who are Ohio state university faculty may be granted an early commencement or an extension of appointment upon the recommendation of the chief of the clinical department, with prior concurrence of the medical director of the

health system, when it is necessary for the limited staff member to begin his or her training program prior to or extend his or her training program beyond a regular appointment period. The appointment shall not exceed sixty days.

- (b) Temporary appointments may be granted upon the recommendation of the chief of the clinical department, with prior concurrence of the medical director of the health system, for limited staff members who are not Ohio state university faculty but who, pursuant to education affiliate agreements approved by the university, need to satisfy approved graduate medical education clinical rotation requirements. These appointments shall not exceed a total of one hundred twenty days in any given post-graduate year. In such cases, the mandatory requirement for a faculty appointment may be waived. All other requirements for limited staff member appointment must be satisfied.

(5) Supervision:

Limited staff members shall be under the supervision of an attending, associate attending, community oncologist attending or community associate attending medical staff member. Limited staff members shall have no privileges as such but shall be able to care for patients under the supervision and responsibility of their attending, associate attending, community oncologist attending or community associate attending medical staff member. The care they extend will be governed by these bylaws and the general rules and regulations of each clinical department. The practice of care shall be limited by the scope of privileges of their attending, associate attending, community oncologist attending or community associate attending medical staff member. Any concerns or problems that arise in the limited staff member's performance should be directed to the attending, associate attending, community oncologist attending or community associate attending medical staff member or the director of the training program.

- (a) Limited staff members may write orders for the care of patients under the supervision of the attending, associate attending, community oncologist attending or community associate attending medical staff member.
- (b) All records of limited staff member cases must document involvement of the attending, associate attending, community oncologist attending or community associate attending medical staff member in the supervision of the patient's care to include co-signature of the history and physical, operative report, and discharge summary.

(H) Associates to the medical staff.

(1) Qualifications:

Licensed health care professionals are those professionals who possess a license, certificate or other legal credential required by Ohio law to provide direct patient care in a hospital setting, but who are not acting as licensed independent practitioners.

(2) Due process:

Licensed health care professionals are subject to corrective action for violation of these rules, their certificate of authority, standard care agreement, utilization plan or the provisions of their licensure, including



professional ethics. Corrective action may be requested by any member of the medical staff, the chairperson of an academic department, the section chief or the director of medical affairs. All requests shall be in writing and be submitted to the director of medical affairs.

The director of medical affairs shall appoint a three-person committee to review the situation and recommend appropriate corrective action, including termination or suspension of clinical privileges. The committee shall consist of at least one licensed health care professional licensed in the same field as the individual being reviewed, if available, and one medical staff member. The committee shall make a written recommendation to the director of medical affairs, who may accept, reject or modify the recommendation. The decision of the director of medical affairs shall be final.

(I) Clinical privileges.

(1) Delineation of clinical privileges:

- (a) Every person practicing at the CHRI by virtue of medical staff membership, faculty appointment, contract or under authority granted in these bylaws shall, in connection with such practice, be entitled to exercise only those clinical privileges specifically applied for and granted to the staff member or other licensed allied health professional by the CHRI board after recommendation from the medical staff administrative committee.
- (b) Each clinical department and CHRI section shall develop specific clinical criteria and standards for the evaluation of privileges with emphasis on invasive or therapeutic procedures or treatment which represent significant risk to the patient or for which specific professional training or experience is required.
- (c) Requests for the exercise and delineation of clinical privileges must be made as part of each application for appointment or reappointment to the medical staff on the forms prescribed by the medical staff administrative committee. Every person in an administrative position who desires clinical privileges shall be subject to the same procedure as all other applicants. Requests for clinical privileges must be submitted to the chief of the clinical department in which the clinical privileges will be exercised. Clinical privileges requested other than during appointment or reappointment to the medical staff shall be submitted to the chief of the clinical department and such request must include documentation of relevant training or experience supportive of the request.
- (d) The chief of the clinical department shall review each applicant's request for clinical privileges and shall make a recommendation regarding clinical privileges to the director of medical affairs. Requests for clinical privileges shall be evaluated based upon the applicant's education, training, experience, demonstrated competence, references, and other relevant information including the direct observation and review of records of the applicant's performance by the clinical department in which the clinical privileges are exercised. Whenever possible, the review should be of primary source information. The applicant shall have the burden of establishing qualifications and competence in the clinical privileges requested and shall have the burden of production of adequate information for the proper evaluation of qualifications.

- (e) The applicant's request for clinical privileges and the recommendation of the clinical department chief shall be forwarded to the credentials committee and shall be processed in the same manner as applications for appointment and reappointment pursuant to rule 3335-111-04 of the Administrative Code.
  - (f) A leave of absence from clinical privileges for voluntary or involuntary medical reasons, for greater than thirty days, must be requested by each medical staff member in writing and be referred to the director of medical affairs office, whenever the medical reason for the leave relates to the medical staff member's possession of the necessary health status to exercise the clinical privileges granted to him or her, or to fulfill the essential functions of his or her appointment to the medical staff, with or without reasonable accommodation. The director of medical affairs may notify the committee for licensed independent practitioner health. This section does not mean that members of the medical staff may not have a duty to self report absences of less than thirty days to the section chief when the absence is based upon the ability to exercise and fulfill essential functions of his or her clinical privileges or medical staff appointment.
  - (g) After a leave for medical reasons of greater than thirty days, a written request for reinstatement must be reviewed and approved by the director of medical affairs in consultation with the committee for licensed independent practitioner health, if necessary. Upon return from a leave of absence for medical reasons, the medical staff member must demonstrate his/her ability to exercise his/her clinical privileges upon return to clinical activity. The credentials committee, the director of medical affairs, the section chief or the medical staff administrative committee shall have the authority to require any documentation, including advice and consultation from the committee on physician health that might have a bearing on the medical staff member's ability to carry out the clinical and educational responsibilities for which the medical staff member is seeking privileges. The committee for licensed independent practitioner health will have the responsibility to consider and recommend reasonable accommodations that will enable the medical staff member to practice in a safe and acceptable manner. Any accommodation must be arranged and implemented prior to the exercise of clinical privileges.
- (2) Temporary and special privileges:
- (a) Temporary privileges may be extended to a doctor of medicine, osteopathic medicine, dental surgery, psychologist, podiatry or to a licensed allied health professional upon completion of an application prescribed by the medical staff administrative committee, upon recommendation of the chief of the clinical department, and approval by the director of medical affairs. The director of medical affairs, acting as a member and on behalf of the CHRI board, has been delegated responsibility by the CHRI board to grant approval of temporary privileges. The temporary privileges granted shall be consistent with the applicant's training and experience and with clinical department guidelines. Prior to granting temporary privileges, primary source verification of licensure and current competence shall be required. Temporary

privileges shall be limited to situations which fulfill an important patient care need and shall not be granted for a period of more than ninety days.

- (b) Temporary privileges may be extended to visiting medical faculty or for special activity as provided by the Ohio state medical or dental boards.
- (c) Special privileges -- upon receipt of a written request for specific temporary privileges and the approval of the chairperson of the academic department and the director of medical affairs, an appropriately licensed practitioner of documented competence, who is not an applicant for medical staff membership, may be granted special privileges for the care of one or more specific patients. Such privileges shall be exercised in accordance with the conditions specified in rule 3335-111-04 of the Administrative Code.
- (d) A physician may employ or utilize the services of a non-licensed professional assistant in the administration of patient care if this assistant has a defined job description, has received credentials review and approval by the appropriate CHRI reviewing body, and is operating within existing CHRI standards, policies, and procedures. The physician will be responsible for all patient care activities performed on his or her behalf.
- (e) The temporary and special privileges must also be in conformity with the joint commission on accreditation of health care organizations' standards and the rules and regulations of professional boards of Ohio.

(3) Expedited privileges:

If the CHRI board is not scheduled to convene in a timeframe that permits the timely consideration of the recommendation of a complete application by the medical staff administrative committee, applicants may be granted expedited privileges by a subcommittee of the board consisting of at least two members of the CHRI board, pursuant to hospital/medical staff policy and the CHRI hospital board resolution.

(4) Podiatric privileges:

- (a) Practitioners of podiatry may admit patients to the CHRI if such patients are being admitted solely to receive care that a podiatrist may provide without medical assistance, pursuant to the scope of the professional license of the podiatrist. Practitioners of podiatry must, in all other circumstances co-admit patients with a member of the medical staff who is a doctor of medicine or osteopathic medicine. A member of the medical staff who is a doctor of medicine or osteopathy shall:

  - (i) Be responsible for any medical problems that the patient has while an inpatient of the CHRI; and
  - (ii) Shall confirm the findings, conclusions and assessment of risk prior to high-risk diagnosis or therapeutic interventions defined by the medical staff.
- (b) Practitioners of podiatry shall be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record.

(c) The podiatrist shall be responsible to the chief of the department of orthopaedics.

(5) Psychology privileges:

(a) Psychologists shall be granted clinical privileges based upon their training, experience and demonstrated competence and judgment consistent with their license to practice. Psychologists shall not prescribe drugs, or perform surgical procedures, or in any other way practice outside the area of their approved clinical privileges or expertise unless otherwise authorized by law.

(b) Psychologists may not admit patients to the CHRI, but may diagnose and treat a patient's psychological illness as part of the patient's comprehensive care while hospitalized. All patients admitted for psychological care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine shall admit the patient and shall be responsible for the history and physical and any medical care that may be required during the hospitalization, and shall determine the appropriateness of any psychological therapy based on the total health status of the patient. Psychologists may provide consultation within their area of expertise on the care of patients within the CHRI. In ambulatory settings, psychologists shall diagnose and treat their patient's psychological illness. Psychologists shall ensure that their patients receive referral for appropriate medical care.

(c) Psychologists shall be responsible to the chief of the clinical department in which they are appointed.

(6) Dental privileges:

(a) Practitioners of dentistry, who have not been granted clinical privileges as oral and maxillofacial surgeons, may admit patients to the CHRI if such patients are being admitted solely to receive care which a dentist may provide without medical assistance, pursuant to the scope of the professional license of the dentist. Practitioners of dentistry must, in all other circumstances, co-admit patients with a member of the medical staff who is a doctor of medicine or osteopathic medicine.

(b) A member of the medical staff who is a doctor of medicine or osteopathy:

(i) Shall be responsible for any medical problems that the patient has while an inpatient of the CHRI; and

(ii) Shall confirm the findings, conclusions and assessment of risk prior to high-risk diagnoses or therapeutic interventions defined by the medical staff.

(c) Practitioners of dentistry shall be responsible for the dental care of the patient including the dental history and physical examination and all appropriate elements of the patient's record.

(7) Oral and maxillofacial surgical privileges:

All patients admitted to the CHRI for oral and maxillofacial surgical care shall receive the same medical appraisal as all other hospitalized patients. Qualified oral and maxillofacial surgeons shall admit patients,

shall be responsible for the plan of care for the patients, shall perform the medical history and physical examination, if they have such privileges, in order to assess the medical, surgical, and anesthetic risks of the proposed operative and other procedure(s), and shall be responsible for the medical care that may be required at the time of admission or that may arise during hospitalization.

(8) Licensed allied health professionals:

- (a) Clinical privileges may be exercised by licensed allied health professionals who are duly licensed in the state of Ohio and who are either:
  - (i) Members of the faculty of the Ohio state university, or
  - (ii) Employees of the Ohio state university whose employment involves the exercise of clinical privileges, or
  - (iii) Employees of members of the medical staff.
- (b) A licensed allied health professional as used herein, shall not be eligible for medical staff membership but shall be eligible to exercise those clinical privileges granted pursuant to these bylaws and in accordance with applicable Ohio state law. If granted such privileges under this rule and in accordance with applicable Ohio state law, other licensed allied health professionals may perform all or part of the medical history and physical examination of the patient.
- (c) Licensed allied health professionals shall apply and re-apply for clinical privileges on forms prescribed by the medical staff administrative committee and shall be processed in the same manner as provided in rule 3335-111-04 of the Administrative Code.
- (d) Licensed allied health professionals are not members of the medical staff, shall have no authority to admit or co-admit patients to the CHRI, and shall not be eligible to hold office, to vote on medical staff affairs, or to serve on standing committees of the medical staff unless specifically authorized by the medical staff administrative committee.
- (e) Each licensed allied health professional shall be individually assigned to a clinical department and to one or more members of the medical staff.
- (f) Licensed allied health professionals must comply with all limitations and restrictions imposed by their respective licenses, certifications, or legal credentials as required by Ohio law, and may only exercise those clinical privileges granted in accordance with provisions relating to their respective professions.
- (g) Only applicants who can document the following shall be qualified for clinical privileges as a licensed allied health professional:
  - (i) Current license, certification, or other legal credential required by Ohio law;
  - (ii) Certificate of authority, standard care agreement, or utilization plan;

- (iii) Education, training, professional background and experience, and professional competence;
  - (iv) Patient care quality indicators definition for initial appointment. This data will be in a format determined by the licensed allied health professional subcommittee and the quality management department of the Ohio state university medical center;
  - (v) Adherence to the ethics of the profession for which an individual holds a license, certification, or other legal credential required by Ohio law;
  - (vi) Evidence of required immunization;
  - (vii) Evidence of good personal and professional reputation as established by peer recommendations;
  - (viii) Satisfactory physical and mental health to perform requested clinical privileges; and
  - (ix) Ability to work with members of the medical staff and the CHRI employees.
- (h) The applicant shall have the burden to produce documentation with sufficient adequacy to assure the medical staff and the CHRI that any patient cared for by the licensed allied health professional seeking clinical privileges shall be given quality care, and that the efficient operation of the CHRI will not be disrupted by the applicant's care of patients in the CHRI.
- (i) By applying for clinical privileges as a licensed allied health professional, the applicant agrees to the following terms and conditions:
- (i) The applicant has read the bylaws and rules and regulations of the medical staff of the CHRI and agrees to abide by all applicable terms of such bylaws and any applicable rules and regulations, including any subsequent amendments thereto, and any applicable CHRI policies that the CHRI may from time to time put into effect;
  - (ii) The applicant releases from liability all individuals and organizations who provide information to the CHRI regarding the applicant and all members of the medical staff, the CHRI staff and the CHRI board and the Ohio state university board of trustees for all acts in connection with investigating and evaluating the applicant;
  - (iii) The applicant shall not deceive a patient as to the identity of any practitioner providing treatment or service in the CHRI;
  - (iv) The applicant shall not make any statement or take any action that might cause a patient to believe that the licensed allied health professional is a member of the medical staff; and
  - (v) The applicant shall obtain and continue to maintain professional liability insurance in such amounts required by the medical staff.

- (i) A medical staff member may employ or utilize the services on a non-licensed allied health professional, professional assistant in the administration of patient care if this assistant has a defined job description, has received credentials review and approval by the appropriate hospital reviewing body and is operating within existing standards, policies and procedures of the CHRI. The medical staff member shall be responsible for all patient care activities performed on the medical staff member's behalf.

(9) Emergency privileges:

In the case of an emergency, any member of the medical staff to the degree permitted by the member's license and regardless of department or medical staff status shall be permitted to do everything possible to save the life of a patient using every facility of the CHRI necessary, including the calling for any consultation necessary or desirable. After the emergency situation resolves, the patient shall be assigned to an appropriate member of the medical staff. For the purposes of this paragraph, an "emergency" is defined as a condition that would result in serious permanent harm to a patient or in which the life of a patient is in immediate danger and any delay in administering treatment would add to that danger.

(10) Disaster privileges:

Disaster privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the disaster are not members of the medical staff in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy. The director of medical affairs may seek the recommendation of the chief of the clinical department or the section chief. These privileges will be limited in scope and will terminate once the disaster situation subsides or at the discretion of the director of medical affairs.

(11) Telemedicine:

Telemedicine involves the use of electronic communication or other communication technologies to provide or support clinical care at a distance. Diagnosis and treatment of a patient may now be performed via telemedicine link.

- (a) A member of the medical staff who wishes to utilize electronic technologies (telemedicine) to render care must so indicate on the application for clinical privileges form.
- (b) Generally, a member of the medical staff will be entitled to the same clinical privileges via telemedicine for which he has been granted privileges. The credentials committee, the chief of the clinical service, the director of medical affairs or the medical staff administrative committee shall have the prerogative of requiring documentation or making a determination of the appropriateness of the exercise of a particular specialty/subspecialty via telemedicine.

## TO BE RESCINDED

### ~~3335-111-08 Organization of the CHRI.~~

#### ~~(A) The senior executive director.~~

##### ~~(1) Method of appointment:~~

~~The senior executive director shall be appointed by the board of trustees of the Ohio state university upon recommendation of the president, senior vice president for health sciences, and the vice president for health services following consultation with the CHRI board in accordance with university bylaws, rules and regulations. The senior executive director shall also be the chief executive and operating officer of the CHRI and shall be a member of the attending medical staff of the CHRI.~~

##### ~~(2) Responsibilities:~~

~~The senior executive director shall be responsible for the conduct of teaching, research, and CHRI service activities of the facility, including continuing compliance with all appropriate quality assurance standards, ethical codes, or other monitoring or regulatory requirements. The senior executive director shall be the chairperson of the medical staff administrative committee and shall be a member of all committees of the CHRI.~~

#### ~~(B) The director of medical affairs.~~

##### ~~(1) Method of appointment:~~

~~The director of medical affairs shall be appointed by the senior vice president for health sciences upon recommendation by the senior executive director. The director of medical affairs shall be the chief medical officer of the CHRI and shall be a member of the attending medical staff of the CHRI.~~

##### ~~(2) Responsibilities:~~

~~The director of medical affairs shall be responsible to the senior executive director, the medical director of the Ohio state university health system, and the CHRI board for the quality of patient care provided in the CHRI. The director of medical affairs shall assist the senior executive director in the administration of medical affairs including quality assurance and credentialing.~~

#### ~~(C) The sections.~~

~~Each member of the attending, associate attending, clinical, limited, and honorary staff shall be assigned to a CHRI section by the senior executive director upon the recommendation of the appropriate academic department chairperson and the credentials committee.~~

~~There are four clinical sections: medical oncology, surgical oncology, radiation oncology and pathology. Appointment to a specific section is based on the clinical specialty of the applicant for medical staff membership. Each section is headed by a section chief who has the responsibility to oversee all research and clinical activities conducted by members of the section. Specifically, the section chief shall be responsible for the following: the development and implementation of policies and procedures that guide and support the provision of service; recommendations re: staffing needs and clinical privileges for all members~~



~~appointed to the section; the orientation and continuing surveillance of the professional performance of all section members; recommendation for space and other resources needed. The section chief is appointed by the senior executive director.~~

~~(D) Chairs of the departments.~~

~~Departmental chairpersons are appointed by the dean of the college of medicine and public health and participate in the processing of applications for appointment and reappointment to the medical staff pursuant to these rules, including the development of criteria for granting of clinical privileges. The academic department chairperson participates in the evaluation of the professional growth and appropriate humanistic qualities of members of the limited staff and reviews the clinical performance, including the physical and mental health of all medical staff members and other licensed health care professionals. (B/T 9/1/93, B/T 3/3/96, B/T 12/6/96, B/T 12/3/99, B/T 4/5/2002, B/T 9/6/2002, B/T 2/6/2004, B/T 11/4/2005)~~

NEW RULE

3335-111-08 Organization of the CHRI medical staff.

(A) The senior executive director.

(1) Method of appointment:

The senior executive director shall be appointed by the board of trustees of the Ohio state university upon recommendation of the president, senior vice president for health sciences, and the vice president for health services following consultation with the CHRI board in accordance with university bylaws, rules and regulations. The senior executive director shall also be the chief executive and operating officer of the CHRI and shall be a member of the attending medical staff of the CHRI.

(2) Responsibilities:

The senior executive director shall be responsible for the conduct of teaching, research, and CHRI service activities of the facility, including continuing compliance with all appropriate quality assurance standards, ethical codes, or other monitoring or regulatory requirements. The senior executive director shall be the chairperson of the medical staff administrative committee and shall be a member of all committees of the CHRI.

(B) The director of medical affairs.

(1) Method of appointment:

The director of medical affairs shall be appointed by the senior vice president for health sciences upon recommendation by the senior executive director. The director of medical affairs shall be the chief medical officer of the CHRI and shall be a member of the attending medical staff of the CHRI.

(2) Responsibilities:

The director of medical affairs shall be responsible to the senior executive director, the medical director of the Ohio state university health system, and the CHRI board for the quality of patient care

provided in the CHRI. The director of medical affairs shall assist the senior executive director in the administration of medical affairs including quality assurance and credentialing.

(C) The sections.

Each member of the attending, associate attending, clinical, limited, and honorary staff shall be assigned to a CHRI section by the senior executive director upon the recommendation of the appropriate academic department chairperson and the credentials committee.

There are four clinical sections: medical oncology, surgical oncology, radiation oncology and pathology. Appointment to a specific section is based on the clinical specialty of the applicant for medical staff membership. Each section is headed by a section chief who has the responsibility to oversee all research and clinical activities conducted by members of the section. Specifically, the section chief shall be responsible for the following: the development and implementation of policies and procedures that guide and support the provision of service; recommendations re: staffing needs and clinical privileges for all members appointed to the section; the orientation and continuing surveillance of the professional performance of all section members; recommendation for space and other resources needed. The section chief is appointed by the senior executive director.

(D) Clinical department chair.

(1) Qualifications and responsibilities of the chief of the clinical department. The academic department chair shall ordinarily serve also as the chief of the clinical department. Each clinical department chief shall be qualified by education and experience appropriate to the discharge of the responsibilities of the position. Each clinical department chief must be board certified by an appropriate specialty board or must establish comparable competence. Such qualifications shall be judged by the respective dean of the colleges of medicine or dentistry. Qualifications for chief of the clinical department generally shall include recognized clinical competence, sound judgment and well-developed administrative skills.

(2) Procedure for appointment. Appointment or reappointment of chief of the clinical department shall be made by the dean of the respective colleges of medicine or dentistry in consultation with elected representatives of the medical staff and the medical director of the Ohio state university health system.

(3) Term of appointment of the chief of the clinical department. The term of the appointment of the chief of the clinical department shall be concurrent with the chief's academic appointment but shall be no longer than four years. Prior to the end of said four-year term, a review shall be conducted by the dean of the college of medicine and such review shall serve as the basis for the recommendation for reappointment pursuant to paragraph (D)(2) of this rule.

(4) Duties of the chief of the clinical department:

Each department chief shall:

(a) Be accountable for all clinical professional and administrative activities within the clinical department.

(b) Maintain continuing review of the professional performance of all medical staff members and other licensed allied health

professionals with clinical privileges within the clinical department. Determine the qualifications and competence of all personnel who are not independent practitioners who provide patient care within the clinical department. Provide for the orientation and continuing education of all persons in the clinical department.

- (c) Conduct a periodic review, at least every four years, of the clinical performance of each clinical division director.
- (d) Make recommendations to the credentials committee regarding the criteria for membership on the medical staff and for the delineation of clinical privileges in the clinical department and continuously review at least biennially, the clinical privilege delineation form for adjustments to services.
- (e) Review the clinical performance including the physical and mental health of all medical staff members and other licensed allied health professionals of the clinical department in accordance with these bylaws and make recommendations for appointment and reappointment to the medical staff and for clinical privileges for each medical staff member of the clinical department.
- (f) Be responsible for the enforcement within the clinical department of these bylaws, rules and regulations of the CHRI.
- (g) Be responsible for the maintenance of quality control programs and assure that the quality and the appropriateness of patient care within the clinical department is continually monitored and evaluated according to the clinical department's and the CHRI's quality criteria.
- (h) Hold monthly clinical department meetings. The agenda for the meetings shall include, but not be limited to, a discussion of the clinical activities of the department. Minutes of the departmental meetings, including a record of attendance, shall be kept in the clinical department and the medical director of the Ohio state university health system's office.
  - (i) Develop and implement policies that guide and support the provision of department services and make recommendations on the proper number of qualified and competent persons needed to provide care within the service needs of the clinical department.
  - (j) Provide for the integration of the clinical department and its services into the primary functions of the CHRI and coordinate interdepartmental and intradepartmental services.

#### AMENDED RULES

3335-111-10 Administration of the medical staff of the CHRI.

Medical staff committees.

- (A) Unchanged.
- (B) Medical staff administrative committee:
  - (1) Unchanged.

(2) Duties:

(a) through (d) unchanged.

(e) To fulfill the medical staff's accountability to the CHRI board for medical care rendered to patients in the CHRI, and for professional conduct and activities of the medical staff, including recommendations concerning;

(i) through (iv) unchanged.

(v) Corrective action and hearing procedures applicable to medical staff members and other licensed allied health ~~care~~ professionals granted clinical privileges.

(f) through (m) unchanged.

(3) and (4) unchanged.

(C) ~~Credentials~~ Health system credentialing committee:

(1) Composition:

The credentialing responsibilities of the medical staff are delegated to the Ohio state university health system credentialing committee, the composition of which shall include representation from the medical staff of each health system hospital.

The chief medical officer of the health system shall appoint the health system credentialing committee. The chief of staff and director of medical affairs or medical director of each health system hospital shall make recommendation to the chief medical officer for representation on the health system credentialing committee.

The health system credentialing committee shall meet at the call of its chair, whom shall be appointed by the chief medical officer of the health system.

(2) Duties:

(a) To review all applications for medical staff and licensed allied health ~~care~~ professional appointment and reappointment, as well as all requests for delineation, renewal, or amendment of clinical privileges in the manner provided in these medical staff bylaws, including applicable time limits.

During its evaluation, the health system credentialing committee will take into consideration the appropriateness of the setting where the requested privileges are to be conducted;

(b) through (d) unchanged.

(e) To make recommendations to the medical staff administrative committee through the director of medical affairs regarding appointment applications and initial requests for clinical privileges. Such recommendations shall include the name, status, department (division/section), medical school and year of graduation, residency and fellowships, medical-related employment since graduation, board certification and recertification, licensure status as well as all other relevant information concerning the applicant's current competence, experience, qualifications, and ability to perform the clinical privileges requested;

(f) through (h) unchanged.

- (i) To review requests made for clinical privileges by other licensed allied health care professionals as set forth in this chapter.

(3) Licensed allied health professionals subcommittee:

(a) This subcommittee shall consist of licensed allied health professionals who have been appointed in accordance with paragraph (A)(3) of rule 3335-111-09 of the Administrative Code and shall also include the director of nursing who shall serve as chair of the subcommittee. The subcommittee shall include a certified nurse midwife, a certified registered nurse anesthetist, a certified nurse practitioner, a clinical nurse specialist, and other appropriate licensed allied health professionals.

(b) Duties:

- (i) To review, within thirty days of receipt, all completed applications as may be referred by the health system credentialing committee;
- (ii) To review and investigate the character, qualifications and professional competence of the applicant;
- (iii) To review the applicant's patient care quality indicator definitions on initial granting of clinical privileges and the performance based profile at the time of renewal;
- (iv) To verify the accuracy of the information contained in the application; and
- (v) To forward, following review of the application, a written recommendation for clinical privileges to the health system credentialing committee for review at its next regularly scheduled meeting.

(D) Bylaws committee:

(1) Composition.

The committee shall be composed of at least four members of the attending or associate attending staff pursuant to paragraph (A)(3) of rule 3335-111-09 of the Administrative Code. The chairperson shall always be the chief of staff-elect.

(2) Unchanged.

(E) Committee for ~~physician~~ licensed independent practitioner health.

(1) Composition:

The committee shall consist of seven medical staff members appointed pursuant to these rules in accordance with paragraph (A)(3) of rule 3335-111-09 of the Administrative Code.

(2) Duties:

- ~~(a) To report to the medical staff leadership instances of unsafe treatment.~~

- ~~(b)~~(a) To consider issues of physician licensed independent practitioner health or impairment ~~when~~ whenever a self-referral or referral is requested to do so by the physician an affected member or another member or committee of the medical staff, CHRI hospital staff, or any other individual.
- ~~(e)~~(b) To provide appropriate ~~internal and external~~ counsel, and referral ~~for~~, and monitoring until the rehabilitation or disciplinary process is complete and periodically thereafter, if required, to enable the medical staff member to obtain appropriate diagnosis and treatment, and to provide appropriate standards of care.
- ~~(d)~~(c) To consult regularly with the chief of staff and director of medical affairs of the CHRI ~~in monitoring the affected physician and the safety of patients until rehabilitation or any disciplinary process is complete.~~
- ~~(e)~~(d) To advise credentials and/or other appropriate medical staff committees on ~~any issues affecting the quality of patient care. To evaluate the~~ credibility of a complaint, allegation or concern, including those affecting the quality and safety of patient care.
- ~~(f)~~(e) It will be the responsibility of the chairperson of the committee to assure that all proceedings and records, including the identity of the person referring the case, are handled and ~~kept with utmost confidentiality~~ maintained in the strictest of confidence in accordance with the laws of the state of Ohio.
- ~~(g)~~(f) To educate CHRI hospital and the medical ~~staffs~~ staff about illness/ and impairment recognition issues, including at risk criteria specific to ~~physicians licensed independent practitioners.~~

(F) and (G) unchanged.

3335-111-14 Rules of construction.

(A) "Shall" as used herein is to be construed as mandatory.

(B) These bylaws should be construed to be gender neutral.

**MEDICAL STAFF RULES AND REGULATIONS OF THE ARTHUR G. JAMES  
CANCER HOSPITAL AND RICHARD J. SOLOVE RESEARCH INSTITUTE**

**AMENDED RULES AND REGULATIONS**

**01 ETHICAL PLEDGE.**

- (A) Each member of the medical staff shall pledge adherence to standard medical ethics, including:

(1) and (2) unchanged.

(3) Refraining from delegating the responsibility for diagnosis or care of hospitalized patients to a medical or dental practitioner or other licensed healthcare professional who is not qualified to undertake this responsibility ~~and~~ or who is not adequately supervised;

(4) and (5) unchanged.

**04 CONSULTATIONS.**

- (A) Consultation requirements.

~~When a medical staff member identifies, in the course of treating the patient, a patient care problem~~ is identified that requires intervention during the hospital stay that is outside the medical staff member's area of training and experience, it is the responsibility of the medical staff member or his or her designee to obtain consultation by the appropriate specialist. The consultation may be ordered by the responsible medical practitioner, a member of the limited staff, or another licensed healthcare professional with appropriate clinical privileges as designated in these rules and regulations.

(B) and (C) unchanged.

**05 ORDER WRITING PRIVILEGES.**

- (A) Definition of "Patient Orders".

(1) A patient order(s) is a prescription for care or treatment of patients. An order can be given verbally, electronically or in writing to qualified personnel identified by category in paragraph (C) of this rule and shall be authenticated by the licensed medical practitioner, a member of the limited staff, or another licensed healthcare professional with appropriate clinical privileges. Patient orders may be given initially, renewed, discontinued or cancelled. Throughout these rules and regulations, the word "written" and its grammatical derivatives, as used to describe a non verbal order, refer to both written and electronically entered orders.

(2) Unchanged.

- (B) Responsible medical practitioner.

All patient care is the responsibility of the attending, associate attending, clinical attending, community oncologist or community associate staff. Coverage may be provided by the limited staff or another licensed healthcare professional with appropriate clinical privileges under supervision. The licensed physician, dentist, podiatrist, or psychologist ~~or podiatrist~~ (under medical doctor supervision) ~~member of the staff~~ with appropriate clinical privileges responsible for the hospitalization or outpatient care, and treatment of the patient is responsible for all

orders for the patient. Attending, associate attending and clinical medical staff may designate members of the limited staff, or other licensed healthcare professionals with appropriate clinical privileges to write or electronically enter orders under their direction. The attending staff member may also designate members of the pre-M.D. medical student group to write orders, but in all cases ~~the~~ these orders shall be signed by the physician, dentist, psychologist, podiatrist, or designated limited staff member who has the right to practice medicine, dentistry, psychology, or podiatry and who is responsible for that patient's care prior to the execution of the order. Supervising physicians may delegate to members of their qualified personnel the ability to relay, enter, transcribe or write orders for routine laboratory, radiologic and diagnostic studies under their direction, but, in all cases, the order shall be co-signed by the supervising physician within 24 hours of the order being written. Community oncologist attending or community associate staff coverage may be provided by the limited staff under supervision.

Patient orders written by "off-service" house officers or consultant staff must be countersigned by the responsible practitioner or his designee. Exception may be made in the event of preoperative orders or in the instance of acknowledged co-management: e.g. the intensive care unit or recovery room.

- (C) Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist, ~~or~~ member of the limited medical staff, or other licensed healthcare professionals with appropriate clinical privileges only to health care providers who have been approved in writing by title or category by the director of medical affairs, the director of the CHRI, and each chief of the clinical service where they will exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospital, or by the customary medical staff credentialing process when the provider is not an employee of the hospital. Lists of the approved titles or categories of providers shall be maintained by the director of medical affairs. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. the order is to be written and signed by approved health care provider to whom it is dictated or given as "verbal order by ~~Dr.~~ \_\_\_\_\_," or "V.O. or T.O. by ~~Dr.~~ \_\_\_\_\_," giving the medical licensed healthcare practitioner's name and hour the time of the order, followed by the approved health care provider's signature and date, and read back in its entirety to the ordering physician, dentist, psychologist, podiatrist, ~~or~~ designated limited staff member, or other licensed healthcare professionals with appropriate clinical privileges. All telephone and verbal orders for DEA schedule II controlled substances, ~~patient seclusion, or patient restraint~~ must be authenticated within one (1) day by signature of a licensed physician, dentist, podiatrist, psychologist, or designated limited staff member. All telephone and verbal orders for patient seclusion and patient restraint must be authenticated within one (1) day by signature of a licensed physician, dentist, podiatrist, psychologist, designated limited staff member or other licensed healthcare professionals with appropriate clinical privileges. All other ~~inpatient~~ verbal and telephone orders must be authenticated within twenty-one (21) days of discharge or ambulatory visit by signature by a licensed physician, dentist, podiatrist, psychologist, ~~or~~ limited staff member, or other licensed healthcare professionals with appropriate clinical privileges. All outpatient verbal and telephone orders must be authenticated prior to or at the time of the next outpatient visit by signature of a licensed physician, dentist, psychologist, podiatrist, or limited staff member.



(D) Unchanged.

(E) Preprinted orders.

Preprinted order forms for patients must be reviewed, dated and signed by a responsible medical practitioner, a limited staff member, or other licensed healthcare professionals with appropriate clinical privileges before becoming effective.

(F) and (G) unchanged.

(H) "Transfer of clinical service" means transfer of full patient responsibility from one attending physician, dentist, psychologist or podiatrist to another; the patient may remain on the same unit or a change in patient care area may also occur. Admission of a patient from an emergency service to the hospital as an inpatient involves "transfer of clinical service."

For the purposes of order writing, two essentials of "transfer of clinical service" are necessary:

- (1) The initial transfer order must indicate the release of responsibility and control of the patient, pending acceptance by the receiving service. The order may read--"transfer (or admit) to Dr \_\_\_\_\_, Head and Neck Service."
- (2) Transfer of service may be completed only by the receiving service writing an order to the effect--"accept in transfer (or admission) to Dr \_\_\_\_\_, Head and Neck Service."

Orders effective before the transfer must be renewed or rewritten upon transfer by signature of a responsible medical practitioner, a limited staff member, or other licensed healthcare professionals with appropriate clinical privileges. The new or renewed orders may be written before or at the time of transfer, and may become effective immediately. It is the responsibility of the receiving nurse to establish the availability of new or renewed orders. If new orders are unavailable, then the nurse may continue previous orders and immediately notify the responsible medical practitioner, a limited staff member, or other licensed healthcare professionals with appropriate clinical privileges.

(I) through (L) unchanged.

## 10 COMMITTEES.

In addition to the medical staff committees, the medical staff shall participate in the following hospital and monitoring functions: infection control, clinical quality management, safety, and disaster planning and in other leadership council for clinical value enhancement advisory policy groups.

### Medical Information Committee

(A) Unchanged.

(B) Each member of the medical staff shall conform to the policies established by the medical records committee, including the following:

- (1) Unchanged.
- (2) Deadlines and sanctions.
  - (a) through (c) unchanged.

(d) When the history and physical examination signed by the responsible medical staff member, including the results of indicated laboratory studies and x-rays, is not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the history and physical signed by the responsible medical staff member, and indicated test results are entered into the chart. In cases where such delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee, and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it shall be adjudicated by the director of medical director affairs or the director of medical director's affair's designee.

(e) through (i) unchanged.

(3) Discharges.

(a) Patients shall be discharged only on written order of the responsible medical staff member, limited staff member or other licensed healthcare professional with appropriate clinical privileges. At the time of ordering the patient's discharge or at the time of next visit to the hospital, if the attending medical staff member has authorized a member of the limited medical staff to sign the order of discharge, the attending medical staff member is responsible for certifying the principal diagnosis, secondary diagnosis, principal procedure, and other significant invasive procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending medical staff member must record a "provisional" principal diagnosis by the time of discharge.

(b) through (d) unchanged.

(4) through (11) unchanged.

Leadership Council for Clinical Value Enhancement (LCCVE)

The LCCVE shall consist of members appointed pursuant to the university hospital's medical staff bylaws, and shall include the senior vice president for health sciences as an exofficio member without a vote, and the director of medical affairs and chief of staff as voting members. The medical director of university hospitals shall be the chairperson of the LCCVE. The LCCVE shall authorize policy groups to be formed to accomplish necessary hospital and medical staff functions on behalf of the CHRI and university hospitals.

CHRI representatives on the LCCVE shall be appointed as provided in the CHRI rule 3335-411-10 of the Administrative Code bylaws.

(A) through (D) unchanged.

12 ADOPTION OF THE RULES AND REGULATIONS.

These rules and regulations shall be adopted by the Medical Staff Administrative Committee and forwarded for approval to the ~~Hospital~~ CHRI Board and The Ohio State University Board of Trustees.



## Cartoon Research Library Feasibility Study

315-2006-926

**Requesting Agency(s):** UNIVERSITY LIBRARIES

**Location(s):** Wexner Center For The Arts

**Gross Sq. Ft.** 129,047 **Age:** 1989

### Description:

The Cartoon Research Library, located in the Lower Level of the Wexner Center, is an existing 6,300 square foot library within the University Library system. The Cartoon Library is unique, as it is the largest research oriented, academic library concentrating on printed cartoon art in the United States. The library's primary mission is to develop a comprehensive research collection of materials documenting American printed cartoon art (editorial cartoons, comic strips, comic books, graphic novels, sports cartoons, and magazine cartoons) and to provide access to these collections.

This feasibility study will involve the analysis of potential sites for a new facility. This process may involve the potential for sharing new facility space with other academic units. It will be imperative to provide the associated cost estimates and timelines for the above noted options. In anticipation of the library's future growth, an outline of anticipated space needs and the creation of a general program of spaces will be required.

### Project Information:

#### Issues:

**How does this project advance the Academic Plan?** This project will enhance the quality of learning and researching opportunities. Creating a designated facility will allow the Cartoon Research Library to expand its research opportunities and learning opportunities through various interdisciplinary activities. Second, this project will increase the Library's visibility on both a campus and national level. Third, the increase in space will allow the Library opportunities to store and showcase additional collections, thus providing staff, researchers and students with hands-on learning opportunities.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-University Libraries	\$69,000.00					
<b>Total:</b>	\$69,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$69,000.00	07/07/2006		
Feasibility Study Start		11/01/2006		
Feasibility Study Completion		03/30/2007		

### Project Team:

Project Manager: Corey Morris (morris.716@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

## Core and Medical Campus Projects

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>• Larkins Hall - Condensate Piping Replacement</li> <li>• 12th Avenue - Condensate Piping Upgrade</li> <li>• Stillman Hall Third Floor Renovation</li> <li>• Clinical Space Reorganization - Rhodes/Doan Endovascular-Suite 4</li> <li>• 9th Avenue Parking Garage Renovation</li> <li>• 9th Avenue Parking Garage Expansion (MCFP)</li> <li>• Newton Hall - Mailroom Renovation</li> <li>• MRI Relocation (MCFP)</li> </ul> | <ul style="list-style-type: none"> <li>• Cartoon Research Library - Feasibility Study (site to be determined)</li> <li>• West of Cannon Drive Parking Garage (MCFP)</li> <li>• West of Cannon Drive Chiller Plant (MCFP)</li> <li>• Kennedy Commons HVAC Installation</li> <li>• Ohio Union Replacement</li> <li>• Ohio Union Enhancements and Instructional Kitchen</li> <li>• Biological Sciences Building 6th Floor Renovation</li> </ul> |
|---|--|



Office of Business and Finance / Board of Trustees Meeting

July 7, 2006





Veterinary Hospital Feasibility Study - Phase III

315-2004-903-2

Requesting Agency(s): VETERINARY MEDICINE ADMINISTRATION

Location(s): Veterinary Hospital

Gross Sq. Ft.222,496 Age: 1973

Description:

This project, Phase III of a study, will be a continuation of Phases I and II that created the framework for the expansion of the College of Veterinary Medicine over the next 20 years. Phase I evaluated the needs for the clinical programs and the teaching hospital. Phase II expanded the analysis to add a review of teaching and research facilities. Phase III will include the completion of a strategic plan for the renovation and expansion of the existing Veterinary Hospital Building to create much needed outpatient space.

Project Information:

Initial phases of the study were not brought to the Board of Trustees because of the guidelines that were previously in place for feasibility study approvals. Phase I was originally budgeted at approximately \$174,000. An additional \$47,500 was budgeted for Phase II and Phase III is budgeted at \$60,000. Total cost for all phases is \$281,500.

Issues:

How does this project advance the Academic Plan? Updating and enlarging the Veterinary Medicine Teaching Hospital will be the first step in expanding the size and role of the College of Veterinary Medicine to meet the 21st Century needs of the State of Ohio.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Veterinary Medicine	\$30,000.00					
Central Funding SFC Funds	\$30,000.00					
Total:	\$60,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT (Phase III)	\$60,000.00	07/07/2006		
Feasibility Study Start (Phase III)		08/01/2006		
Feasibility Study Completion (Phase III)		11/01/2006		

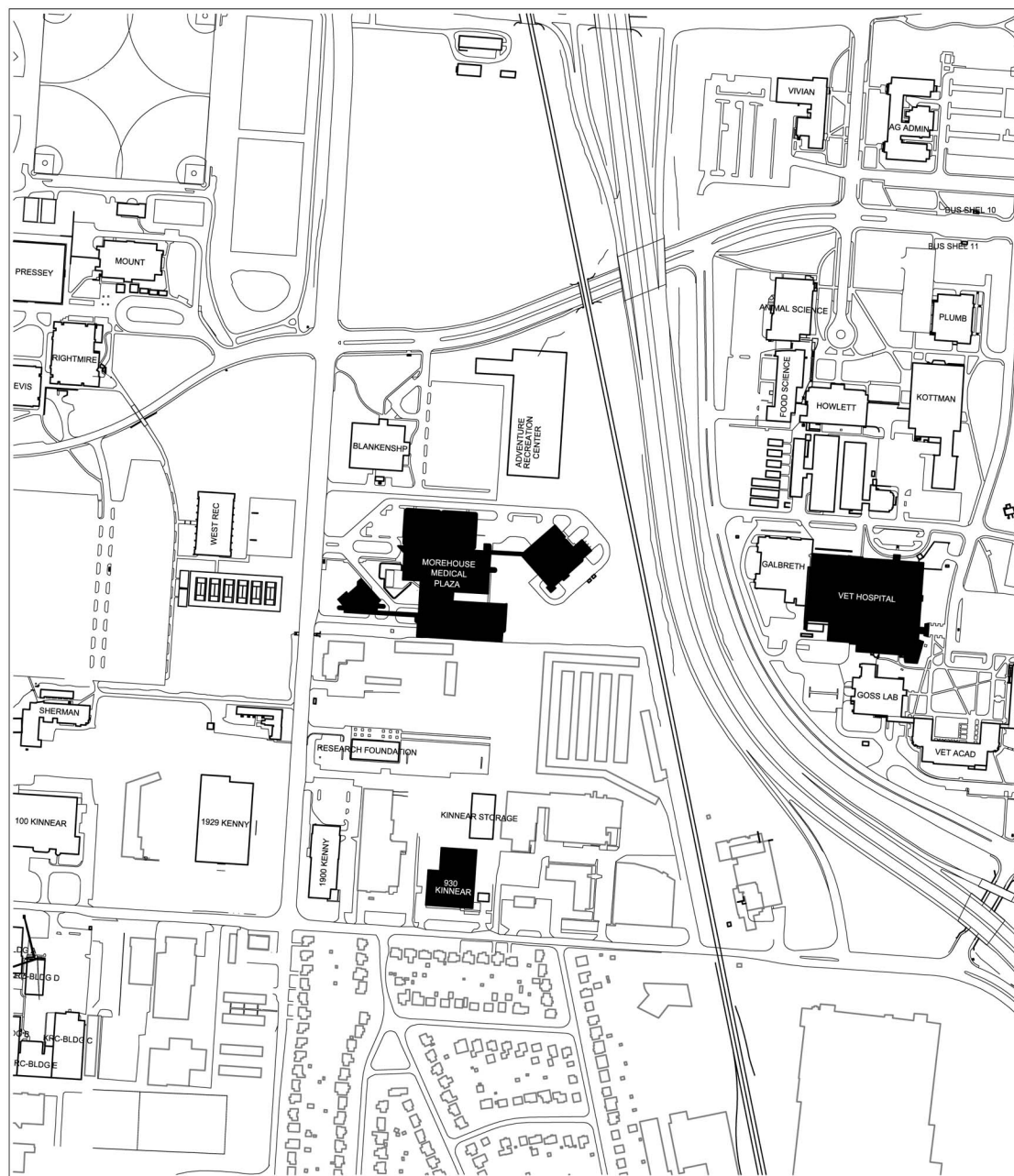
Project Team:

Project Manager: Corey Morris (morris.716@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

## Midwest Campus Projects

- Martha Morehouse Medical Plaza - Second Floor Clinic in Pavilion
- 930 Kinear Road - Heavy Duty Dynameter Control
- Veterinary Hospital Feasibility Study - Phase III



Office of Business and Finance / Board of Trustees Meeting

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## 9th Avenue Parking Garage Addition

315-2006-925

**Requesting Agency(s):** TRANSPORTATION & PARKING SERVICES

**Location(s):** See Project Information

**Gross Sq. Ft.0 Age:**

**Description:**

This project will construct a 1,000 car parking structure east of the existing 9th Avenue garage.

**Project Information:**

This project is part of the approved Medical Center Facilities Master Plan - South Campus Implementation, originally approved on November 4, 2005 by the Board of Trustees as Medical Center Facility Master Plan - Clinical Expansion Projects.

**Issues:**

**How does this project advance the Academic Plan?** Enables the Medical Center Facilities Master Plan, which will support continued clinical, teaching and research missions at Ohio State.

**Outstanding Funding Issues:** Transportation and Parking is responsible for the 9th Avenue parking structure and their funding is available in 2011. In order to maintain the Medical Center Master Plan Implementation schedule, the Medical Center will fund this project until Transportation and Parking's funding is received.

**Timing Issues:** Short construction time, fast track schedule and potential coordination with construction of other infrastructure projects that are a part of the Medical Center Facilities Plan.

**"Ripple effects" of the project:** The short term loss of surface parking will be made up by the Medical Center Express Bus Service in conjunction with the renovation of the existing 9th Avenue parking garage and paving the surface lot west of Cannon Drive.

**Special limitations/risks:** None.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$0.00	\$21,687,674.00				
Auxiliaries-Trans. & Parking	\$21,687,674.00	\$0.00				
<b>Total:</b>	\$21,687,674.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$21,687,674.00	07/07/2006		
CONSTRUCTION				
Construction Start		05/01/2007		
Completion		05/01/2008		

**Project Team:**

Project Manager: Richard Van Deusen (van-deusen.2@osu.edu)

Project Coordinator: Curtiss Ashley (ashley.6@osu.edu)



## 12th Avenue - Condensate Piping Upgrade

315-2005-938

**Requesting Agency(s):** FACILITIES OPERATIONS AND DEVELOPMENT

**Location(s):** Twelfth Avenue

**Description:**

This project will upgrade the condensate piping under 12th Avenue connecting the hospital condensate return line to the new condensate line running north of Aronoff Lab.

**Project Information:**

**Issues:**

**How does this project advance the Academic Plan?** This project will provide reliable and safe operations of high pressure steam and steam condensate line.

**Outstanding Funding Issues:** None

**Timing Issues:** Construction work needs be done during the summer cooling season.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB16 Columbus Basic Renovation	\$190,000.00					
<b>Total:</b>	\$190,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$190,000.00	07/07/2006		
CONSTRUCTION				
Construction Start		06/28/2007		
Completion		09/21/2007		

**Project Team:**

Project Manager: Alexander Lentsner (lentsner.2@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)





ATI - Equine Storage Facility

315-2006-923

Requesting Agency(s): ATI - HORSE

Location(s): See Project Information

Gross Sq. Ft.0 Age:

Description:

This project will involve the addition of a new pole barn for the storage of equipment used in the maintenance of the equine program, specifically for the handling of feed, sawdust and manure. The structure is estimated to add approximately 2,900 square feet.

Project Information:

The new pole barn will be located north of the Equine Center.

Issues:

How does this project advance the Academic Plan? The Horse Technology program is the third largest degree-granting program at ATI. This project will provide for separate equipment storage in the vicinity of the existing equine facilities.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-ATI	\$60,000.00					
HB850 Regional Campuses Basic	\$70,000.00					
<b>Total:</b>	\$130,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$130,000.00	07/07/2006		
DESIGN				
Arch/Engr Contract		09/15/2006		
Construction Document Approval (combined SD/DD/CD)		11/01/2006		
BIDDING				
Bid Opening		01/10/2007		
CONSTRUCTION				
Award of Contracts		03/08/2007		
Construction Start		03/18/2007		
Completion		05/31/2007		

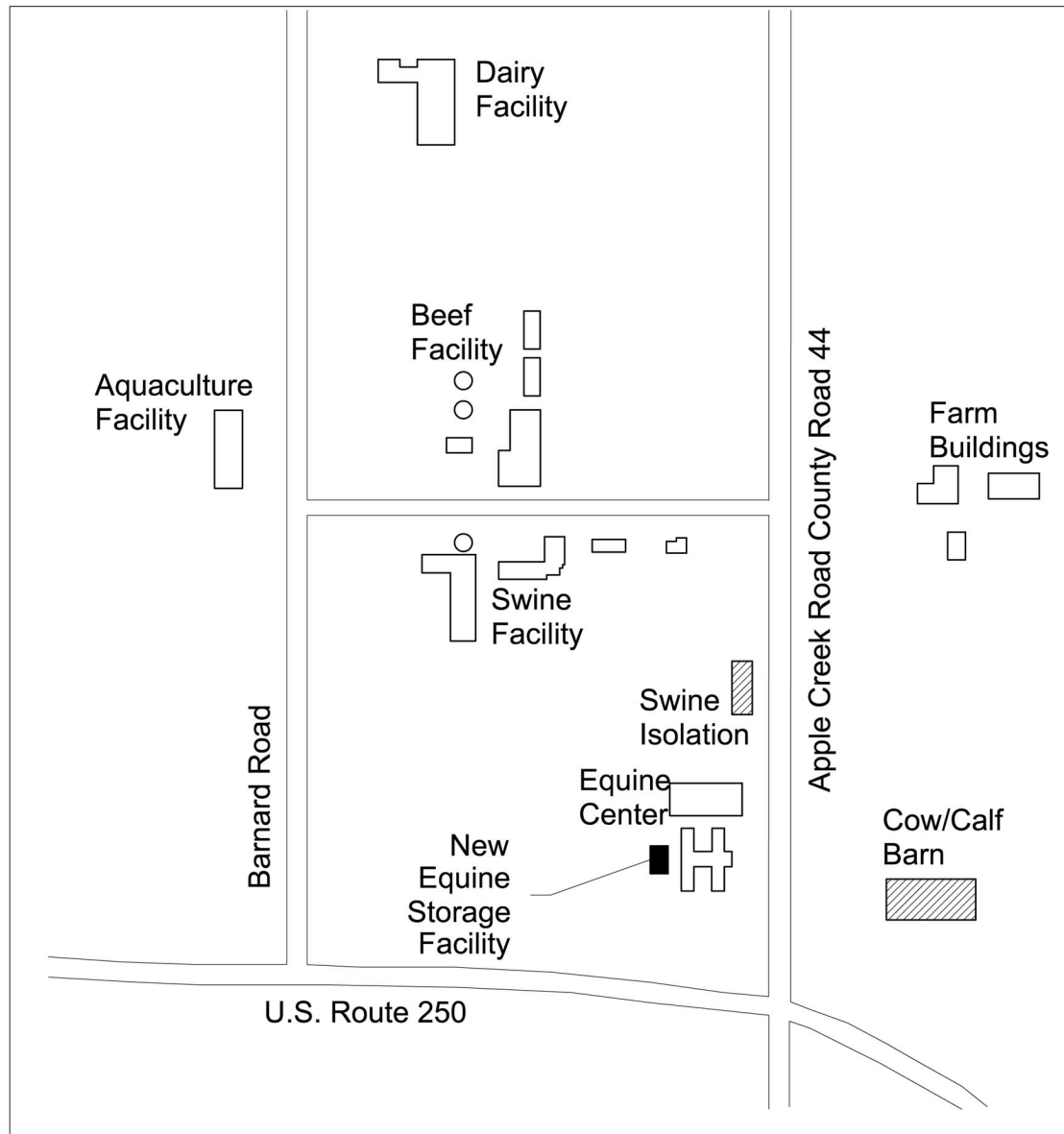
Project Team:


Project Manager: Marjory Trishman (trishman.2@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

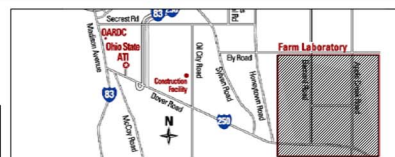
## ATI - Apple Creek Projects

- ATI - Apple Creek Farm Water Distribution Control
- ATI - Equine Storage Facility



 Water Distribution System Buildings

Office of Business and Finance / Board of Trustees Meeting



July 7, 2006





Larkins Hall - Condensate Piping Replacement

315-2005-937

Requesting Agency(s): PHYSICAL FACILITIES

Location(s): Unidentified Utility, Col.

Gross Sq. Ft.0 Age:

Description:

This project will replace the steam condensate line between Larkins Hall (RPAC) and Neil Avenue Parking Garage. This project includes asbestos abatement, removal of existing steel piping from the Utility tunnel and approximately 670 linear feet of new piping.

Project Information:

Issues:

How does this project advance the Academic Plan? The project will provide increased reliability and maintenance access to the steam condensate line between Larkins Hall and Neil Avenue Parking Garage.

Outstanding Funding Issues: None

Timing Issues: Construction work needs to be done during the summer cooling season.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB16 Columbus Basic Renovation	\$210,000.00					
Total:	\$210,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$210,000.00	07/07/2006		
CONSTRUCTION				
Construction Start		06/28/2007		
Completion		09/21/2007		

Project Team:

Project Manager: Alexander Lentsner (lentsner.2@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



Stillman Hall Third Floor Renovation

315-2006-922

Requesting Agency(s): SOCIAL WORK

Location(s): Stillman Hall, Charles C.

Gross Sq. Ft.67,287 Age: 1937

Description:

This project will renovate 3,700 sq ft on the third floor of Stillman Hall for the College of Social Work. The faculty offices in this area support student appointments, recruiting and development opportunities. The renovation will include abatement of hazardous materials; installation of new walls, acoustical ceiling, lighting, window treatments and flooring; painting; update of electrical and data/communication systems; and reconfiguration of a reception area.

Project Information:

Formerly Project #50700-R050085.

Issues:

How does this project advance the Academic Plan? This project will help to better serve and support the student body and to improve the work environment.

Outstanding Funding Issues: None

Timing Issues: This project will be completed over the summer months in order to minimize impact to the students and their families.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Social Work	\$165,000.00					
General Funds-Academic Affairs	\$165,000.00					
Total:	\$330,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$330,000.00	07/07/2006		
DESIGN				
Arch/Engr Contract		10/30/2006		
CONSTRUCTION				
Construction Start		06/11/2007		
Completion		10/01/2007		

Project Team:

Project Manager: Faye Bodyke (bodyke.3@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)



West of Cannon Drive Chiller Plant (MCFP)

315-2005-993-5

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): See Project Information

Gross Sq. Ft.0 Age:

Description:

This project will construct a chilled water plant to support the Medical Center Facilities Plan, South Campus Implementation.

Project Information:

This project is part of the approved Medical Center Facilities Plan - South Campus Implementation, originally approved on November 4, 2005 by the Board of Trustees as Medical Center Facility Master Plan - Clinical Expansion Projects. This project will be sited in the transitional zone, with approximate borders of the Olentangy River on the west; Neil Ave. on the east; 12th Avenue on the north and 10th Ave. on the south.

Issues:

How does this project advance the Academic Plan? Enables the Medical Center Facilities Plan, which will support continued clinical, teaching and research missions at Ohio State.

Outstanding Funding Issues: None

Timing Issues: Short construction time, fast track schedule and coordination with construction of other projects in the Medical Center Facilities Plan.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$47,203,291.00					
Total:	\$47,203,291.00					
Schedule:	BoT Approved Amt.		Projected	Revised	Actual	
PLANNING						
Arch/Engr Approved by BoT	\$47,203,291.00		07/07/2006			
Constr Mgr Approved by BoT	\$47,203,291.00		07/07/2006			
CONSTRUCTION						
Construction Start			07/07/2007			
Completion			07/06/2010			

Project Team:

Project Manager: Richard Van Deusen (van-deusen.2@osu.edu)

Project Coordinator: Curtiss Ashley (ashley.6@osu.edu)



West of Cannon Drive Parking Garage (MCFP)

315-2005-993-4

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): See Project Information

Gross Sq. Ft.0 Age:

Description:

This project will provide a 600 car parking garage west of Cannon Drive.

Project Information:

This project is part of the approved Medical Center Facilities Plan, originally approved on November 4, 2005 by the Board of Trustees as Medical Center Facility Master Plan - Clinical Expansion Projects. This project will be sited in the transitional zone, with approximate borders of the Olentangy River on the west; Neil Ave. on the east; 12th Avenue on the north and 10th Ave. on the south.

Issues:

How does this project advance the Academic Plan? As part of the Medical Center Facilities Plan the parking garage will provide the needed accessibility for increased staff to support the continued clinical teaching and research missions at Ohio State.

Outstanding Funding Issues: None

Timing Issues: Short construction time, fast track schedule and coordination with construction of other projects in the Medical Center Facilities Plan.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$13,811,657.00					
Total:	\$13,811,657.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$13,811,657.00	07/07/2006		
Constr Mgr Approved by BoT	\$13,811,657.00	07/07/2006		
CONSTRUCTION				
Construction Start		06/04/2007		
Completion		06/02/2008		

Project Team:

Project Manager: Richard Van Deusen (van-deusen.2@osu.edu)

Project Coordinator: Curtiss Ashley (ashley.6@osu.edu)



## 9th Avenue Parking Garage Renovation

315-2005-995

**Requesting Agency(s):** TRANSPORTATION & PARKING SERVICES

**Location(s):** Parking Garage F (9th Ave)

**Gross Sq. Ft.** 369,421 **Age:** 1980

**Description:**

This project will include structural concrete renovation and repairs, and upgrades to electrical and lighting systems for the parking garage.

**Project Information:**

Preliminary project budget was \$2,000,000 - \$4,000,000.

Formerly Project #50700-R040037

**Issues:**

**How does this project advance the Academic Plan?** Renovation and repairs to the 9th Avenue Parking Garage will keep the structure operable for the foreseeable future. The garage will provide additional parking for faculty and staff.

**Outstanding Funding Issues:** None

**Timing Issues:** Major renovation needs to start by early September, 2006 in order to re-open garage by January, 2007. Work is temperature dependant and final traffic coating will be applied in Summer 2007.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$4,000,000.00	\$2,268,612.00				
<b>Total:</b>	\$4,000,000.00	\$2,268,612.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
<b>PLANNING</b>				
Arch/Engr Approved by BoT (\$2.0 - \$4.0 Million)	\$4,000,000.00	11/04/2005		11/04/2005
Arch/Engr Advertisement (Dispatch)		11/11/2005		11/11/2005
<b>DESIGN</b>				
Arch/Engr Contract		03/06/2006	03/20/2006	03/21/2006
Design Dev Document Approval		05/12/2006	05/15/2006	05/15/2006
Construction Document Approval (Combined SD/DD/CD)		05/12/2006	06/26/2006	
<b>BIDDING</b>				
Bidding Approved BoT	\$2,268,612.00	07/07/2006		
Bid Opening		08/02/2006		
<b>CONSTRUCTION</b>				
Construction Start		06/05/2006	10/02/2006	
Completion ((Re-Open Garage))		06/01/2011	01/02/2007	
Completion (Traffic Coating)		08/01/2007		

**Project Team:**

Project Manager: Lori Seeger (seeger.7@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

DESMAN ASSOCIATES - Design



## 930 Kinnear Road - Heavy Duty Dynamometer Control

315-2005-1000

**Requesting Agency(s):** CENTER FOR AUTOMOTIVE RESEARCH

**Location(s):** Kinnear Road, 930

**Gross Sq. Ft.** 38,172 **Age:** 1961

### Description:

This project will provide electrical power connection to a dynamometer control, which is used for measuring force or power on automotive equipment, and an indoor fence enclosure of related research equipment for the Center for Automotive Research.

### Project Information:

BOR Action Funds are no longer available for this project. The project will be funded entirely with Engineering Department funds.

During the design process the budget increased by 3% due to the escalation in cost for wire and copper. The Department has agreed to fund the increase to the budget.

Formerly Project #50700-R050100

### Issues:

**How does this project advance the Academic Plan?** These infrastructure upgrades will enable the College to continue its standing as a leader in automotive research.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
BOR Action Fund	\$70,421.00	\$0.00				
Earnings-Engineering	\$70,421.00	\$145,000.00				
<b>Total:</b>	\$140,842.00	\$145,000.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$140,842.00	12/02/2005		12/02/2005
DESIGN				
Design Dev Document Approval		05/08/2006	05/01/2006	05/01/2006
Construction Document Approval		05/31/2006	06/15/2006	06/14/2006
BIDDING				
Bidding Approved BoT	\$145,000.00	07/07/2006		
Bid Opening		08/03/2006	08/03/2006	
CONSTRUCTION				
Award of Contracts		09/28/2006	09/28/2006	
Construction Start		04/02/2006	10/02/2006	
Completion		08/31/2006	12/01/2006	

### Project Team:

Project Manager: Bob Wajnryb (wajnryb.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)





## ATI - Apple Creek Farm Water Distribution System

315-2005-913

**Requesting Agency(s):** ATI-ADMINISTRATIVE SUPPORT

**Location(s):** See Project Information

**Gross Sq. Ft.0 Age:**

### Description:

This project will establish a new water source for ATI farms and new distribution piping. The new system will provide water to the Horticulture Department Nursery, the Cow Calf Barn, and the Swine Isolation Barn.

### Project Information:

This project was previously approved for bidding, however it was placed on hold by ATI while the University considered if it would accept the Apple Creek Developmental Center (ACDC) from the State of Ohio. The delay gave us time to develop a more comprehensive water plan which resulted in a budget increase. The additional funds have come from HB 16 ATI Basic Renovation.

### Issues:

**How does this project advance the Academic Plan?** The project will provide water for the animals on the teaching farms.

**Outstanding Funding Issues:** None

**Timing Issues:** It is important to have the distribution piping in the ground prior to winter freeze.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Regional Basic Renovation	\$50,000.00	\$50,000.00				
HB16 ATI Basic Renovation	\$15,000.00	\$44,255.68				
<b>Total:</b>	\$65,000.00	\$94,255.68				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$65,000.00	05/06/2005		05/06/2005
DESIGN				
Arch/Engr Contract		07/22/2005		09/02/2005
BIDDING				
Bidding Approved BoT	\$65,000.00	05/06/2005		05/06/2005
Bidding Approved BoT (Increase in Construction)	\$94,255.68	07/07/2006		
Bid Opening		01/18/2006	08/07/2006	
CONSTRUCTION				
Award of Contracts		03/18/2006	10/04/2006	
Construction Start		12/01/2005	10/04/2006	
Completion		06/01/2006	04/16/2007	

### Project Team:

**Project Manager:** Marjory Trishman (trishman.2@osu.edu)

**Project Coordinator:** Andrea Cuthbert (cuthbert.11@osu.edu)

**WE MONKS & CO - Design**



## Biological Sciences Building 6th Floor Renovation

315-2003-942

**Requesting Agency(s):** BIOLOGICAL SCIENCES ADMINISTRATION

**Location(s):** Biological Sciences Building

**Gross Sq. Ft.** 182,129 **Age:** 1970

### Description:

This project will involve the renovation of laboratory space on the 6th floor of the Biological Sciences Building. Work includes: new lab equipment to include peninsula lab benches with sinks, fume hoods, plumbing, electrical upgrades, communication wiring, painting, asbestos removal and new lay-in ceiling with fluorescent lighting.

### Project Information:

Preliminary project estimate was \$1,070,000 - \$1,080,000. Revised project estimate is \$2,726,318.00. Project budget increase due to increase of square footage from 9,200 to 14,000 square feet, and to include classroom pool. This project will also include abatement; demolition; and mechanical for the entire floor, including a complete fit out of the shell spaces with lab equipment and furnishings.

### Issues:

**How does this project advance the Academic Plan?** The renovation will allow the College of Biological Sciences to recruit, develop and offer quality research laboratory space for research and instruction advancement.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** The construction of this project cannot commence until completion of the Biomedical Research Tower (BRT) occurs because the current occupants will relocate out of Biological Sciences building and into the BRT. The classrooms for the College and Classroom pool will be back on-line for Fall Quarter, 2007.

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Biological Sciences	\$1,649,181.00					
HB675 Columbus Basic Renovation	\$1,077,137.00					
<b>Total:</b>	\$2,726,318.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,077,137.00	10/03/2003		10/03/2003
Arch/Engr Advertisement (Ohio Register # 123)				11/03/2003
Program Approved by the University		11/15/2003	11/18/2003	11/18/2003
DESIGN				
Arch/Engr Contract		04/16/2004		04/19/2004
Schematic Design Approval		08/18/2004	11/30/2004	11/30/2004
Design Dev Document Approval		08/18/2004	11/30/2004	11/30/2004
Construction Document Approval		11/03/2004	08/30/2006	
BIDDING				
Bidding Approved BoT	\$2,726,318.00	07/07/2006		
Bid Opening		12/02/2004	09/29/2006	
CONSTRUCTION				
Award of Contracts		01/26/2005	11/22/2006	
Construction Start		10/14/2005	01/15/2007	
Completion		12/30/2007		

### Project Team:

Project Manager: Faye Bodyke (bodyke.3@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

PERSPECTUS ARCHITECTURE - Design



## Clinical Space Reorganization - Rhodes/Doan Endovascular-Suite 4

315-2001-911-25

**Requesting Agency(s):** UNIVERSITY HOSPITALS

**Location(s):** Doan Hall, Charles Austin

**Gross Sq. Ft.** 669,869 **Age:** 1951

**Location(s):** Rhodes Hall-University Hospital

**Gross Sq. Ft.** 510,587 **Age:** 1979

### Description:

The project will provide a new Endovascular Operating Room and satisfy the Hospital's need for a large multi-purpose procedure space by renovating the existing operating room on the fourth floor of Doan/Rhodes Hall.

### Project Information:

#### Issues:

**How does this project advance the Academic Plan?** This project will advance the Academic Plan by providing the needed support for the continued clinical, teaching and research missions at The Ohio State University.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** Utility outages related to the project and downtime of existing operating room.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$1,539,495.00					
<b>Total:</b>	\$1,539,495.00					

### Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT (\$20-25 Million Project)				06/29/2001
DESIGN				
Schematic Design Approval		05/16/2006		03/30/2006
Design Dev Document Approval		05/16/2006		05/16/2006
Construction Document Approval		07/07/2006		
BIDDING				
Bidding Approved BoT	\$1,539,495.00	07/07/2006		
Bid Opening		08/04/2006		
CONSTRUCTION				
Construction Start		10/09/2006		
Completion		05/09/2007		

### Project Team:

**Project Manager:** Paul Lenz (lenz.3@osu.edu)

**Project Coordinator:** Curt Handschug (handschug.1@osu.edu)

**COLLINS GORDON BOSTWICK ARCHITECTS - Design**



## Mansfield Campus - Ovalwood Hall Renovation

315-2004-922

**Requesting Agency(s):** MANSFIELD CAMPUS

**Location(s):** Twelfth Avenue

**Gross Sq. Ft.** 96,592 **Age:** 1966

### Description:

This project will reconfigure and restore the interior of Ovalwood Hall on the Mansfield Campus. The project will include updated classrooms with technologically advanced capabilities, art rooms with improved lighting and equipment, a writing center with new computers and teaching areas, a multi-media lab, dark room, computer labs, psychology testing rooms, larger faculty offices, staff offices and lounge space. The project includes replacement of the HVAC and plumbing systems and upgrades to meet current codes and standards.

### Project Information:

The budget increase is due to additional scope requested by the users, which includes a student commons area and abatement of hazardous materials, as well as escalation of material costs since the time of the original budget estimate in 2002. OSU Mansfield will fund these additional costs.

### Issues:

**How does this project advance the Academic Plan?** The Mansfield Ovalwood Hall project advances the academic plan by enhancing the learning and teaching environments for the students and faculty at the regional campus. The total building renovation will include updated classrooms with technologically advanced capabilities, art rooms with improved lighting and equipment, a writing center with new computers and teaching areas, a multi-media lab, dark room, computer labs, psychology testing rooms, larger faculty offices, staff offices and lounge space.

The cyber cafe is a multi-purpose space that will be available for everyone to use. It will house a small coffee/food vendor and will have flexible seating areas for studying, meetings, or special functions. Since the Mansfield student body is composed primarily of commuter students, the cyber cafe will provide a much needed space for students to study, get a snack, or just hang out between classes.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Mansfield	\$533,536.00	\$3,724,536.00				
Univ. Bond Proceeds	\$6,466,464.00	\$6,466,464.00				
<b>Total:</b>	\$7,000,000.00	\$10,191,000.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
<b>PLANNING</b>				
Arch/Engr Approved by BoT	\$7,000,000.00	09/22/2004		09/22/2004
Arch/Engr Advertisement (Ohio Register 135)		11/30/2004		11/30/2004
Arch/Engr Approved by BoT (Budget Increase)	\$10,191,000.00	04/07/2006		04/07/2006
<b>DESIGN</b>				
Arch/Engr Contract		04/18/2005	06/17/2005	07/08/2005
Schematic Design Approval				11/01/2005
Design Dev Document Approval		02/28/2006	03/13/2006	03/30/2006
Construction Document Approval		07/30/2006		
<b>BIDDING</b>				
Bidding Approved BoT	\$10,191,000.00	07/07/2006		
Bid Opening		12/06/2006	10/15/2006	
<b>CONSTRUCTION</b>				
Award of Contracts		02/15/2007	12/15/2006	
Construction Start		02/20/2007	01/08/2007	
Completion		10/01/2008	08/30/2008	

### Project Team:

Project Manager: Ruth Miller (miller.2495@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

MKC ASSOCIATES INC - Design

## Mansfield Campus

- Ovalwood Hall Renovation



Office of Business and Finance / Board of Trustees Meeting

July 7, 2006





## Martha Morehouse Medical Plaza - Second Floor Clinic in Pavilion

315-2004-905-8

**Requesting Agency(s):** UNIVERSITY HOSPITALS

**Location(s):** Morehouse Medical Plaza-Pavilion

**Gross Sq. Ft.**162,352 **Age:** 1983

### Description:

This project will renovate the General Internal Medicine Department and Residence program. It involves the demolition and new tenant fit-out of an existing space located on the second floor of the Morehouse Pavilion Building. Requirements include waiting, reception, business, office, patient records, staff conference, faculty offices and patient care support.

### Project Information:

This is a component of the \$13M Outpatient Services - 2050 Kenny Road project previously approved by the Board of Trustees on March 5, 2004.

### Issues:

**How does this project advance the Academic Plan?** The project will bring multiple medical specialists together at one location where complex medical treatment options and research can be developed.

**Outstanding Funding Issues:** None

**Timing Issues:** The existing locker rooms will be relocated as an enabling project to make room for the General Internal Medicine Department. This enabling project will begin in June of 2006.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$480,568.00					
Univ. Bond Proceeds	\$1,328,800.00					
<b>Total:</b>	\$1,809,368.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$13,000,000.00			03/05/2004
DESIGN				
Schematic Design Approval				03/01/2006
Design Dev Document Approval				05/01/2006
Construction Document Approval		08/01/2006		
BIDDING				
Bidding Approved BoT	\$1,809,368.00	07/07/2006		
Bid Opening		08/24/2006		
CONSTRUCTION				
Construction Start		11/01/2006		
Completion		07/31/2007		

### Project Team:

Project Manager: Paul Lenz (lenz.3@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)

Champlin Haupt, Inc. - Design



Newton Hall - Mailroom Renovation

5061-PF08746

Requesting Agency(s): NURSING

Location(s): Newton Hall, Mildred E

Gross Sq. Ft.80,879 Age: 1968

Description:

This project will replace the existing mailroom and renovate the existing graduate teaching associates work area. It will create three new offices and a smaller, more efficient mailroom.

Project Information:

During the planning stages the scope was modified to include a new HVAC system due to the age and configuration of the old system, resulting in a project budget increase. The College of Nursing has agreed to fund the increase to the budget.

Issues:

How does this project advance the Academic Plan? This renovation will provide new office space for additional faculty and staff necessary for the continued growth of the College of Nursing.

Outstanding Funding Issues: None

Timing Issues: Project must be completed by September 13, 2006.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Nursing	\$117,200.00	\$283,057.00				
Total:	\$117,200.00	\$283,057.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
DESIGN				
Schematic Design Approval		01/30/2006		01/30/2006
Design Dev Document Approval		01/30/2006		01/30/2006
Construction Document Approval		05/18/2006		05/18/2006
BIDDING				
Bidding Approved BoT	\$283,057.00	07/07/2006		
CONSTRUCTION				
Construction Start		07/12/2006		
Completion		09/13/2006		

Project Team:

Project Manager: Mark Taylor (taylor.1199@osu.edu)  
ADVANCED ENGINEERING CONSULTANTS - Design  
AHC INC - General Construction  
COLUMBUS ABATEMENT CO., INC. - Asbestos  
RHOADS CONSTRUCTION, INC. - General Construction

Project Coordinator: Karen Cogley (cogley.1@osu.edu)



## OARDC-Western Branch Headquarters and Machinery Building

315-2000-906

**Requesting Agency(s):** OHIO AGRIC RESEARCH AND DEVELOPMENT CTR

**Location(s):** Pesticide Stor and Hndng-West Substa

**Gross Sq. Ft.** 1,455 **Age:** 1997

**Location(s):** Barn-Western Substation

**Gross Sq. Ft.** 11,788 **Age:** 1900

**Location(s):** Farm Shop-Western Substation

**Gross Sq. Ft.** 3,200 **Age:** 1935

### Description:

This project will demolish the Farm Shop and a portion of the Western Barn, and include an addition to the Pesticide Storage and Handling Substation. The addition will provide additional equipment storage and space to meet the research needs for the facility.

### Project Information:

#### Issues:

**How does this project advance the Academic Plan?** The project will advance the Academic Plan by providing a new facility with improved office and research space, as well as an improved work shop and storage for farm equipment and machinery.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Line Item	\$850,000.00					
<b>Total:</b>	\$850,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$850,000.00	05/07/2004		05/07/2004
Arch/Engr Advertisement (Ohio Register)		06/30/2004		06/30/2004
DESIGN				
Arch/Engr Contract		10/01/2004	04/01/2005	04/05/2005
Design Dev Document Approval (SD/DD Combined)		09/27/2005		09/27/2005
Construction Document Approval		03/09/2006		03/09/2006
BIDDING				
Bidding Approved BoT	\$850,000.00	06/02/2006	07/07/2006	
Bid Opening		11/01/2005	08/02/2006	
CONSTRUCTION				
Award of Contracts		01/02/2006	10/08/2006	
Construction Start		09/01/2005	10/09/2006	
Completion		09/01/2006	09/07/2007	

### Project Team:

**Project Manager:** Marjory Trishman (trishman.2@osu.edu)

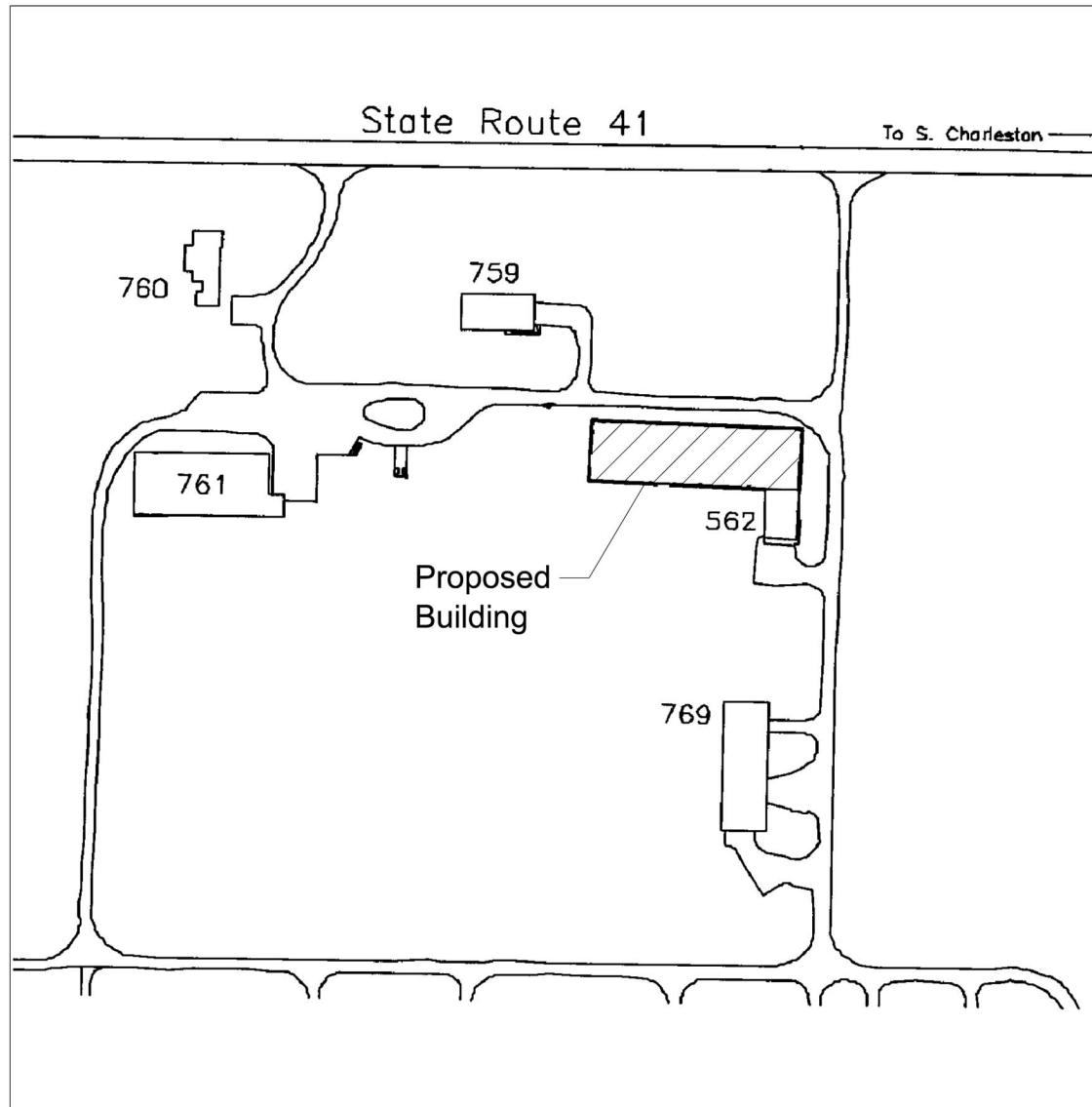
**Project Coordinator:** Andrea Cuthbert (cuthbert.11@osu.edu)

**ARCHITECTURE!** OHIO INC - Design



## OARDC Projects

- OARDC - Western Branch Headquarters and Machinery Building.



Office of Business and Finance / Board of Trustees Meeting

July 7, 2006





Ohio Union Enhancements and Instructional Kitchen

315-2004-912-1

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Ohio Union

Gross Sq. Ft.220,782 Age: 1951

Description:

This project will provide interior enhancements to two student organization meeting spaces, basement storage space, equipment and interior fit out of an instructional kitchen. All of this will be housed within the new Ohio Union Replacement.

Project Information:

Issues:

How does this project advance the Academic Plan? This project will advance the Academic Plan by providing improved facilities for student organizations and teaching space. The improvements will enhance the quality of the learning environment and better serve the student body.

Outstanding Funding Issues: This project is being supported with donor funding.

Timing Issues: This project will be integrated into the design and construction of the Ohio Union Replacement project.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Student Affairs	\$644,000.00					
Univ. Bond Proceeds Campus Dining	\$1,740,000.00					
<b>Total:</b>	\$2,384,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$2,384,000.00	06/02/2006		06/02/2006
Constr Mgr Approved by BoT	\$2,384,000.00	06/02/2006		06/02/2006
BIDDING				
Bidding Approved BoT	\$2,384,000.00	07/07/2006		
CONSTRUCTION				
Construction Start		02/01/2007		
Completion		02/04/2010		

Project Team:

Project Manager: Ruth Miller (miller.2495@osu.edu)

Project Coordinator: Faye Bodyke (bodyke.3@osu.edu)



## Ohio Union Replacement

315-2004-912

**Requesting Agency(s):** STUDENT AFFAIRS, OFFICE OF

**Location(s):** Twelfth Avenue

### Description:

The existing Ohio Union will be completely demolished and replaced with a new facility on the existing Union site. Project includes approximately 285,705 gsf of new construction, 220,782 gsf demolition of existing building, resulting in 66,503 gsf added to the university inventory

### Project Information:

The Ohio Union project has increased by \$15 million as a result of 4 major components. (1) Escalation: The original project budget assumed a midpoint of construction in 2007; that date has now been pushed back to 2008 adding another year of inflation. Additionally, the construction industry has experienced an exceptionally high rate of inflation in the past year due to spikes in fuel costs, material availability and what is being termed the "Katrina factor." (2) Extraordinary Site Utility Relocations: After the project design commenced, it was discovered that major city sewer lines would be required to be relocated as part of this project. This cost was not previously anticipated. (3) LEED Certification - The project is seeking LEED Certification for sustainable design and is currently registered with the U.S. Green Building Council. This will have additional upfront project costs, but will decrease the life cycle costs for the facility. (4) Building Grossing Factor: The original feasibility study identified a grossing factor that proved to be insufficient to achieve the desired program objectives and design elements for the new facility. The larger grossing factor added square footage to the overall building footprint, but it was required to achieve the desired level of quality.

To address these cost factors, the project team has worked extensively to mitigate the budget increase by reducing or eliminating programmed space, revising material selections and simplifying design features. The reductions to the project scope are continually being considered to manage the costs.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Student Affairs Coke Pouring Rights	\$1,700,000.00	\$0.00				
Development-Student Affairs	\$0.00	\$700,000.00				
General Funds-Student Affairs	\$0.00	\$0.00				
Univ. Bond Proceeds Student Union Fee	\$98,300,000.00	\$99,354,569.00				
President's Office LEED	\$0.00	\$1,500,000.00				
Coke a Cola Contract Coke Pouring Rights	\$0.00	\$945,431.00				
Univ. Bond Proceeds Central	\$0.00	\$10,000,000.00				
Univ. Bond Proceeds Ohio Union	\$0.00	\$2,500,000.00				
<b>Total:</b>	\$100,000,000.00	\$115,000,000.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$100,000,000.00	05/07/2004	06/04/2004	06/04/2004
Constr Mgr Approved by BoT	\$100,000,000.00	05/07/2004	06/04/2004	06/04/2004
DESIGN				
Schematic Design Approval		10/01/2005	04/12/2006	04/21/2006
Construction Document Approval (Abatement - Phase 1)		01/01/2007	08/01/2006	
Design Dev Document Approval		04/01/2006	10/11/2006	
BIDDING				
Bidding Approved BoT (Budget Increase)	\$115,000,000.00	07/07/2006		
CONSTRUCTION				
Construction Start		02/01/2007	02/01/2007	
Completion		06/30/2009	02/04/2010	

### Project Team:

Project Manager: Ruth Miller (miller.2495@osu.edu)

Project Coordinator: Faye Bodyke (bodyke.3@osu.edu)

HEAPY ENGINEERING - Commissioning

MOODY NOLAN LTD INC - Design

MOODY NOLAN LTD INC - Design

SMOOT CONSTRUCTION COMPANY - Construction Management



June 23, 2006

Ms. Jo Ann Davidson  
JAD and Associates, LLC  
37 West Broad Street, Ste. 970  
Columbus, OH 43215

Dear Jo Ann:

The purpose of this letter is to follow up the discussion we had at the June 2 Fiscal Affairs meeting regarding an appropriate site for the Ohio Union. We appreciate the Committee's suggestions regarding the use of private developers and alternative sites, and we did re-examine our plans with that in mind. Although there could be some benefits to the High Street revitalization effort if the Ohio Union were moved to the east side of High Street, there are several significant disadvantages which would make such a change inadvisable. After weighing both options, we feel that it is in the University's best interest to proceed with the project at the current site. Our principal reasons are as follows:

1. The Union site requires about 5.4 acres. The largest site now owned by the University or Campus Partners is 0.8 acres. Acquiring additional land of the needed size would involve the assemblage of several blocks of High Street and would most likely require the use of eminent domain because of the highly fragmented nature of the ownership. Such a land assemblage could also dislocate many local business, present community relations issues, and require a significant investment of time and money (Gateway assemblage consumed 4 years and approximately \$20 million for the 7.5-acre site). Further, based upon the urban design work undertaken to date, a building of the scale of the proposed Union would be very difficult to integrate into the urban fabric east of High Street.
2. Although private developers have a great deal of experience with the development of housing and office facilities, college student unions are specialized facilities designed to support a wide array of programs, events, services, and involvement opportunities complementing the academic experience. Such specialized types of design and construction projects are far less common in the market place and would attract fewer bidders and involve a greater risk to the University.
3. Our legal counsel has advised us that the funding sources, uses, and land ownership of the proposed Union will trigger applicable statutory construction procurement processes, which means the use of multiple prime contractors and the provision of prevailing wage requirements. Such requirements consume a significant portion of the cost advantages offered by a more privatized construction model.
4. The current site is located on major pedestrian and campus bus routes and has unique affinities regarding proximity to residence halls, classrooms, parking, and outdoor programming space (e.g., Mirror Lake Hollow), that likely are not available elsewhere.

It should be noted that the proposed location of the new Union does front onto High Street, and it is expected to draw significant student traffic to the High Street area and to Gateway.

Design efforts have been made to ensure that the new Union will better engage High Street and will help activate pedestrian activity in the area. Programmatic components have been coordinated with Campus Partners.

5. The Ohio Union accounts for 8% of the University's facilities deferred maintenance problem. Delays in moving forward with this project will necessitate additional spending on short term repairs that do not have lasting value for the University.

For these reasons we recommend the project be approved to proceed to construction at the July Board meeting

We believe exploration of developer-built facilities has merit in other situations (for example, we have just completed a very successful office building for University Development), and we will be prepared to discuss those with the Committee at your convenience. We are also working on modifying our site selection process for office, retail and housing projects to incorporate a review of off campus and developer options at the earliest stage in the process.

I hope this is helpful to you. If we can provide any additional information, please let me know.

Sincerely,



William J. Shkurti  
Senior Vice President for Business and Finance

c: Christopher Alvarez-Breckenridge  
Melissa Bellini  
Terry Foegler  
David Frantz  
Karen Hendricks  
Brian Hicks  
Karen Holbrook  
Rich Hollingsworth  
Dimon McFerson  
Wally O'Dell  
Barbara Snyder  
Les Wexner



## Kennedy Commons HVAC Installation

315-2005-902

**Requesting Agency(s):** STUDENT AFFAIRS, OFFICE OF

**Location(s):** Kennedy Commons, June L

**Gross Sq. Ft.** 37,233 **Age:** 1939

### Description:

This project will provide for the installation of a complete air conditioning system to serve the four main dining areas, kitchen areas, offices, dry storage and staff areas.

### Project Information:

Project budget was initially increased to include cost for full extent of program requirements.

Bids received were over the budget estimate, due to material escalation and the current bidding climate. The revised construction estimate includes alternates to extend replacement of the chilled water system that Student Affairs has agreed to fund that were not included in the original estimate.

Student Affairs will fund all additional costs.

### Issues:

**How does this project advance the Academic Plan?** Installation of a comprehensive HVAC system for a commons area will provide building wide cooling and will enhance the quality of the space for faculty, students and staff.

**Outstanding Funding Issues:** None

**Timing Issues:** Construction work causing minimal impact to building occupants will take place between October 2006 and May 2007. Construction that will cause major impact to the building occupants will be completed during summer quarter 2007 when sections of the building will be shut down.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$1,529,082.00	\$2,284,150.00				
<b>Total:</b>	\$1,529,082.00	\$2,284,150.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,529,082.00	03/04/2005		03/04/2005
Arch/Engr Advertisement (Columbus Dispatch)				04/29/2005
DESIGN				
Arch/Engr Contract		10/01/2005	02/01/2006	01/31/2006
Design Dev Document Approval (+ Schematic Design)		02/10/2006		02/10/2006
Construction Document Approval		03/31/2006		03/31/2006
BIDDING				
Bidding Approved BoT (budget increase)	\$1,614,368.00	04/07/2006		04/07/2006
Bid Opening		05/04/2006	06/08/2006	06/08/2006
Bidding Approved BoT (budget increase)	\$2,284,150.00	07/07/2006		
Bid Opening (rebid)		07/20/2006		
CONSTRUCTION				
Award of Contracts		06/30/2006	09/14/2006	
Construction Start		03/01/2006	10/02/2006	
Completion		04/30/2007	08/31/2007	

### Project Team:

Project Manager: Scott Conlon (conlon.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)

PRATER ENGINEERING ASSOCIATES - Design



## Marion Campus - Morrill Hall 1st Floor Rehabilitation

315-2004-920

**Requesting Agency(s):** MARION CAMPUS

**Location(s):** Morrill Hall, James Lewis

**Gross Sq. Ft.** 73,528 **Age:** 1968

### Description:

This project will renovate approximately 8,043 assignable square feet from administrative offices and office support spaces into faculty offices, office support space, classrooms and a new student lounge. The project will also upgrade current restrooms and elevator to meet ADA requirements.

### Project Information:

The project budget increased during design to include a new student lounge and to make significant upgrades to the MEP systems. Marion Campus has provided additional funding for all budget increases.

### Issues:

**How does this project advance the Academic Plan?** This project will add needed learning space, provide enhanced student interaction space and improve the environmental quality of the building for faculty and students.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Marion	\$30,000.00	\$468,441.00				
Future Capital Appropriations	\$603,127.00	\$0.00				
HB675 Line Item Cap-427 & Cap-149	\$805,742.00	\$805,742.00				
HB16 Line Item Appropriation	\$0.00	\$603,137.00				
HB16 Marion Basic Renovation	\$0.00	\$165,000.00				
<b>Total:</b>	<b>\$1,438,869.00</b>	<b>\$2,042,320.00</b>				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Advertisement (Ohio Register #138)		03/01/2005		02/01/2005
Arch/Engr Approved by BoT	\$1,438,869.00	02/04/2005		02/04/2005
DESIGN				
Arch/Engr Contract		06/01/2005	10/12/2005	09/19/2005
Design Dev Document Approval (Includes SD)		03/01/2006		03/01/2006
Construction Document Approval		05/02/2006	06/23/2006	
BIDDING				
Bidding Approved BoT	\$2,042,320.00	07/07/2006		
Bid Opening		08/08/2006		
CONSTRUCTION				
Award of Contracts		10/30/2006		
Construction Start		01/02/2006	11/01/2006	
Completion		08/31/2006	08/17/2007	

### Project Team:

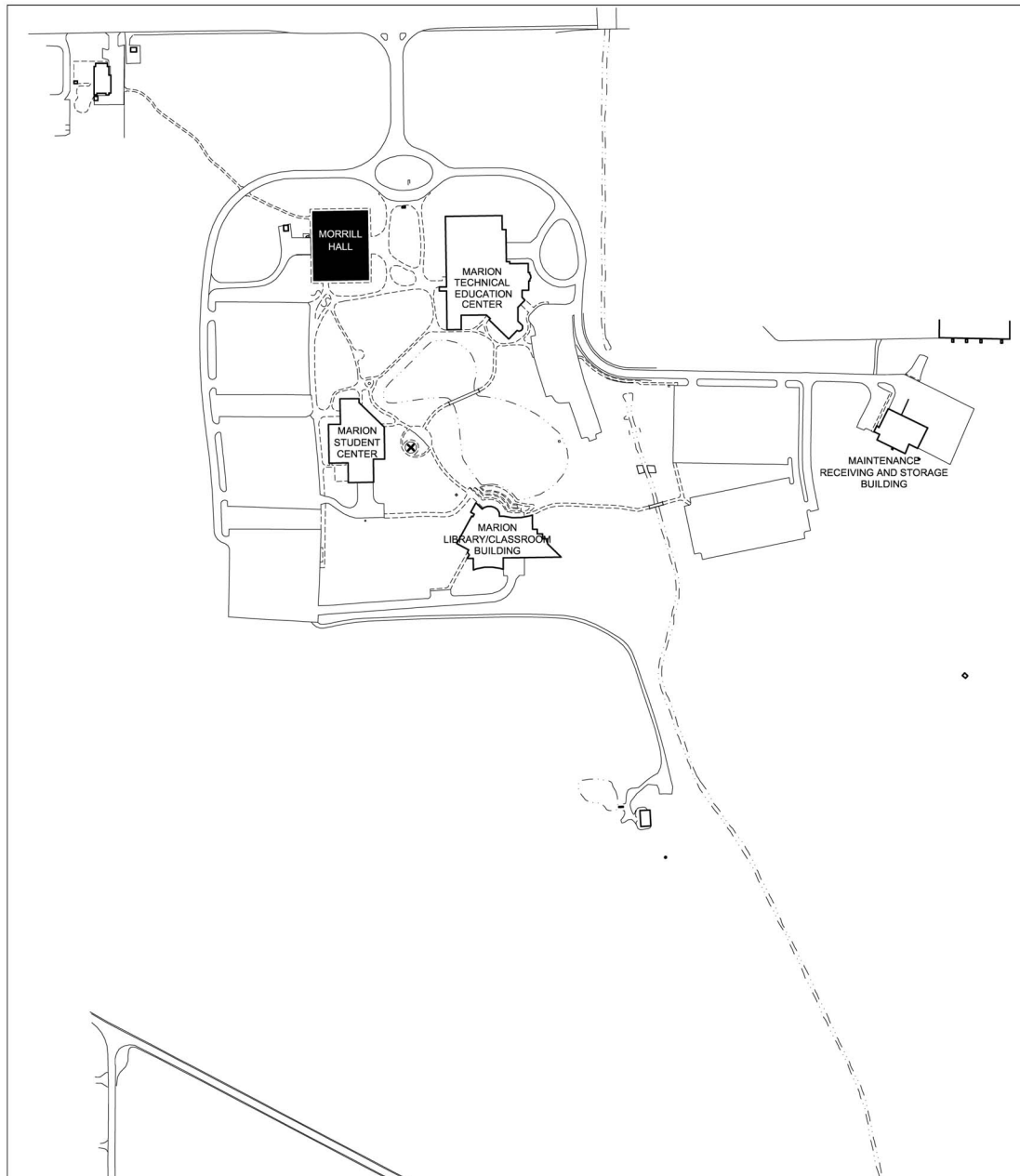
Project Manager: Margaret Murphy (murphy.641@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

STEED HAMMOND PAUL INC - Design

## Marion Campus Projects

- Morrill Hall 1st Floor Rehabilitation



Office of Business and Finance / Board of Trustees Meeting

July 7, 2006





**PURCHASE OF REAL PROPERTY**

1615 HIGHLAND STREET  
COLUMBUS, OHIO 43201

Background

Location and Description

The University has been presented the opportunity to purchase improved real estate located at 1615 Highland Street, Columbus, Ohio 43201. The property, owned by C&A Properties and Renovations LLC, is located on the west side of Highland Street between 10th and 11th Avenues, is in the South Campus Acquisition Area, and is adjacent to other properties owned by the University. The subject property contains approximately 0.164 acres, improved with a three-story apartment building, containing nine one-bedroom units, and 12 off-street parking spaces. Because of the close proximity to campus buildings and classrooms, the property has experienced minimal vacancy.

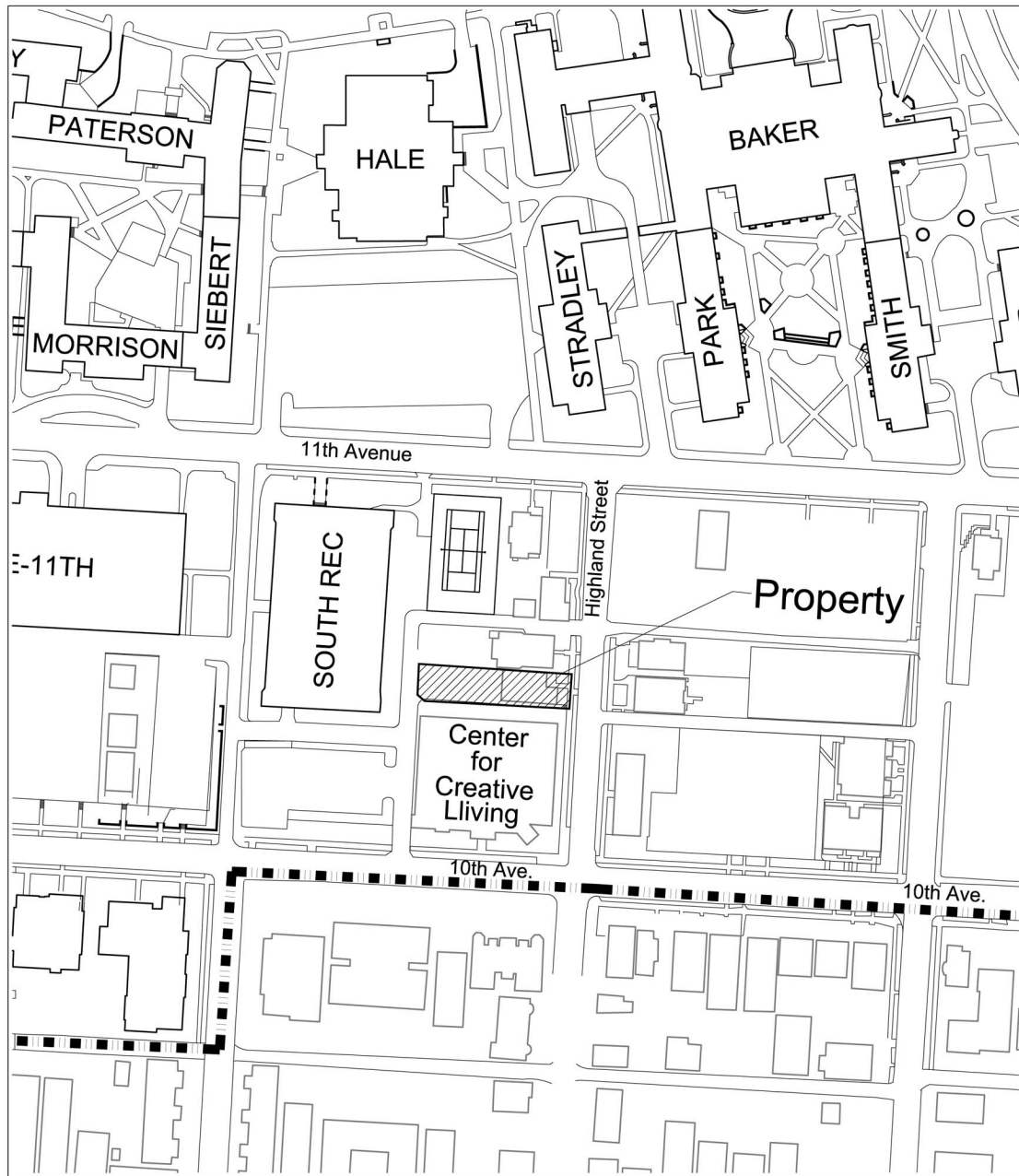
Appraisals and Purchase Price



MAI appraisals conducted in May and June 2006 by Ralph Berger & Associates and Arnold & Associates value the property at \$375,000.00 - \$385,000.00. The negotiated purchase price for the property is \$380,000.00.

Use of the Property

In the near term, the property would continue to be operated as student housing while a thorough assessment of University needs can be completed.

Purchase of Real Property 1615 Highland Street



-  Proposed Purchase
-  South Campus Aquisition Area Boundry

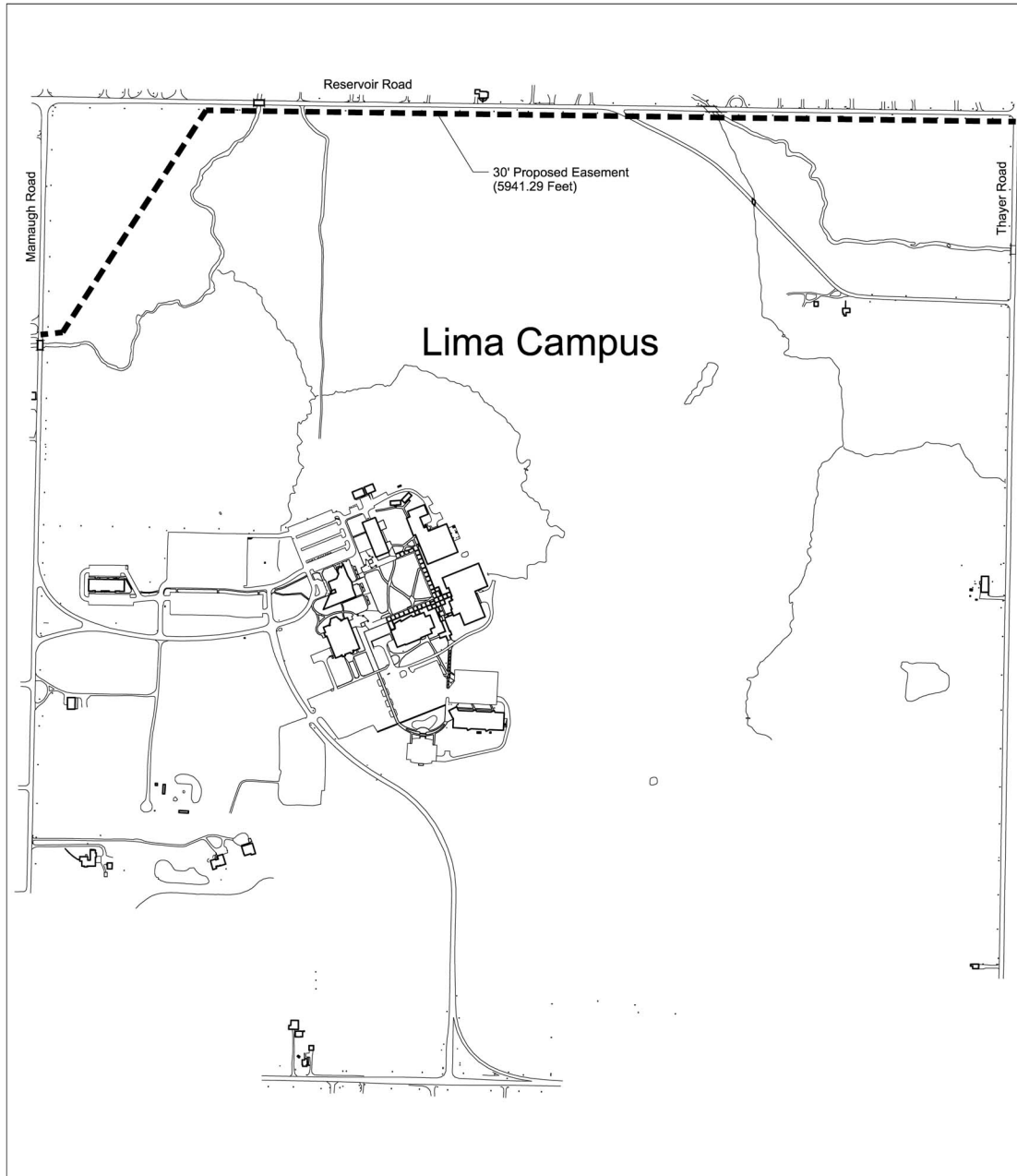
Office of Business and Finance / Board of Trustees Meeting

July 7, 2006



## Easement City of Lima, Ohio - Lima Campus

- 30' Easement between Mamaugh Road and Thayer Road, along Reservoir Road, Lima Ohio



Office of Business and Finance / Board of Trustees Meeting

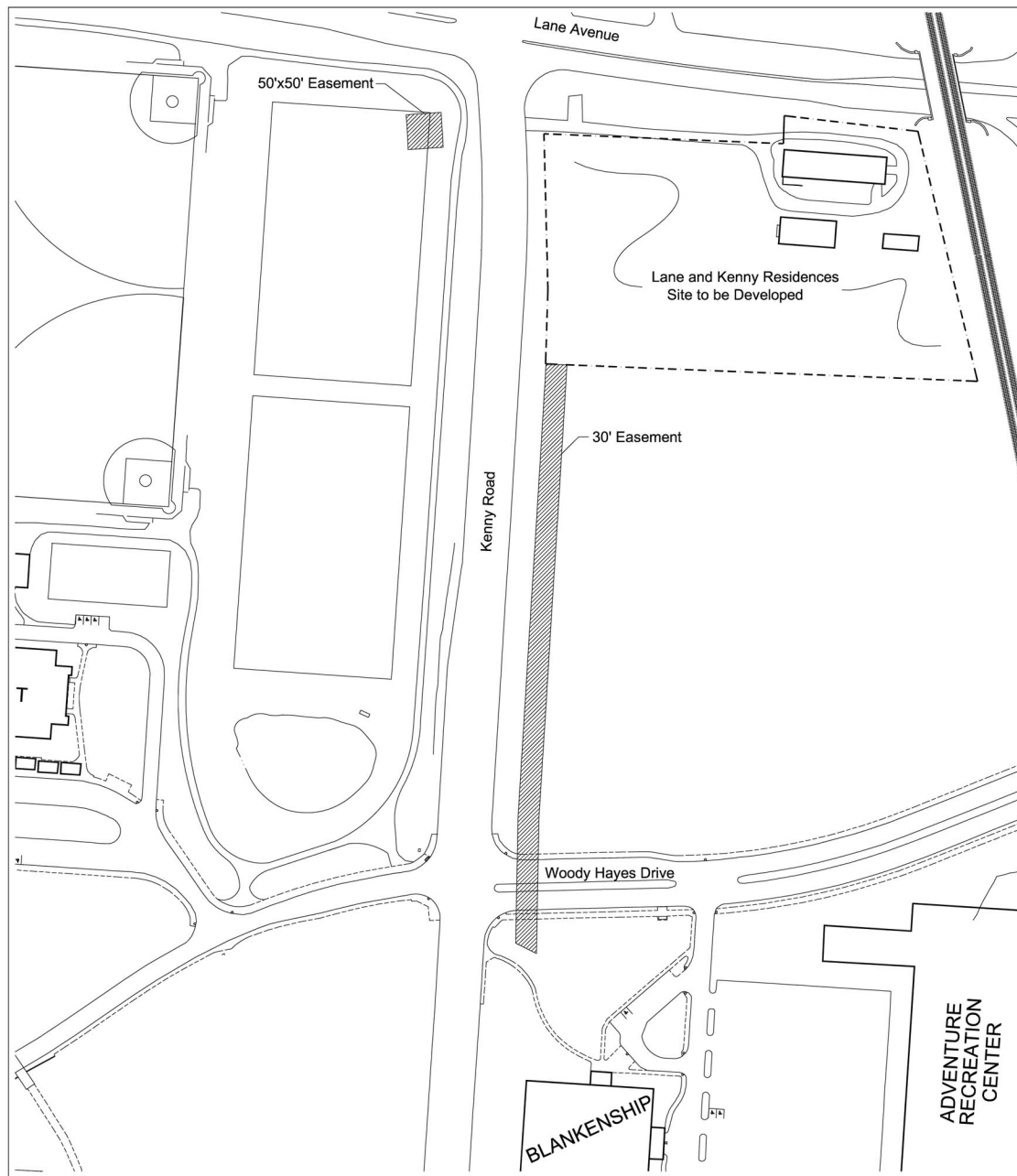
July 7, 2006





### Temporary Easements Lane and Kenny LLC - Columbus

- Temporary easement 30' wide along east side of Kenny Rd and crossing Woodruff Ave for installation of sewer line.
- Temporary easement 50'x50' on west side of Kenny Rd. at Lane Ave for installation of water line.



Office of Business and Finance / Board of Trustees Meeting

July 7, 2006





**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES  
FISCAL AFFAIRS COMMITTEE**

**JULY 7, 2006**

**Topic:**

**Parking Plan for the Medical Center**

**Context:**

As part of the Medical Center master planning process, a plan has been developed to meet parking needs in the Medical Center, consistent with the University's overall parking and facilities master plan.

This plan needs to move expeditiously in order to address growing capacity problems in the Medical Center.

**Recommendations:**

The parking plan is attached (Attachment A). It includes three new garages and use of an existing remote surface lot.

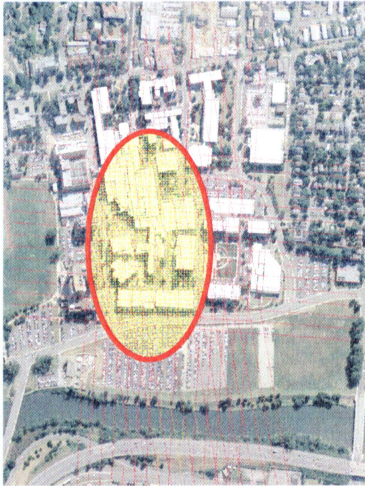
Business and Finance recommends approval of the sites indicated (Attachment B), contingent on the resolution of a series of issues (Attachment C).

**Considerations:**

- Is this consistent with University and Medical Center facility plans?
- Have the appropriate issues been raised? How can these issues best be addressed?
- Are the siting recommendations appropriate?
- What is the financial impact on the Medical Center and the University?
- Who else should be consulted?

**Requested of the Fiscal Affairs Committee:**


Recommend approval of the Plan, including the siting of the facilities noted, to the Board of Trustees. Approval would be contingent on the resolution of outstanding issues outlined in Attachment C of this document, as determined by the Senior Vice President for Business and Finance and the Senior Vice President for Health Sciences, in consultation with the Chair of the Fiscal Affairs Committee and that they report on resolution of these issues at the September meeting of the Committee.



... The Power to Change Lives ....

**OHIO STATE UNIVERSITY**  
**HEALTH SCIENCES CENTER**

Presentation for the Presidents Cabinet  
**South Campus - Master Plan Implementation**  
**Parking and Siting Strategy Update - June 2006**



1. Program  
2. Counts Today – Capacity  
3. Demand Phase 1  
4. Strategy

**Parking Strategy – June, 2006**



**Phase I Clinical Expansion**

**4.1.5 Phase I Clinical Expansion**  
The Phase I Clinical expansion will proceed upon completion of the Moans renovation and the Spirit of Women's park garage.  
The Phase I Clinical Expansion will comprise the following:

1. Reconfiguration of westward expansion real estate into a new Outpatient access zone west of Ross/Rhodes. The zone will accommodate the primary entrance – one for General and one for Cancer Center – and will include a distinct adjacent entrance for the James Cancer Center. In later phases, this access zone will be expanded to include the new Cancer Center entrance and will include access to the Ross Heart Hospital atrium from the west.
2. Creation of an Ambulatory/Diagnostic and Treatment building to allow contiguous westward expansion of the second and third floors of Ross and Rhodes with two stories of dedicated Ambulatory Clinics below. Clear Patient and Physician entrances will be provided to the new building and entrances with the corresponding circulation routes in Rhodes and Rhodes.
3. Creation of a new North/South connection between Ross of Tower 12<sup>th</sup> and 5<sup>th</sup> Ave. This connection corridor will allow relocation of the Emergency Department walk-in entrance un-


Use the DAT Pivotal and will facilitate vehicular access to the new entrance zone from the south and north and from the Ambulatory Clinic. The addition of 272,000 sq ft in a 13-story tower above the DAT building. Building demolished South Campus Parking Garage. Means Hall MR.

**Total New Construction (GSE):**  
Diagnosis & Treatment: 343,000  
Ambulatory & Outpatient: 150,000  
Patient: 251,000  
Total: 744,000  
\*includes 51,000 SF Ross Expansion

Figure 4-1-5

**MAST PLAN IN PHASE**

Clinical/Research Expansion



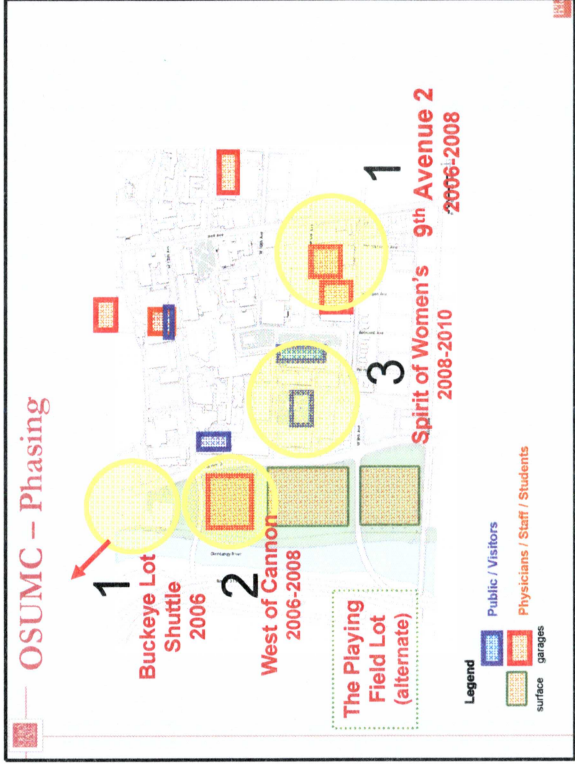
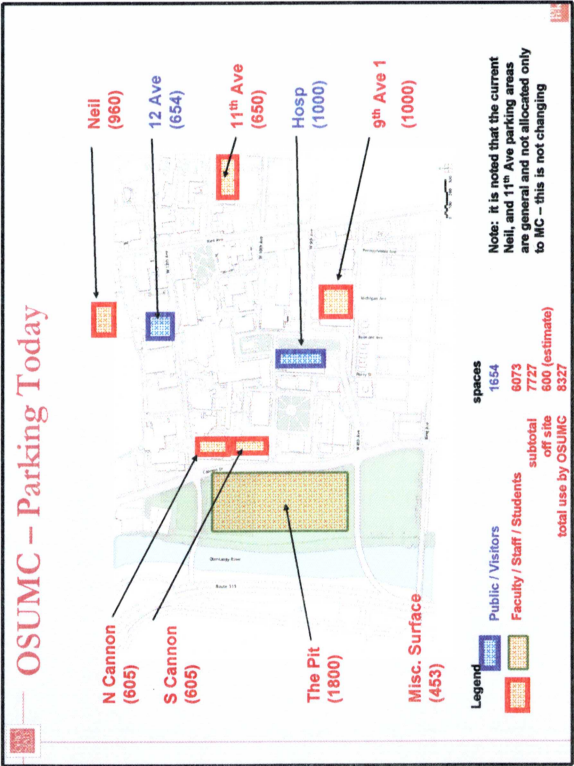
**Parking for OSUMC**

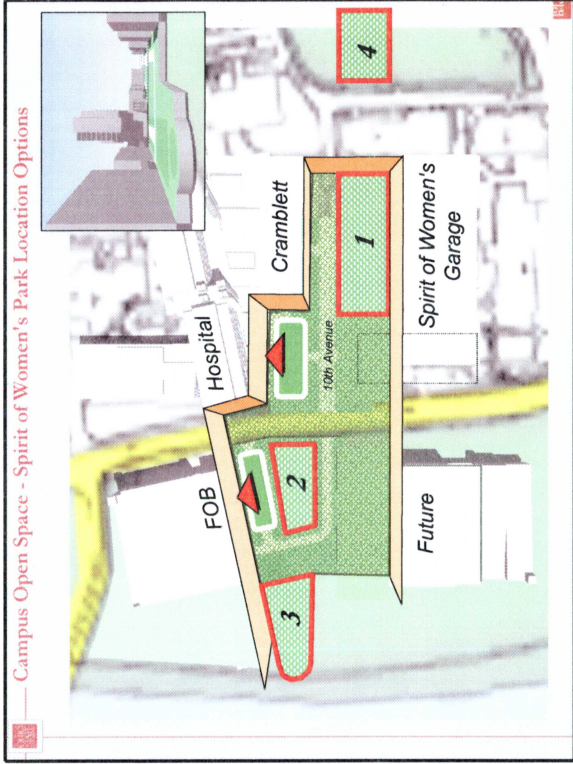
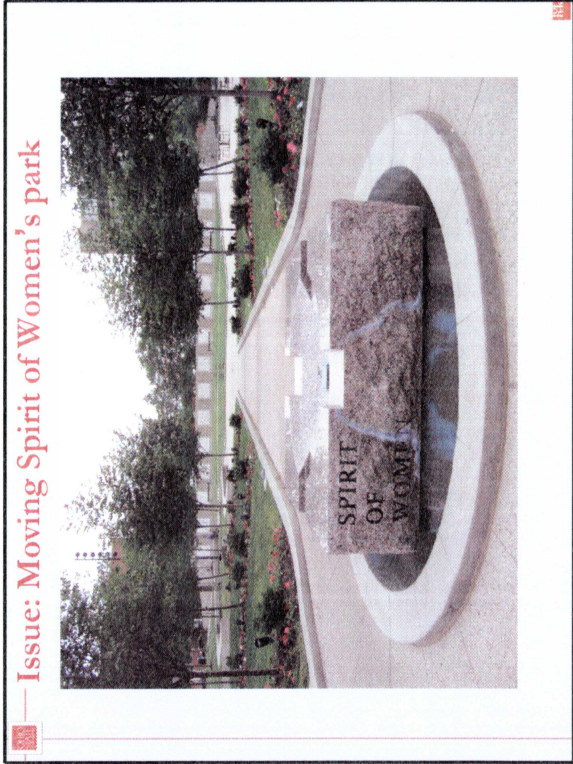
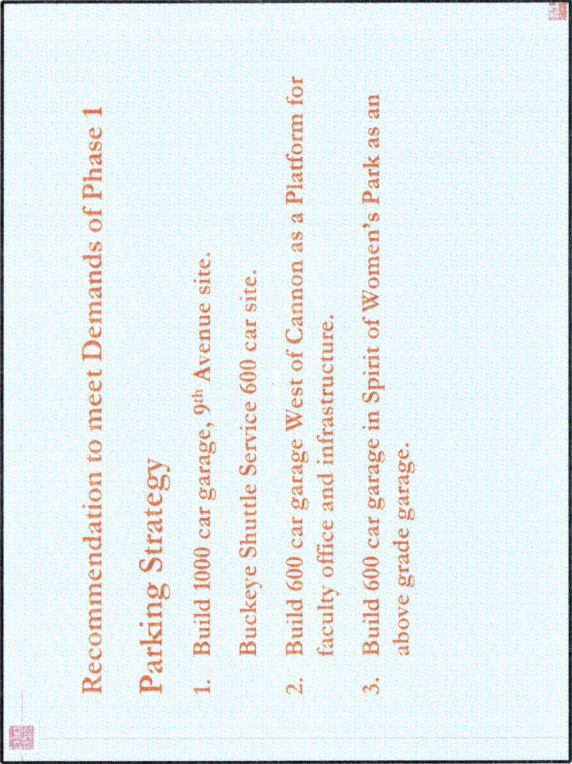
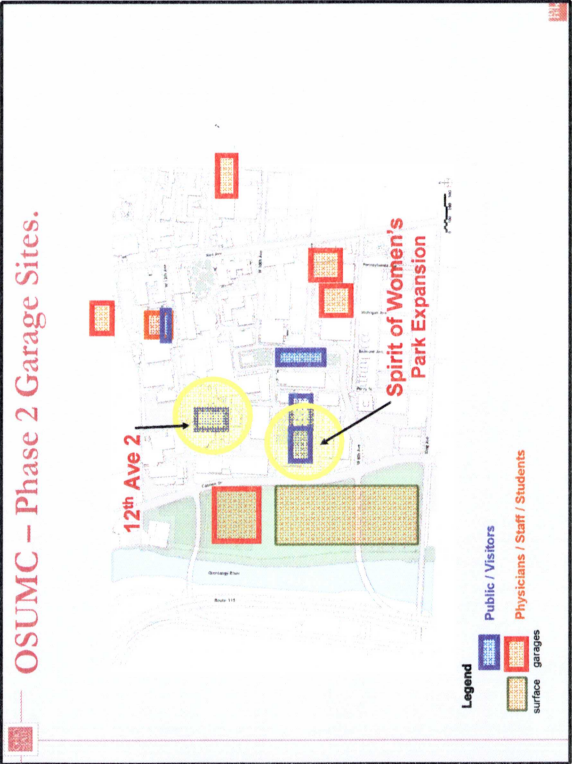
**Program**

1. Meet Demand of OSUMC end of phase 1
2. Shift assignment of spaces based on bedrock principles of customer first
3. Retain long term vision, Campus and Medical Center.

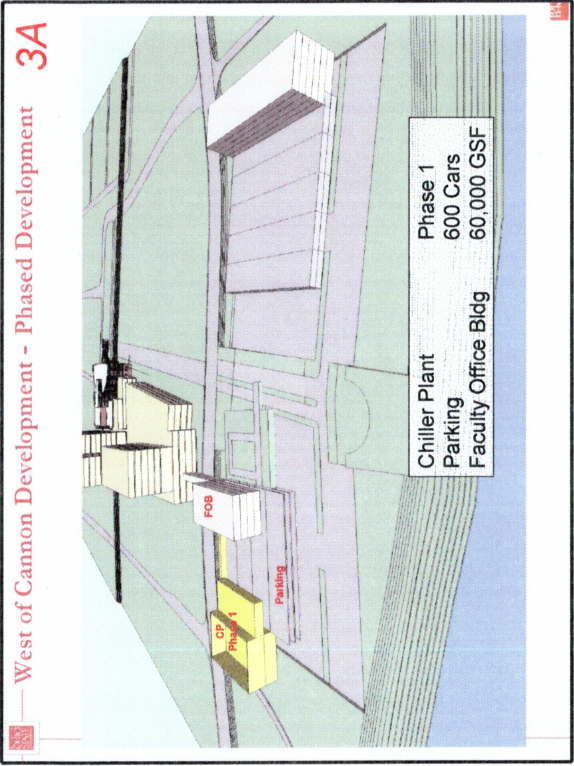
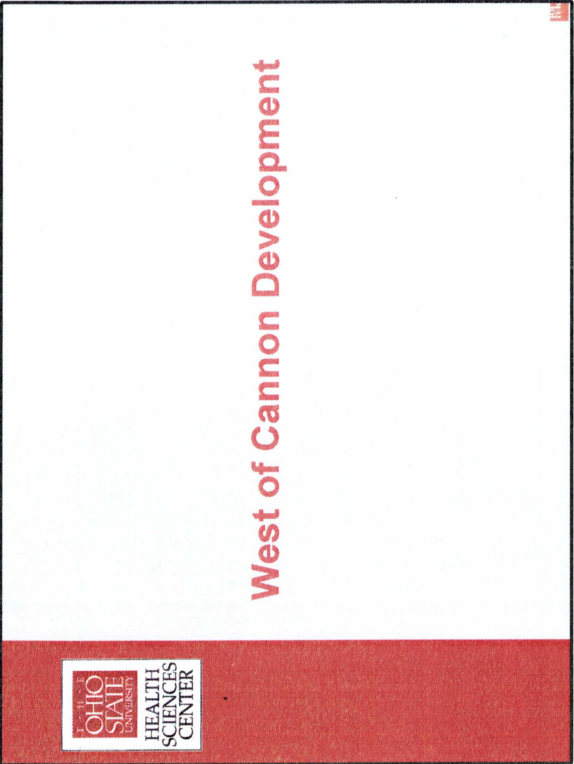
**Parking Strategy – June, 2006**













Planning and Real Estate  
53 West 11<sup>th</sup> Avenue  
Columbus, OH 43201

Phone (614) 688-3715  
Fax (614) 292-4824

# Memo

Date: June 9, 2006  
To: Bill Shkurti  
From: Laura Shinn  
Subject: Medical Center Master Plan Phase I Implementation  
Parking Plan Garage Site Recommendations

## Facilities To Be Sited

The implementation of phase I of the Medical Center Master Plan will create an additional parking demand of 1689 spaces. The phase I parking plan proposes to provide these spaces in three garages and a remote surface lot with a shuttle. In addition, a total of 1089 spaces will be lost through replacement of parking with other structures, and the replacement of surface lots with parking structures. The chart below summarizes the increased demand, projected loss of parking and the proposed new spaces:

	Patient/visitor	Faculty/staff/student	Total
Increased Demand	544	1145	1689
Parking Lost	0	1089	1089
Total Need	544	2234	2778
Added Parking	600	2200	2800

## Significant Criteria

The Medical Center Facilities Master Plan, approved by the Board of Trustees in September of 2005, identified seven possible sites for parking garages, and one site for surface parking (see attached map). These sites were identified through a collaborative planning process, which began with a review of parking garage sites recommended in other master plans, and were evaluated based on two primary criteria:

- Provide patient/visitor parking in central locations, proximate to clinical facilities, and faculty/staff/student parking in peripheral or remote shuttle locations.
- Site additional parking supply, especially patient/visitor parking, where it can be constructed before construction of the facilities begin, in order to minimize disruption of patient/visitor access during construction.

Thus, the primary criteria for siting the phase I garages include:

1. Proximity to inpatient and outpatient facilities for patient/visitor parking

Sites 1, 5, and 8 meet this criteria. Site 8 is located the most proximate to the new center of clinical activity and will be used for patient/visitor parking. Sites 1 and 5 are more peripheral and will be used for staff parking, freeing up more proximate existing garages, including the North Cannon and 12<sup>th</sup> Avenue garages for patient/visitor use. Additional faculty/staff/student parking will be provided at the remote Buckeye lots with a shuttle.

## 2. Availability of site for immediate use

Sites 1 and 5 are the most immediately available. These sites do not require demolition of existing structures and their current use is parking which can be offset in the new garages.

The main availability issue with site 8 is identifying a replacement location for the Spirit of Women Park. The planning team has identified four potential sites which are all consistent with and have the potential to enhance the Medical Center Master Plan urban design strategy. These four sites will be discussed with the donors, and a replacement site will be selected.

The remote Buckeye shuttle lot is immediately available and has excess capacity.

Additional criteria include:

1. Conformance with various master plans, including the South Campus District Plan (SCDP), the 1998 Transportation and Parking Master Plan (T&P Plan), and the Medical Center Facilities Master Plan (MCFMP).
  - Site #1 is identified as a potential parking structure/deck site in the SCDP, the T&P plan, and the MCFMP.
  - Site #5 is identified in the SCDP and the MCFMP.
  - Site #8 is identified in the SCDP and the MCFMP.
  - The only other sites identified in the SCDP were #2, and #6.
  - The only other site identified in the T&P Plan was site #2
  - All 8 sites were identified in the MCFMP
2. Lack of competing uses for the sites

A competing use for site 8 is discussed above under availability, and is being addressed.

Potential competing uses for site 1 include its possible use for a utility plant and faculty and medical office buildings. Site 1 has capacity for a Utility plant and two office buildings located above or adjacent to a parking deck.

Sites 2 and 6 do not have significant competing uses, but are remote from Phase 1 development. Competing uses for other sites include: an existing building for site 3, and a recently landscaped green space and entry to the current hospital entrance for site 4.

## Recommendation

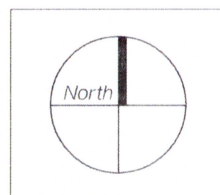
Because sites 1, 5, and 8 best meet the primary criteria for locating phase I garages, and because availability can be managed, Approval of garages on the following sites:

- 1000 car faculty/staff/student garage on Site 5 (9<sup>th</sup> Avenue garage expansion)
- 600 car faculty/staff/student garage on Site 1 (West of Cannon North)
- 600 car patient/visitor garage (phase I) on Site 8 (Spirit of Women Park)

Because it provides a potentially expedient solution, approval of 600 faculty/staff/student spaces at the remote Buckeye Lot with a shuttle.



# Medical Center Master Plan - Potential Garage/Parking Sites



Office of Business and Finance  
Facilities Operations and Development

June 7, 2006

**Business and Finance**

108 Bricker Hall  
190 North Oval Mall  
Columbus, OH 43210-1362

Phone (614) 292-7970  
Fax (614) 292-2820

June 9, 2006

Fred Sanfilippo  
Senior Vice President for Health Sciences  
Dean, College of Medicine  
200A Meiling Hall  
370 W. 9<sup>th</sup>  
CAMPUS

Dear Fred:

The purpose of this memo is to follow-up our previous conversations regarding OSUMC's proposal to bring forward a parking strategy for the Medical Center. I received the parking plan from Eric Kunz on Friday, May 26, and Kathy Dillow and I met with Eric and John Stone on May 30. Eric and John promised to get me the financial back-up materials for the overall financing information before the discussion at the June 14<sup>th</sup> President's Cabinet.

Based on the assumption that my office receives that material as planned, we have agreed on the following process:

1. The Plan will be presented to President's Cabinet for approval on June 14. Eric's group should work with Laura Shinn to make sure the materials for the meeting are ready by June 9<sup>th</sup>.
2. Assuming no major issues arise from President's Cabinet, we would take the Parking Plan to the OSU Board on July 7.

My staff and I understand the need to move expeditiously. We also understand the need to have an appropriate level of due diligence so that we aren't surprised later and suffer unneeded delays.

Consequently, it is very important to get all the issues out on the table early and share a commitment to resolving them as part of this process. Listed below are the issues we think are significant and need resolution for Phase 1 of the parking plan. Phase 2 will need to be evaluated as details become available from OSUMC.

1. Siting – the plan identified three new garages, plus additional surface parking. Comments relative to these recommended locations follow.
  - a. One of the recommended garage sites is the Spirit of Women Park. It is our understanding your staff will take the lead in working with the donors and other interested stakeholders in finding an acceptable alternative location for the park and that OSUMC will bear the costs of that relocation.
  - b. Another garage site is on the flood plain. This will likely entail additional costs for construction and utilities. It is my understanding OSUMC will bear these costs.

- c. The proposed surface lot is currently a playing field for intramural sports. It is our understanding OSUMC will either reimburse Student Affairs to relocate this field, or if an acceptable agreement can not be reached, OSUMC will reimburse Transportation and Parking Services to bus employees from remote parking.
2. Financing – the Phase I plan call for three new garages and a surface lot. It is my understanding that Transportation and Parking will fund the 9<sup>th</sup> Avenue Garage. The Medical Center will finance the west of Cannon garage, the Spirit of Women Park garage and the surface lot on the intramural fields. Funding source needs to be identified. It is also my understanding the Medical Center will assume the debt service payments for the 9<sup>th</sup> Avenue Garage prior to FY 2011 in order to accelerate the construction time line. This financial agreement needs to be confirmed in writing in the form of a signed MOU between the Medical Center and the University.
3. Alternative Means of Construction – it is my understanding the Medical Center would like to pursue alternative means of construction, such as design build and private developer. While I agree that current requirements of Ohio law are unnecessarily restrictive and time consuming, they are the requirements of the law. This issue has been reviewed numerous times by OSU legal counsel, as well as outside legal counsel and alternative means of construction cannot be supported at this time. For clarification, a meeting is being arranged by my office with Legal Affairs and Eric so Legal Affairs can clarify their position on developer/design builds on University land.
4. Congestion and Traffic Plan – we share your concerns about making sure this unprecedented level of construction does not adversely affect patient volume and the daily operations of the Medical Center and the Columbus Campus. Since your time line calls for much of the construction to occur simultaneously, mitigating the impact will be a challenge. Consequently, we will need agreement on a traffic mitigation plan before going to construction. We also need written agreement that all mitigation costs for the construction, funding for traffic control personnel for peak hours and shift changes in the medical center area, as well as handling new traffic volume for planned growth will be paid by OSUMC.
5. Parking System – for access and parking to run efficiently on a crowded campus, there needs to be one centralized system. Consequently, all parking garages/structures/lots and shuttle services from remote parking locations need to be under the management of Transportation and Parking, regardless of the funding source.
6. Strategic Alignment – we need to make sure the Facilities Master Plan, Parking Plan and Infrastructure Plan are all in alignment. For example, we cannot afford to tear up streets to install utility lines after we have built garages. We also need to better understand how these commitments fit within the Medical Center's five-year financial plan and overall debt ceiling approved by the OSU Board of Trustees in September 2005. We need this confirmed in writing, after which time we can agree to support the final and approved target schedule for the master plan. In order to do this effectively we also need reconfirmation of the Phase 1 and Phase 2 projects. Alignment with the infrastructure master plan cannot be completed until a revised and final schedule with listed projects and anticipated schedules is validated.



As I indicated in my original letter to you dated November 1, 2005, it is my understanding the OSUMC Master Plan has allocated approximately \$65 million dollars to meet its chilled water, steam, electric, water/sewer and general utility requirements. Alignment of the Medical Center expansion needs and that of the central campus will need to be reconciled prior to moving forward. Alterations to the infrastructure that do not match the general university plan or funding schedule, but are necessary to support the Medical Center's aggressive schedule, will be the financial responsibility of the Medical Center. All utility infrastructures will be managed by the University's Facilities Operations and Development (FOD) organization. FOD will work with the Medical Center to develop service level agreements outlining responsibilities related to infrastructure components.

I hope that articulating these issues is useful in addressing our needs for moving this project forward. It would be most helpful to us if we could have a written response by no later than June 14, in order to allow us enough time to make sure these issues are addressed prior to asking Board approval in July. If this information is in order, we will recommend approval, including moving forward with design. If most of it is in order, with a handful of issues still to be resolved, we will recommend conditional approval.

If you have any questions or would like additional information, please feel free to contact me. My staff and I look forward to working with you and your staff to make this a successful project.

Sincerely,



William J. Shkurti  
Senior Vice President for Business and Finance

c:     Melissa Bellini  
       Helen DeSantis  
       Kathy Dillow  
       Pete Geier  
       Eric Kunz  
       Al Rodack  
       John Stone

**Attachment:** November 1, 2005 Letter regarding Medical Center Master Plan and required steps.



## Business and Finance

108 Bricker Hall  
190 North Oval Mall  
Columbus, OH 43210-1362

Phone (614) 292-7970  
Fax (614) 292-2820

November 1, 2005

Dr. Fred Sanfilippo  
Senior Vice President, Health Sciences  
Dean, College of Medicine and Public Health  
200A Meiling Hall  
370 W. 9th Avenue  
CAMPUS

Dear Fred:

The purpose of this memo is to formalize approval of the Medical Center Master Plan and to define next steps. My staff and I have reviewed the plan and related materials and support its adoption. This includes moving the center of gravity of the Medical Center westward and identifying the zones indicated on the attached map.

I want to compliment you and your staff on having both the foresight and energy to integrate program and facility needs in a strategic way for the first time in the history of the Medical Center. Having said that, it is important to identify remaining issues that need to be addressed before the plan can be considered complete. These are:

1. Utilities – it is my understanding that the plan allocates approximately \$65 million to meet utility infrastructure needs such as chilled water, steam, electric substation and distribution and water/sewer upgrades. While this should go a long way in meeting these needs, there are still some unresolved issues. For example, electrical use is currently at capacity; therefore, over the short run, sufficient power exists only to support replacement space, not additional space. Additional capacity can be added, but this is a lengthy process that can take from 5-10 years. This will need to be addressed in establishing a construction schedule.

Moving west means more key facilities will be in the flood plain. The experience with Hurricane Katrina demonstrates how important it is to provide uninterruptible utility service. While water, power and communications lines can be hardened to protect against flooding and other threats, this can add significant costs in form of time and money. These costs will need to be determined as part of the planning process.

Facilities operations and Development has hired the consulting firm of Woolpert, LLC to assist in determining utility needs and costs. A preliminary report should be ready by this month and will provide additional information regarding actual costs that will need to be incorporated into our plans. The final report is due around February/March 2006.

2. Parking - the master plan calls for substantial additional parking on South Campus to support the growth in patients, faculty and staff projected by 2014. The current inventory of 7,150 spaces is expected to increase to 9,600 in phase I and to 11,400 in phase II. The master plan also assumes that 1400 existing permit holders will be moved to remote parking. Funding for bus service to/from this Park 'n Ride will need to be identified. The proposed budget allocates \$29.2 million to replace the existing South Cannon Garage which will need to be demolished as part of the plan. However, I have not seen a parking plan or budget to address any current deficits or additional demands. During the next six months, our staff will need to work together to finalize the Medical Center's parking plan, mitigation measures and supporting

financial structure and incorporate it into the project implementation schedule. Assumptions relating to traffic must be tested against the University's traffic model. If the model shows failing traffic flow, alternatives must be developed to reach an acceptable level.

3. Public Safety – The project planning team will be required to work closely with the University's Public Safety and Environmental Health and Safety offices to ensure that all projects are in compliance with safety standards both during and post construction. Project budgets must include the appropriate allocations to support compliance funding. Continued cooperation between University Public Safety and Hospital Security will be important in being successful in this mission.
4. Financial Plan – one of the benefits of a comprehensive planning process is to make sure aspirations and resources are in alignment. It is my understanding that John Stone has completed the six-year financial plan for the Health System. This was a formidable undertaking, and the plan will need to be updated periodically, but making sure the financial plan is in line with the master plan will be important as we move forward in this process.

I have previously shared with John my projections on available debt capacity. Once I have had a chance to review the financial plan, John and I will confirm the debt projections and business plan are in alignment. In addition, it is important to remember the debt service allocations approved by the Board of Trustees as part of the capital plan assume particle therapy and other UMC Partners projects will not be counted by the rating agencies against OSU's debt capacity. If for any reason this should turn out not to be the case and these projects do count, all or in part, then the approved projects will have to be reduced or delayed to accommodate these debt capacity limits.

5. Academic Facilities - although the plan does a fairly good job of laying out clinical needs over the next 10-15 years, the Medical Center's goals regarding teaching and research facilities is less clear, as well as the facilities related to the other health sciences.

I understand that your staff has been working with the Colleges on a preliminary draft of a plan for the Academic/Health Sciences and research. This plan will also need to be incorporated into the infrastructure plan before it can be completed. Funding of the academic initiatives will need to be addressed through the University's Capital Process.

6. Approval of Individual Projects – although approval of the plan indicates university support for the overall plan, individual projects must still go to the University and the Board of Trustees for approval to begin individual, project level design and again to begin construction. Before individual projects can proceed to design information needs to be presented in a general form as to how related infrastructure and finance issues will be addressed. Before a project is approved to proceed to construction, all major infrastructure and financial issues need to be fully addressed. The master plan will help guide answers to these questions, but is not a substitute for the detailed follow-up planning.
7. Changes and Modifications – I recognize that the Medical Center operates in a highly competitive and very dynamic environment. Therefore, it will be necessary to modify these plans as conditions require, especially in the out years. The subdivision of the plan and the related projects into phases was designed to provide flexibility.

I also understand the nature of competitive markets requires a response time that is less than the norm for large comprehensive research institutions. My staff and I are willing to assist you and your staff in moving expeditiously. However, our ability to assist you is, in part, dependent on a clear and consistent set of expectations from the Medical Center, especially regarding the first two years of the approved plan.

Now that the University's 2007-2012 capital plan has been formally approved by the Board of Trustees, projects identified in that plan for FY 2006 and FY 2007 should move relatively quickly. Projects not on that list, or projects that are significantly modified, will require additional time to process and most likely will require two readings before Board approval will be granted.

I am pleased that our offices were able to work together in bringing this phase of the Master Planning process to a successful conclusion. I look forward to working with you to continue to advance the aspirations of the OSU Medical Center that will mean so much to the University and the citizens of Ohio.

Sincerely,



William J. Shkurti  
Senior Vice President for Business and Finance

Attachment

c. Karen Holbrook  
Melissa Bellini  
Kathy Dillow  
David Frantz  
Peter Geier  
Robert Haverkamp  
Eric Kunz  
Barbara Snyder  
John Stone

**BOARD OF TRUSTEES**

**JULY 7, 2006**

**NONSMOKING POLICY AND PROCEDURE**

**ITEM:**

Revision of the University Nonsmoking Policy and Procedure. The policy was last revised and adopted by the Board of Trustees on May 5, 2006.

**APPLIES TO:**

University faculty, staff, students, and visitors.

**CONTEXT:**

As a result of the Medical Center's decision to go tobacco-free, other contiguous Health Sciences colleges and Biological Sciences are requesting to go tobacco-free. The change would expand the geographic boundaries of the policy change to include the grounds of Aronoff Laboratory, Biological Sciences Building, Biological Sciences Greenhouse, Fry Hall, General Biology Annex, Jennings Hall, Newton Hall, Parks Hall, Postle Hall, Riffe Building, and Starling Loving Hall. The policy establishes a tobacco-free zone within the University and as such is defined geographically rather than organizationally. The colleges may have facilities located elsewhere on campus or in the state, which will not be affected by this policy change. Faculty, staff, students, patients, customers, visitors, etc., are all subject to the policy within the tobacco-free areas, regardless of affiliation or status. Other University colleges or departments may have personnel or operations located within the tobacco-free areas, and as such are subject to the policy. The desire is that the change be effective upon approval by the Board on July 7, 2006.

**OVERVIEW OF THE REVIEW AND REVISION PROCESS:**

Faculty and staff in each college have voted to go tobacco free. President's Cabinet approved the change.

**NEXT STEPS:**

Distribute Policy and Procedure widely to faculty, staff, and students. Make tobacco cessation programs available for all University employees.

**FOR MORE INFORMATION CONTACT:**

Larry M. Lewellen, Associate Vice President for Human Resources  
Lewellen.1@osu.edu  
292-4164

Eunice Hornsby, Project Manager & HR Policy Coordinator, Office of Human Resources  
Hornsby.1@osu.edu  
292-2800

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Applies to: Faculty, staff, student, and visitors

**Policy**

Issued: 07/01/1987

Revised: 07/07/2006

The University recognizes the need to create and maintain an environmental quality that sustains and enhances the general health and well-being of its faculty, staff, students, and visitors. To meet this commitment, smoking and the use of tobacco products is limited, and no smoking is permitted in any indoor area as designated in the guidelines. Consistent with the spirit and intent of this policy, should irreconcilable conflicts arise between individuals who choose to smoke and those who do not, the rights of nonsmokers will prevail.

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**POLICY GUIDELINES**

**I. Smoking Restrictions**

Smoking is not permitted in any indoor area.

**II. Tobacco-Free Zone**

Smoking or the use of other tobacco products is prohibited anywhere in the OSU Medical Center, Aronoff Laboratory, Biological Sciences Building, Biological Sciences Greenhouse, Fry Hall, General Biology Annex, Jennings Hall, Newton Hall, Parks Hall, Postle Hall, Riffe Building, and Starling Loving Hall. This includes inside and outside of buildings and in parking areas, except where specifically designated as smoking areas. For specific geographical boundaries, see the Tobacco-Free Zone Map ([include hot link](#)).

To protect the health and safety of our patients, faculty, staff, students, and visitors, all OSU Medical Center locations are tobacco-free. In addition to the main Medical Center campus, the Medical Center includes locations such as University Hospital East, primary care sites, the OSU Martha Morehouse Plaza, Ackerman Road Facility, Polaris Research Facility, and all others.

**III. Exceptions**

The only exception to this policy is specifically designated private residential space within University residence halls, apartments, hotels, and University-leased residential facilities. Any interior areas authorized for smoking must be so designated. Areas that are designated as smoking areas will be clearly marked with "Smoking Permitted" signs.

**IV. Smoking Areas**

Smoking is permitted outdoors, except in outdoor facilities such as the stadium, concession areas, amphitheaters, and other locations of fixed seating and in the outdoor areas of the Tobacco-Free Zone as

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Applies to: Faculty, staff, student, and visitors

referenced in Section II above. Where smoking is allowed, smokers who choose to smoke outside are requested not to smoke near building entrances and to ensure the proper disposal of smoking materials.

**V. Designating a Smoking or Nonsmoking Function**

University groups that schedule outdoor spaces for approved functions, other than facilities covered in this policy, have the authority to designate such functions nonsmoking or smoking as they may desire, consistent with the intent of this policy.

**VI. Implementation**

This policy is to be implemented by units/departments and colleges in the same manner as other University policies. All buildings, vehicles, and specified outdoor facilities and areas that are owned or leased by the University, with the exception of private residence spaces, will be entirely smoke-free. Restrictions on other tobacco products apply at the Tobacco-Free Zone locations referenced in Section II above.

**VII. Sale of Cigarettes in University Buildings**

The sale of cigarettes or other tobacco products in campus buildings/facilities is prohibited.

**VIII. Supplement to University Health Benefits**

Smoking cessation services may be available through university-sponsored health plans.

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**PROCEDURE**

Issued: 07/01/1987  
Revised: 07/07/2006

For information regarding procedures, contact the Office of Human Resources, [Organization and Human Resource Consulting](#).

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**RESOURCES**

**For consultation:**

- Office of Human Resources, [Organization and Human Resource Consulting](#) 614-292-2800
- Medical Center Human Resources 614-293-4988

**For more information:**

- Frequently Asked Questions ([include hot link](#))
- Tobacco-Free Zone Map ([include hot link](#))

# Tobacco Free Zone



 Tobacco-Free Outdoor Area

Office of Business and Finance / Board of Trustees Meeting

July 7, 2006





**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES  
FISCAL AFFAIRS COMMITTEE  
JULY 7, 2006**

**Topic:**

**Undergraduate Tuition at Regional Campuses and ATI for Fiscal Year 2007**

**Context:**

In June, the Board of Trustees approved FY 2007 tuition and fees for students on the Columbus Campus effective Autumn Quarter. Since then, the Regional Campus Boards of Trustees have met and recommended a 6% tuition increase for undergraduate students and a 6% increase in the non-resident surcharge. The Executive Dean of the College of Food, Agricultural, and Environmental Sciences also is recommending a 6% tuition increase for resident undergraduate students attending the Agricultural Technical Institute and a 6% increase in the non-resident surcharge for ATI. All of these increases would be effective Autumn Quarter 2006.

These tuition recommendations reflect the following:

- Regional Campuses follow the same compensation increase policies and are responsible for funding the same employee benefit increases as the Columbus Campus.
- Regional Campuses state support, including Access Challenge funding, is relatively flat from FY 2006 to FY 2007 for three of the regional campuses and decreasing for Lima and ATI.
- The state has limited tuition increases for undergraduates to 6%.

The attached table shows implications of the recommended tuition increases and Access Challenge credits on the resident student share. The increase in student share for lower-division students at the Regional Campuses and students at ATI is slightly higher than 6% due to flat or declining Access Challenge funds.

**Recommendation:**

Increase resident undergraduate instructional and general fees at the Regional Campuses and ATI 6% (before Access Challenge credits) for full-time undergraduate resident students and increase the non-resident surcharge for the Regional Campuses and ATI 6%, all effective Autumn Quarter 2006. The resident fees will be reduced by the Access Challenge funds as shown in the attached table.

**Requested of the Fiscal Affairs Committee:**

Approval of the recommended Regional Campus and ATI fees for FY 2007.

**RESIDENT UNDERGRADUATE TUITION PER ACADEMIC YEAR  
REGIONAL CAMPUSES AND ATI**

	Fiscal Year 2006			Fiscal Year 2007			Dollar Difference	*Percent Difference
	Tuition	Access Credit	Student Share	Tuition	Access Credit	Student Share		
Regional Lower Division	\$5,886	(\$576)	\$5,310	\$6,240	(\$576)	\$5,664	\$354	6.7%
Regional Upper Division	\$5,886	\$0	\$5,886	\$6,240	\$0	\$6,240	\$354	6.0%
ATI	\$5,865	(\$387)	\$5,478	\$6,216	(\$357)	\$5,859	\$381	7.0%

\* The increase in student share for lower division regional campus students and students at ATI is slightly higher than 6% due to flat or declining Access Challenge funds.

(APPENDIX IX)

**THE OHIO STATE UNIVERSITY  
BOARD OF TRUSTEES  
FISCAL AFFAIRS COMMITTEE  
JULY 7, 2006**

**FY 2007 OPERATING BUDGET**

- I. Strategic Overview
- II. Columbus Campus General Funds Budget Recommendations
- III. Regional Campuses
- IV. All Funds Budget Recommendations
- V. Financial Stewardship
- VI. Conclusions

Office of Business and Finance  
6/27/06

## I. Strategic Overview

The FY 2007 budget is structured to support key University-wide initiatives, consistent with the Academic Plan:

- Competitive Compensation
- Targeted Investments in Excellence
- Reform of the Undergraduate Curriculum
- Quality Graduate Programs
- 2008 Enrollment Plan
- Enterprise-Wide Systems

Funding for these initiatives is described below:

- A. Competitive Compensation – will be funded from college and support unit operating budgets. Most units are able to fund a raise pool of 3.0% for faculty, staff and student employees without a reallocation. Further discussions are on going regarding reallocations required to reach a more competitive increase. The Provost will selectively support high quality academic units that lag behind their benchmark faculty salary levels.
- B. Targeted Investments in Excellence – \$9 million one-time, \$1 million continuing funds are provided to strengthen high impact academic programs, including Climate, Water and Carbon, Public Health Preparedness and Mathematical Bioscience.
- C. Reform of the Undergraduate Curriculum – analysis of financial impact is continuing. Some funds to support this initiative are reserved in the Provost's base budget.
- D. Quality Graduate Programs - these funds will come from a \$3.6 million pool set aside from state Innovation Incentive funds and University funds to be distributed by the Graduate School. Funds will be targeted to Science, Technology and Engineering programs as provided for in the Economic Growth Challenge.
- E. 2008 Enrollment Plan - will be successfully completed one year early, in FY 2007. \$1.4 million for additional financial aid and \$500,000 to support recruiting are funded through the student services assessment in FY 2007 to raise academic qualifications of the incoming freshman class to targeted levels. This includes an average ACT score of 27.
- F. Enterprise-wide Systems – are funded through multi-year cash assessments. Funded in FY 2007: \$9.4M for the Student Information System (SIS) (Phase II of V) and \$2.5M for the Grants Management System (Phase III of IV). Total cost of the Grants Management System is \$13 million. Expected cost of the SIS installation approved last Spring is between \$40 and \$50 million.

## II. Columbus Campus General Fund Budget Recommendations

- A. FY 2007 Budget Assumptions
- B. FY 2007 Sources and Uses
- C. Additional Budget Highlights
- D. Increased Support by Area of Focus

### A. FY 2007 General Fund Budget Assumptions Columbus Campus

**Total Revenue Increase Net of Financial Aid = + 5.5%**

Enrollments	+ 0.5%
Tuition Package	+ 6.0%
State Support	+ 2.9%
All Other	+ 9.9%

**Total Expenditures Increase Net of Financial Aid = + 5.4%**

Salary Pool	+ 3.2%
Benefits	- 0.3%
Utility Costs	+ 47.4%
Student Financial Aid (Undergraduate)	+ 8.2%

#### Planned Central Reserves

Operating Margin, Utility & Enrollment Reserve	\$5.0M
Rainy Day Fund	\$12.5M

### B. General Funds Sources and Uses Summary FY 2007 Columbus Campus, Continuing Funds

	2005-06	2006-07	
<u>FTE Enrollments</u>	<u>Actual</u>	<u>Projected</u>	<u>Change</u>
UG Resident	99,109	100,153	1.1%
UG Non-Resident	12,785	12,616	-1.3%
Grad Resident	15,883	15,670	-1.3%
Grad Non-Resident	14,420	13,992	-3.0%
Professional	9,651	9,619	-0.3%
	<b>151,848</b>	<b>152,050</b>	<b>0.1%</b>

<b><u>Sources and Uses</u></b>	<b>2005-06</b>	<b>2006-07</b>	
	<b>Current</b>	<b>Original</b>	<b>Change</b>
<b>Sources</b>			
State (1)	\$ 324.5	\$ 333.9	2.9%
Fees (2)	\$ 570.6	\$ 611.1	7.1%
Research Cost Recoveries	\$ 70.4	\$ 72.0	2.2%
Other Cost Recoveries(3)	\$ 51.4	\$ 57.7	12.2%
Interest Income/Other	\$ 11.9	\$ 12.9	8.4%
<b>Total Sources</b>	<b>\$ 1,028.8</b>	<b>\$ 1,087.6</b>	<b>5.7%</b>
<b>Uses</b>			
Departmental (4)	\$ 800.1	\$ 824.7	3.1%
Financial Aid			
Undergraduate	\$ 63.7	\$ 69.0	8.2%
Graduate	\$ 66.7	\$ 70.7	6.0%
Facilities (5)	\$ 68.6	\$ 84.2	22.7%
Strategic Investment (6)	\$ 29.0	\$ 38.2	31.9%
<b>Total Uses</b>	<b>\$ 1,028.1</b>	<b>\$ 1,086.8</b>	<b>5.7%</b>
<b>Sources less Uses</b>	<b><u>\$0.7</u></b>	<b><u>\$0.7</u></b>	

- (1) Includes additional \$30M allocation from General Assembly approved by Controlling Board June 26, 2006. OSU share is approximately \$6M.
- (2) FY 2007 fees assume 6% base increase and include approximately \$2.1M in differential fee income.
- (3) Includes Earnings and Regional Campus Overhead and Cost Containment.
- (4) Assumes 3.0% salary increase pool for support units, 3.1% for colleges and 0.3% benefit rate reduction.
- (5) Reflects 42% increase in utility bills.
- (6) Was originally budgeted at \$36M in FY 2006. \$7M has been transferred to fund strategic investments in college departmental budgets. This category includes the Provost's and President's Strategic Investments funds and University Reserves.

### **C. Additional Budget Highlights**

- A. This budget includes \$9 million in one-time funds and \$1 million in continuing funds to support Phase I of V of Targeted Investments in Excellence. The total commitment is \$50 million over the next five years.
- B. Because of the higher than projected acceptance rates, the entering freshman class will be 6,000 instead of 5,800. This is factored into the enrollment and revenue estimates.
- C. OSU Facilities and Administrative Recovery Rate on most federal research grants has been approved to increase from 49.5 to 50.0% effective July 1, 2006 for the next three years. By FY 2009 this will generate an additional \$1 million in cost recoveries annually.

- D. In order to help stabilize the funding base for Development, this budget includes a recommendation that the phase down of the endowment assessment from 1.1% to 1.0% be delayed two years until FY 2009.
- E. Included in these recommendations is \$650,000 to continue the previous seven-year funding level of University operating support to Campus Partners for another year while Campus Partners' updated five-year business plan is reviewed and finalized. An additional \$600,000 in one-time funds is recommended for rental payments for OSU office space at Gateway which were originally anticipated to be funded, in part, from the sharing of excess revenues from Gateway's first year of operations. The excess revenues were originally projected on Gateway's pre-construction pro forma, but have not occurred in Gateway's first year of operations due to longer-than-projected time frames to achieve stabilized occupancy.

#### **D. General Funds Increased Support by Area of Focus**

Central discretionary funds of \$4.6 million in continuing funds and \$21.1 million in one-time funds are recommended to seed University-wide initiatives that cross college boundaries and strengthen core support services. Does not include over \$10.6 million which will be reallocated from current uses to fund priority initiatives.

New Continuing Funds	
Facilities Support	\$1,274,000
Development Support <sup>1</sup>	900,000
Research Support	900,000
Services to Students	589,500
All Other	393,000
Safety and Security	275,000
Library Support	250,000
Total	\$4,581,500
One-Time Funds (cash support)	
Academic Programs	\$6,200,000
Research Support	4,400,000
Reserves	2,000,000
Safety and Security	1,805,000
Outreach and Engagement	1,800,000
Facilities Support	1,750,000
Services to Students	1,562,000
Development Support	1,000,000
All Other	590,000
Total	\$21,107,000

### **III. Regional Campuses**

Recommended resident undergraduate tuition increases for the regional campuses are consistent with the legislatively mandated 6% fee increase cap; however, actual amounts paid by some students may be slightly higher due to loss of funds from the Access Challenge.

<sup>1</sup> In addition \$1M in continuing funds will be reallocated from the President's Strategic Endowment funds and \$1 million in one time cash by delaying the phase down of the Development draw on the University Endowment income.

Budgets for ATI and Lima are projected to be in balance for FY 2007; however, additional information regarding long term financial plans for both of these campuses will be presented at the September meeting.

### Budgeted Revenue and Allocations

<u>Lima</u>	FY 2006 Budget	FY 2007 Budget	% Change
<b>Resources</b>			
General Funds	\$ 12,154	\$ 11,948	-1.7%
Earnings Funds	\$ 500	\$ 475	-5.0%
Restricted Funds	\$ 1,850	\$ 1,735	-6.2%
<b>Total Resources</b>	<b>\$ 14,504</b>	<b>\$ 14,158</b>	<b>-2.4%</b>

<b>Expenditures</b>			
General Funds	\$ 12,146	\$ 11,947	-1.6%
Earnings	\$ 408	\$ 395	-3.2%
Restricted	\$ 1,850	\$ 1,735	-6.2%
<b>Total Expenditures</b>	<b>\$ 14,404</b>	<b>\$ 14,087</b>	<b>-2.2%</b>

<u>Mansfield</u>	FY 2006 Budget	FY 2007 Budget	% Change
<b>Resources</b>			
General Funds	\$ 12,788	\$ 13,625	6.5%
Earnings Funds	\$ 521	\$ 523	0.4%
Restricted Funds	\$ 1,865	\$ 2,237	19.9%
<b>Total Resources</b>	<b>\$ 15,174</b>	<b>\$ 16,385</b>	<b>8.0%</b>

<b>Expenditures</b>			
General Funds	\$ 12,331	\$ 13,393	8.6%
Earnings	\$ 798	\$ 725	-9.1%
Restricted	\$ 1,865	\$ 2,237	19.9%
<b>Total Expenditures</b>	<b>\$ 14,994</b>	<b>\$ 16,355</b>	<b>9.1%</b>

<u>Marion</u>	FY 2006 Budget	FY 2007 Budget	% Change
<b>Resources</b>			
General Funds	\$ 13,058	\$ 13,706	5.0%
Earnings Funds	\$ 49	\$ 54	10.2%
Restricted Funds	\$ 3,602	\$ 3,332	-7.5%



<b>Total Resources</b>	<b>\$</b>	<b>16,709</b>	<b>\$</b>	<b>17,092</b>	<b>2.3%</b>
<hr/>					
<b>Expenditures</b>					
General Funds	\$	13,063	\$	13,642	4.4%
Earnings	\$	41	\$	88	114.6%
Restricted	\$	3,602	\$	3,332	-7.5%
<b>Total Expenditures</b>	<b>\$</b>	<b>16,706</b>	<b>\$</b>	<b>17,062</b>	<b>2.1%</b>
<hr/>					

<u>Newark</u>	FY 2006 Budget	FY 2007 Budget	% Change
<b>Resources</b>			
General Funds	\$ 17,567	\$ 19,024	8.3%
Earnings Funds	\$ 81	\$ 84	3.7%
Restricted Funds	\$ 2,362	\$ 2,294	-2.9%
<b>Total Resources</b>	<b>\$ 20,010</b>	<b>\$ 21,402</b>	<b>7.0%</b>
<b>Expenditures</b>			
General Funds	\$ 17,588	\$ 18,946	7.7%
Earnings	\$ 81	\$ 84	3.7%
Restricted	\$ 2,362	\$ 2,294	-2.9%
<b>Total Expenditures</b>	<b>\$ 20,031</b>	<b>\$ 21,324</b>	<b>6.5%</b>

<u>ATI</u>	FY 2006 Budget	FY 2007 Budget	% Change
<b>Resources</b>			
General Funds	\$ 8,721	\$ 9,099	4.3%
Earnings Funds	\$ 1,645	\$ 1,643	-0.1%
Restricted Funds	\$ 1,875	\$ 2,139	14.1%
<b>Total Resources</b>	<b>\$ 12,241</b>	<b>\$ 12,881</b>	<b>5.2%</b>
<b>Expenditures</b>			
General Funds	\$ 9,077	\$ 9,042	-0.4%
Earnings	\$ 2,161	\$ 2,021	-6.5%
Restricted	\$ 1,875	\$ 2,139	14.1%
<b>Total Expenditures</b>	<b>\$ 13,113</b>	<b>\$ 13,202</b>	<b>0.7%</b>

#### IV. Analysis of Budget Recommendations For All Funds – Columbus Campus

- A. Summary of Recommendations by Funding Source
- B. Budget Recommendations for Health System and Major Auxiliaries
- C. Consolidated Statement of Health System Operations
- D. Consolidated Statement of Health System Changes in Net Assets

##### A. Summary of Recommended Allocation Levels – Columbus Campus Only – All Funds Revenues (In Millions)<sup>2</sup>

Category		FY2006	FY 2007	% Change
General Funds				
	Net Tuition	\$571	\$611	7.0%
	State Support	325	334	2.8%
	All Other <sup>3</sup>	\$134	\$143	6.7%
	<b>Sub Total</b>	<b>\$1,030</b>	<b>\$1,088</b>	<b>5.6%</b>
Student	Undergraduate Financial Aid	(64)	(69)	
	<b>Revised Sub</b>	<b>\$966</b>	<b>\$1,019</b>	<b>5.5%</b>
<b>Total</b>				
Earnings				
	Health System <sup>4</sup>	\$1,192	\$1,330	11.6%
	Auxiliaries <sup>5</sup>	257	266	3.5%
	OSUP	215	245	14.0%
	All Other <sup>6</sup>	93	97	4.3%
	<b>Sub Total</b>	<b>\$1,757</b>	<b>\$1,938</b>	<b>10.3%</b>
Restricted				
	Appropriations	\$57	\$57	0.0%
	Gifts & Grants	572	589	3.0%
	Endowments <sup>7</sup>	17	19	11.8%
	<b>Sub Total</b>	<b>\$646</b>	<b>\$665</b>	<b>2.9%</b>
<b>Grand Total</b>		<b>\$3,369</b>	<b>\$3,622</b>	<b>7.5%</b>

<sup>2</sup> Totals may not reconcile with other tables due to adjustments for inter-fund transfers.

<sup>3</sup> Includes miscellaneous student fees, cost recoveries, and interest income.

<sup>4</sup> FY 2006 resources represent 10 month actual & 2 month projection.

<sup>5</sup> Auxiliaries include Athletics, Transportation and Parking, Student Affairs.

<sup>6</sup> Includes conference fees and departmental earnings.

<sup>7</sup> Does not include all endowment income.

**B. Summary of Budget Recommendations For Health System, Major Auxiliaries and Development (FY 2007)**

**Includes All Funds (General, Restricted, and Auxiliary)<sup>8</sup>**  
**(in millions)**

<b>Unit</b>	<b>FY 2006 Resources<sup>9</sup></b>	<b>FY 2007 Resources<sup>10</sup></b>	<b>Percent Change</b>	<b>FY 2007 Expenditures/ Transfers</b>	<b>Net Income</b>
<b>Health System</b>	\$1,192	\$1,330	11.6%	\$1,255	\$75
Student Affairs Auxiliary Operations	132	134	1.5%	132	2
Intercollegiate Athletics	92	97.5	6.0%	97.5	0
Transportation and Parking	24.7	26.0	5.3%	25.0	1
University Airport <sup>11</sup>	8.2	8.6	4.9%	7.5	1.1
Development Operating	20.8	21.5	3.4%	21.5	0

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<sup>8</sup> Totals may not reconcile with other tables due to adjustments for inter- and intra-fund transfers.

<sup>9</sup> FY 2006 resources represent 10 month actual & 2 month projection.

<sup>10</sup> Planned budget.

<sup>11</sup> Airport revenue may not be diverted for non-airport purposes by federal law.

**C. Consolidated Statement of Operations**

**The Ohio State University Health System  
for the Years Ending June 30, 2006 and 2007  
(in thousands)**

	<b>FY 2006 Forecast</b>	<b>FY 2007 Budget</b>	<b>Budget % Var</b>
<b>REVENUE</b>			
<b>Net Patient Revenue</b>	<b>\$1,112,744</b>	<b>\$1,254,247</b>	<b>12.7%</b>
Medical Education Revenue – Indirect	\$20,245	19,765	-2.4
Resident Income	18,005	14,977	-16.8
Related Operations	740	908	22.7
Reference Lab Operations	11,345	11,249	-.84
Other Revenue	<u>29,460</u>	<u>29,404</u>	<u>-.19</u>
<b>Total Operating Revenue</b>	<b>\$1,192,540</b>	<b>\$1,330,551</b>	<b>11.6%</b>
<b>EXPENSE</b>			
Salaries	\$430,487	\$482,519	12.1
Benefits	117,538	135,838	15.6
Hospital Physician Fees	17,320	18,192	5.0
Physician/Faculty Services	4,309	4,126	-4.2
Supplies	161,645	173,626	7.4
Cost of Drugs	75,682	83,186	9.9
Services	134,383	148,652	10.6
Resident Salaries and Benefits	30,252	32,468	7.3
Med Staff & Academic Faculty	-	-	-
Support			
Depreciation	47,867	54,337	13.5
Interest	9,279	9,312	.36
Provision for Bad Debt	70,248	84,264	19.9
University Overhead	<u>23,629</u>	<u>27,991</u>	<u>18.5</u>
<b>Total Expense</b>	<b>\$1,122,642</b>	<b>\$1,254,516</b>	<b>11.7%</b>
<b>Gain (Loss) from Operations</b>	<b>\$69,897</b>	<b>\$76,035</b>	<b>8.8%</b>
<b>Operating Margin</b>	<b>5.9%</b>	<b>5.7%</b>	
<b>NON-OPERATING GAIN (LOSS)</b>			
Income from Investments	\$5,262	\$5,545	5.4
Gain/Loss on Sale of Assets	<u>168</u>	<u>0</u>	<u>-100.0</u>
<b>Excess of Revenue over Expense</b>	<b>\$75,328</b>	<b>\$81,580</b>	<b>8.3%</b>
<b>Total Margin</b>	<b>6.3%</b>	<b>6.1%</b>	

#### **D. Consolidated Statement of Changes in Net Assets**

The Health System projects continued growth in FY 2007. Total operating revenue is expected to grow 11.6%, while expenses grow 11.7%. Total margin is projected to be 6.1% or an excess of \$81.6 million in revenues over expenses.

FY 2006 year-end Net Assets are projected to be \$25M better than budget while the FY 2007 budget reflects a year-end Net asset increase of \$11.5M

#### **The Ohio State University Health System Years Ending June 30, 2006 and 2007 (in thousands)**

	<b>FY 2006 Forecast</b>	<b>FY 2007 Budget</b>	<b>Budget % Var</b>
Net Assets Beginning of Period	\$381,795	\$399,481	4.6%
Excess of Revenue over Expenditures	75,328	81,581	8.3%
Less Total Medical Center Investments	(58,000)	(70,000)	20.7%
Contributions for Property Acquisitions	358	0	-100.0%
Increase (Decrease) in Net Assets	\$17,686	\$11,581	
<b>Net Assets End of Period</b>	<b>\$399,481</b>	<b>\$411,062</b>	<b>2.9%</b>

NOTE: Medical Center Investments total is still under review as part of the long-term financial plan, which includes cash reserve targets.

#### **V. Resource Allocations Should Advance Effective Financial Stewardship**

- A. Compliance with State and Federal Mandates
- B. New Cost Savings Initiatives
- C. Financial Goals

**A. FY 07 Funds Allocated to Assure Compliance with State and Federal Mandates, Columbus Campus**

	<b>Continuing</b>	<b>One-Time</b>
Human Subject and Animal Research Compliance	\$1,500,000	
Student Services	152,000	
Public Safety	178,000	55,000
Disability Services	96,000	62,000
Other		40,000
<b>Total</b>	<b>\$1,926,000</b>	<b>\$157,000</b>

NOTE: Funding sources for research compliance include \$500,000 central General Funds, \$500,000 reallocation from Office of Research and \$500,000 assessment to colleges.

**B. New Cost Savings Initiatives**

This budget funds three new or enhanced cost reduction initiatives summarized below:

**Plan for Health (OHR)** – an investment of \$2.0 million in wellness programs and coordinated care management. This is expected to save \$3 to \$6 million each year in years one to three, and \$5 to \$8 million each year thereafter, by improving the health of University faculty and staff and improving the effectiveness of care of chronic conditions.

**Transition to Work (OHR)** – this plan is modeled after successful efforts elsewhere that demonstrate savings to employers in Worker's Compensation costs and significant benefits to employees by returning employees with temporary medical or psychological restrictions to work sooner. An up front investment of \$289,000 is expected to save at least \$2.8 million annually.

**Energy Management and Sustainability (FOD)** – the rising cost of energy has made it cost effective to enhance conservation efforts and explore alternative energy sources across campus. This request includes \$236,000 in continuing funds and \$200,000 in one-time funds to improve metering and building designs. Additional internal funds will be used to fund building energy audits as part of this program. Based on current energy costs, long-term savings are expected to be over \$2.0 million annually.

Before funds are released, the sponsoring unit will be expected to complete a detailed work plan that includes deliverables and measures of success. Outcomes will be reported to the University community and the Board of Trustees on a regular basis.

### C. Financial Goals For FY 2007

	FY 2006	FY 2007	Long-Term Target
Operating Margin <sup>12</sup>	0.5%	0.46%	0.5 – 1.0%
Rainy Day Fund	\$11.5M	12.5M	\$25M
Other Reserves	Varies	Varies	Varies
Operating Cash	30 days +	30 days +	30 days+
All Funds Debt Service as a % of Total	2.33%	2.13%	Less than 5.0%
Bond Rating	AA	AA	AA
Future Commitments of Continuing Funds	\$13.4M	\$11.9M	No more than 1%

### VI. Conclusions

- A. Chronology – What Happens Next
- B. Financial Issues for FY 2007
- C. Summary

#### A. What Happens Next

September

Current Funds Budget Books  
Purchasing Update  
Capital Plan Review I

November

Year-End Financial Review  
First Quarter Budget/Risk Assessment  
Capital Plan Review II (Deferred Maintenance)

December

Energy Management and Sustainability Report

February

Mid-Year Review  
Budget vs. Actual

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<sup>12</sup> Includes budgeted operating margin, utility and enrollment reserves.

**B. Financial Issues for FY 2007**

1. Preparing for FY 2008-FY 2009 State of Ohio Biennial Budget process.
2. Funding graduate education based on program quality.
3. Funding the next development campaign.
4. Development of an enterprise-wide risk management structure.
5. Completion of five-year financial plan.
6. Capital funding including additional funding for deferred maintenance.
7. Implementation and tracking of key cost reduction initiatives.
8. Evaluation of sources, uses and cost allocation processes regarding support of research.
9. Financial implications of proposals to restructure the undergraduate curriculum.
10. Follow-up on internal controls.
11. Financial performance of affiliated entities.
12. Oversight of SIS installation.

**C. Summary**

1. This budget supports the priorities of the Academic Plan/Leadership Agenda.
2. Revenue assumptions are reasonable and in compliance with state law.
3. Expenses are fully funded and within available revenues.
4. Financial Stewardship is strengthened.
5. Next steps and strategic issues for the next budget cycle have been identified.





**CELEBRATING OUR  
TARGETED INVESTMENTS  
IN EXCELLENCE**




Celebrating our  
Targeted Investments in Excellence

**CLIMATE, WATER, AND CARBON PROGRAM**

- College of Social and Behavioral Sciences
- College of Mathematical and Physical Sciences
- College of Food, Agricultural, and Environmental Sciences

➤ \$11,350,000 in cash  
➤ \$ 510,000 in continuing funds



Celebrating our  
Targeted Investments in Excellence

**MATHEMATICAL BIOSCIENCES**

- College of Biological Sciences
- College of Mathematical and Physical Sciences

➤ \$1,490,000 in cash  
➤ \$ 725,000 in continuing funds




Celebrating our  
Targeted Investments in Excellence

**PUBLIC HEALTH PREPAREDNESS PROGRAM FOR  
EMERGING INFECTIOUS DISEASE THREATS**

- School of Public Health
- College of Veterinary Medicine
- College of Medicine
- College of Biological Sciences
- College of Food, Agricultural, and Environmental Sciences
- College of Pharmacy

➤ \$3,311,112 in cash  
➤ \$1,457,835 in continuing funds




Celebrating our  
Targeted Investments in Excellence

**CENTER FOR COSMOLOGY AND ASTRO-  
PARTICLE PHYSICS**

- College of Mathematical and Physical Sciences

➤ \$4,780,000 in cash  
➤ \$ 282,000 in continuing funds



Celebrating our  
Targeted Investments in Excellence

**CENTER FOR CLEAN, SUSTAINABLE ENERGY**

- College of Engineering

➤ \$1,273,000 in cash  
➤ \$ 704,500 in continuing funds



#### ADVANCED MATERIALS INITIATIVE

- College of Engineering
- College of Mathematical and Physical Sciences

➤ \$9,090,000 in cash  
➤ \$ 624,500 in continuing funds



#### MULTIDISCIPLINARY INITIATIVE IN POPULATION AND HEALTH

- College of Social and Behavioral Sciences
- College of Human Ecology
- College of Nursing
- School of Public Health

➤ \$2,189,000 in cash  
➤ \$ 224,165 in continuing funds



#### TRANSLATIONAL PLANT SCIENCES

- College of Biological Sciences
- College of Food, Agricultural, and Environmental Sciences

➤ \$3,225,000 in cash  
➤ \$ 156,500 in continuing funds



#### MUSIC INDUSTRY PROGRAM

- College of the Arts

➤ \$137,000 in cash  
➤ \$315,500 in continuing funds



#### MicroRNA GENES IN DIAGNOSIS, PROGNOSIS, PREVENTION, AND THERAPY OF CANCER

- College of Medicine
- College of Veterinary Medicine
- College of Pharmacy
- College of Mathematical and Physical Sciences

➤ \$6,134,888 in cash